

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND SEVENTY-FOURTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, June 29, 2001

The Board of Trustees met at its regular monthly meeting on Friday, June 29, 2001, at The Ohio State University Parker Food Science and Technology Building, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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The Chairman, Mr. Brennan, called the meeting of the Board of Trustees to order on June 29, 2001, at 10:45 a.m. He requested the Secretary to call the roll.

Present: David L. Brennan, Chairman, James F. Patterson, Robert M. Duncan, Karen L. Hendricks, Jo Ann Davidson, and Kevin R. Filiatraut. Fred L. Dailey, Director of the Ohio Department of Agriculture, was also in attendance.

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PRESIDENT'S REPORT

President William E. Kirwan:

Mr. Chairman and members of the Board of Trustees, I would like to open my comments by updating you on our actions to address the decline in compensation at Ohio State relative to our benchmark peers.

As I mentioned at our meeting earlier this month, this decline threatens not only our aspirations but also the present quality of our University. Therefore, we must take extraordinary steps to address this situation because, as the Academic Plan makes clear, competitive compensation for faculty and staff is our top priority.

This past week, I named a Competitive Compensation Oversight Group to advise Ed Ray and Bill Shkurti as they develop a plan that will enable us to raise faculty and staff compensation to the mean of our benchmark peers within three or four years. This advisory group includes several deans and department chairs, as well as representative faculty, staff, and student leaders.

As I mentioned in our previous meeting, the basic strategy of the plan will be presented to the Board of Trustees at your October meeting. The detailed plan will be completed by January 31, 2002, with implementation no later than one year from now.

Our intent is to make a multi-year commitment of significant salary increases. Given the current state funding circumstances, this plan will require us to provide most of the initial funding from internal resources through reallocation of funds. This will be a formidable challenge and will require every area of the University to make cuts in their budgets in order to free up the funding necessary to reach our compensation goals.

Difficult though this will be, I am convinced we have the ingenuity and the will to develop and implement a compensation plan that will enable us to meet our compensation goals. Thus, although this year's salary increases are woefully inadequate, we are committed to creating a much better situation for our faculty and staff in the very near future.

Over the past few months, these funding issues have commanded a large measure of our attention -- and the majority of my comments to the Board. Now, I must ask you to bear with me while I speak with you about one more funding issue. Fortunately, though, this is a much more positive story than those we've become accustomed to.

I'd like to give you an update on our development efforts for this year. As you know, last July we completed the record-breaking \$1.23 billion "Affirm Thy Friendship" campaign. Immediately following such a successful campaign, one might expect a dip in contributions. But under the leadership of Jerry May and with some incredible work from our entire development team, our faculty, deans, and others, we have actually increased our year-to-year gift receipts by 10 percent through May.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

"Affirm Thy Friendship" established the momentum. And the Academic Plan has given structure and vision to excite our donors about gifts to Ohio State to support our drive for academic excellence.

Through May 31, our development efforts have raised more than \$122 million in this fiscal year -- funds that will directly help us achieve the goals of our Academic Plan. And that \$122 million does not include gifts in June, which will be the biggest single month of giving in the University's history.

The gifts this month will include a landmark \$10 million gift from Elizabeth Ross. That gift will be presented to the Board later today with a request to name The Richard M. Ross Heart Hospital.

The Ross Heart Hospital will work in tandem with Ohio State's new Dorothy M. Davis Heart and Lung Institute, which was supported by a \$10 million gift from the William H. Davis, Dorothy M. Davis, and William C. Davis Foundation. Together, these two facilities will become a comprehensive heart center that will be unique to Central Ohio and unparalleled in the nation.

This center will represent a clear demonstration of Ohio State's commitment to excellence and to improving the quality of life of the people of Ohio. We are extremely grateful to the Ross family for embracing our vision to make Ohio State a national leader in heart research and patient care -- and we are extremely grateful -- and for helping to make that vision a reality.

Later in the meeting, we will accept yet another major gift. In fact, it is the largest single gift in the history of the University. This is an incredibly generous and truly historic gift to our College of Law, and I look forward to talking more about this gift near the end of our meeting today.

Many other major gifts over the past several months are helping us to make progress on a variety of goals in the Academic Plan. For instance, an \$8.2 million gift from the Mitte Foundation in Austin, Texas, is supporting 75 scholarships at the Fisher College of Business -- enabling us to attract the most promising of students.

A \$7.2 million gift from Roger and Tina Blackwell is helping us build the final piece of our incredible Fisher College campus -- The Roger D. Blackwell Inn at Fisher College. The Inn will be an incredible facility for our institution and will enable us to expand our education programs for executives.

A \$4.5 million gift from Nationwide is funding initiatives that promote diversity, outreach, and academic excellence. A \$3 million gift from Thomas L. Parker helped us create the facility we are in today, which will be instrumental in our efforts to make our food science program number one in the nation by 2003. And a \$2 million gift from the Motorists Insurance Group Foundation is helping us build on our tradition of excellence in our athletic programs.

I want to congratulate Jerry May and his entire development team, and all others involved for their hard work and their great success in these efforts.

The University has experienced several other successes as we close another academic year, and I'd like to mention a few of them to you.

First, the College of Social and Behavioral Sciences has hired three major scholars in anthropology, geography, and public policy and management.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Edward Malecki, an international expert on regional development, will become director of our new Center for Urban and Regional Analysis. He comes to us from the University of Florida, and his Ph.D. degree is from Ohio State.

Clark Larsen, a world-renowned authority on bioarcheology, will become chairperson of the Department of Anthropology. He is moving here from the University of North Carolina. And Bert Rockman, from the University of Pittsburgh, will be the new director of the School of Public Policy and Management. He is internationally known for his scholarship on comparative bureaucracy -- something we need here at Ohio State -- and the making of public policy. Dr. Rockman and Dr. Larsen both hold Ph.D. degrees from the University of Michigan, but we won't hold that against them.

We also learned this month that a multidisciplinary team of researchers from the College of Medicine and Public Health was awarded a \$4.6 million NIH grant to study the causes and progression of lupus.

Next week The Ohio State University Jazz Ensemble, which consists of 20 undergraduates and led by director Ted McDaniel, will fly to Europe to perform at the most prestigious jazz venue in the world -- the Montreux Jazz Festival. They are the only college jazz band invited to perform there. What a great achievement for this group of very talented musicians.

We also reached new heights in athletics this year, as Ohio State finished sixth nationally in the Sears Directors' Cup. That's our best finish in the eight-year history of the cup. The competition measures each university's overall athletic program by comparing the national rankings of up to 10 men's and 10 women's sports. Last year, we were fourteenth in this competition.

Finally, I want to mention the departure and arrival of three of our deans. I am disappointed to tell you that Dean Judith Koroscik, from the College of the Arts, will soon be leaving us for a deanship at the University of Cincinnati. Dean Koroscik has been a tremendous leader in the College of the Arts, and we wish her much success in Cincinnati. Within the next few weeks, we will name an interim dean and begin a full search for a permanent dean of national reputation.

You already know that Greg Williams will be leaving us to become president of the City College of New York. I'll have more to say about Greg's leadership at the law school later in our meeting.

On a more positive note, I will be very pleased to submit to the Board later today our recommendation of Nancy Rogers as the new dean of the College of Law. Professor Rogers is currently Vice Provost for Academic Administration and has received overwhelming support from the College of Law's faculty, staff, students, and alumni for her appointment. She is a highly regarded legal scholar and an outstanding educator, and she has the vision, the expertise, and the management skills to lead the college to an even higher level of excellence.

The fact that we have someone of Professor Rogers' exceptional talent on board to take over for Dean Williams speaks very well for the rich depth of talent among our faculty.

With the appointment of Professor Rogers as the first female dean of the college and with the news that will come at the end of today's meeting, this will certainly be an historic day in the history of Ohio State University College of Law, indeed, The Ohio State University.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Mr. Chairman and members of the Board of Trustees, this concludes my report.

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STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING

President William E. Kirwan:

Today I'm pleased to introduce Bobby Moser, who will be joined by several colleagues, to talk about an effort he has been leading on the Strategic Plan for Technology-Enhanced Learning. Bobby --

Dr. Bobby D. Moser:

Dr. Kirwan and members of the Board, thank you very much for this opportunity to give a progress report on an effort that was put together by the President and Provost to look at distance education at Ohio State University.

Before I do that, I would like to welcome you to the new Parker Food Science and Technology Building. One of the other hats that I wear for this University has to do with this particular program, which we're very proud of. The Parker family was very pleased that they were able to help us acquire this nice facility, which will launch us, Mr. Brennan, right to the top in terms of food science and technology.

Just a little trivia, the Parker family developed the ice cream drumstick. So every time you eat an ice cream drumstick, think of the Parker Food Science and Technology Building at Ohio State University. Dr. Ken Lee, chair of this department, just announced that as you leave the meeting today, be sure and get a free ice cream cone from the College of Food, Agricultural, and Environmental Sciences. All of you were very instrumental in making this particular building happen, so we opened up the store so you can have some ice cream.

Mr. Brennan:

Bobby, we thank you for hosting us this morning. Specifically, I was hoping you'd make that announcement up front.

Dr. Moser:

Let's go on with the task at hand. As I said, the President and Provost appointed a task force to take a look at two basic questions as it relates to distance education: 1) How is Ohio State doing in comparison to our peers and other national leaders in this area? and 2) How should Ohio State move forward as an institution in this area?

We've been very fortunate to work with some very key people in helping us get to this point in our progress. Today we're going to give a report on Phase 1, which is rapidly coming to a close, and then look at Phase 2, which will move us into the fall.

A couple of key points to keep in mind as we put this together. One is that what we have to develop here and to show you is a little bit different than what most other people have done in the past. We're making our distance education program an integral part of the University -- it's not a separate entity -- and we think that's going to add to our strength. We are really trying our best to focus on

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Dr. Moser: (contd)

identifying our strengths to the needs that are out there. Of course, Mr. Brennan has always challenged us to think big and so we're trying our best to think very big with this effort.

So Phase 1 is really going to deal with the review of the learning technology market. A vision statement has been prepared and developed for this particular effort, and we now have a concept that we would like to present to you today.

Brad Englert, from Accenture, Alice Stewart, from the Strategic Planning task force, and Ilee Rhimes, Chief Information Officer, will make the presentation. So, I'm going to turn this over to Brad.

Mr. Brad Englert: [PowerPoint presentation]

In the handout on the second page, you have an overview of the scope of Phase 1. Phase 1 really was to determine, "Where are we today?" and "Where do we want to go in the use of learning technologies to enhance education?" -- not just at a distance, so it's a little broader. It's, "How can we use it to enhance current delivery?" Then, "How can we extend that out to a broader community?" We worked to define a vision for the future -- and Alice will present that -- and a model of how do we go forth and make the vision a reality.

Phase 2 will really take the vision and model and say, "How do we really understand what it will take to implement?" We have a lot of heavy spadework to go, but I think we could not get there without this vision in place.

If you look at the market, the use of technology in learning is really exploding, but it's still new and somewhat experimental. In terms of content, we found over the past two or three years a maturing market in terms of certain key areas. The MBA program, educational technology, engineering, and information technology all lend themselves to primarily post-bachelor degrees and selective programs, such as completing degrees.

Target audiences -- one thing that surprises a lot of people is the use of learning technology at a distance is not limited to those off-campus. Half of the people using learning technologies are actually on campus, when we look at some of the major institutions. So there's a counter intuitive that we can also enhance learning on campus, as well as externally.

We also have a lot of activity in teaming up with corporations and other institutions of higher education in terms of consortia, and the delivery mechanisms are evolving as well. That's really helping facilitate the explosion. Remember the primary means for distance education using learning technology used to be a lot of two-way interactive video. Now with the web exploding, the tools are becoming much more powerful and allow us to do things we could never do before.

I will now turn it over to Alice who will present where we are at OSU.

Dr. Alice C. Stewart: [PowerPoint presentation]

In reviewing our activities at OSU, one thing that we were very pleased to find is that there is a lot going on at OSU. People would be amazed if they knew exactly the extent, scope, and depth of the activities that are already ongoing.

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Dr. Stewart: (contd)

What we have found is that there is a wide variety of technologies in use by the colleges. There are web-based programs, and there are programs on campus focusing on existing and enhancing the classroom experience. There are also classes that blend this technology with some on campus and off-campus type activities. There are video distribution classes based on sending out videos of lectures and there are collections that exist that can be accessed by students and used in the class.

The colleges are also reaching many different audiences. We do have resident and non-resident audiences. Alumni have been influenced by some of these types of technology-enhanced experiences. We have some high school advanced placement activities that are sponsored through the College of Mathematical and Physical Sciences. We have international project teams that run through the Colleges of Human Ecology and Social Work. Then we also have corporate consumers integrations on campus and off-campus -- and you'll see a demonstration of this in a few minutes -- via the executive MBA program.

So what we found was that there are many OSU colleges that are involved in delivering these programs. I think what's interesting is that the way that we are doing activity here at OSU is very consistent with what the market analysis shows other universities doing. So we are really not behind the curve. We are actually on the curve and moving the curve forward in this kind of activity.

If you look at page five, you'll see our assessment of where we are in the use of some technology relative to what we're able to see in the colleges. We believe and estimated about 80 percent of the colleges are using types of things like: E-mail, putting their syllabus on websites, using chat rooms and threaded discussion, and using the web CT technology to put some of their basic course materials online. We did see a lot of that activity. It's not necessarily across-the-board, but in every college there are people doing these kinds of activities.

In about 30 percent of our colleges we see some substantial initiatives that are putting resource materials online. A really good example of that is in the College of Food, Agricultural, and Environmental Sciences' Horticulture and Crop Sciences. That's an excellent example of how you can blend research activity with teaching activity through putting these things in reference material form. We also have digital libraries in the College of the Arts and the *Encyclopedia of Statistics* that's being developed in the College of Mathematical and Physical Sciences.

Then in about 10 percent of our colleges, we actually see movement forward in terms of putting classes online and making them freestanding and independent -- blending them into programs. So there's an evolution of using it as a communication tool, using it as course work support, and then using it as part of a degree program. This is an evolution. We have different phases along the way and different examples of that.

In looking at the many things that we do at Ohio State, we were asked to come up with a vision statement regarding these kinds of efforts. What we see and what we would like to be is the "Knowledge Provider to the World." We have identified this "Knowledge Management & Dissemination Vision" to:

"Maximize the intellectual capital of The Ohio State University using learning technologies to enhance, support and expand our research, teaching and service missions, in pursuit of global

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Dr. Stewart: (contd)

recognition as the high-quality source for information and content regardless of distribution format or channel.”

What that's trying to communicate is that this is an integrated effort. This is not something that's special, this is not something that is different – this is how we see a 21st century university operating. And we want to be on the forefront of that effort.

In order to do that, we wanted to try to organize our thinking around these types of activities. We needed to create a conceptual model that would help us move forward and try to focus our efforts. We wanted that model to place emphasis on the core asset of the University, which, we believe, is our faculty's knowledge. Not necessarily just on the emergence of a new delivery system -- not just technology, but the content.

We wanted to integrate this with our major focus of the University, which is research, but also blend research with our teaching and service. We want to try to use technology to bring research and teaching closer together, and to provide the faculty with the tools that they need to facilitate this process.

We also want to think of this entire endeavor as something that is very purposeful and not just a scattergun or shotgun approach. We want to do things because they would be good things to do and because they will work, not just to try things and have too many experiments going at the same time. Also, as we've been asked to do, we want to think big.

So as you move to page eight, what we've created is a synthesis of what we see occurring at OSU and in the marketplace to try to create a framework around the activities that we're already engaged in and to provide a framework for our future direction. What you can see here is that we have identified technology-enhanced learning as both an internal and external activity and opportunity.

Internally, we see it as a way that we potentially could provide efficiencies in the classroom. We could perhaps use this to help reduce our closed courses, to perhaps lower our instructional costs in some areas, and improve access through the improved delivery mode. In this area, we might focus on high demand courses and how we could make those accessible to students.

The other internal focus – and, I believe, this is probably the more widespread one -- is through enhancing the quality of the courses as they are now. What we found as a theme in many of our interviews is that there is a visual component to learning that becomes much more active using this technology. There are things that if you see them, you understand more quickly. I think that incorporating that visual aspect into our coursework can really make the student experience much richer and more meaningful.

In terms of the external market we would use this technology to update professional knowledge, to identify and develop unique knowledge niches, and to provide a source of general knowledge to the community. We can use this technology to share and disseminate our knowledge more broadly throughout the State of Ohio, the nation, and the world.

Now I'd like to turn this back over to Brad, to talk a little bit more about other considerations.

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Mr. Englert:

The next question is, "What next?" This would be in Phase 2 of the project. The team supporting the committee is trying to flush out a number of opportunities and what the revenue potentials and costs for some of those opportunities would be. It would be very much a business plan.

In terms of revenue sources we have looked at what other institutions are doing. There's a variety of revenue sources -- not just the traditional ones -- such as licensing content, selling subscriptions or access to information, venture capitals, grants, etc. In fact, we've talked with Brad Moore about opportunities for grant funding in some of these initiatives.

On the cost side of the equation -- it's not just one-time costs, but ongoing costs and we factor that in with the opportunities that we're pursuing. We don't want to create necessarily everything at once, but to evaluate the costs and the benefits, and then to decide how to move forward. In terms of the experiences we've learned from others, people tend to underestimate the personnel costs of these. So we want to do a good job and graduate that into Phase 2.

What does it take to really support these programs? In terms of the benefits, I think they're clear. We're really trying to interweave the use of learning technology into what the University already does, emphasizing its strengths -- focusing on research -- and how to use that intellectual capital in delivering learning.

Also, a key piece of the strategy is aligning the faculty goals with the new opportunities. We've done research in a number of incentive programs that we think can be part of this moving forward. The bottom line is setting the standard for the land grant institutions of the 21st century.

For those who are saying, "Okay, that's fine. What exactly are you going to do in Phase 2?" We presented a little more detail anticipating the question, Mr. Chairman. In Phase 2 there are really a number of activities. One is faculty consultation. We have a lot of work to do with the faculty, to work through the model, and what it means and the implications there. There's a lot of work in policy and governance. There are intellectual property issues we need to work through. There are already committees established to look at these, but they go parallel with the blocking and tackling of picking products, creating the cost benefits for those initiatives, and then laying out what the plan would be based on the opportunities selected. So there is more work at hand.

Now I'd like Ilee Rhimes, Chief Information Officer, to take over.

Mr. Ilee Rhimes, Jr.:

As we move through Phase 2, we will need to address many critical questions or critical success factors. Some of the key factors are as follows: we'll need to look at short- and long-term target opportunities and, in particular, see how well they're aligned with the goals of the Academic Plan. Furthermore, we will need to see how we can effectively leverage what we have and build on our many pockets of excellence.

The next thing we'll need to do is to look at how much we need to invest in start-up and ongoing operations. For example: What enhancements do we need to make to the technology infrastructure? What is our revenue and expense forecast? When can we expect a full return on our investment? What kind of

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Mr. Rhimes: (contd)

incentives will be needed? How effectively will this model operate within our current organizational framework?

Now the overarching question will be, "How will we make this initiative increase our ability to deliver technology-enriched education programs in classroom locations and over distributed education networks?" That's really the overarching question.

In closing, we do have many outstanding examples of technology-enhanced learning throughout the University and we have a couple of examples to share with you today. I'll pass it back to Bobby Moser and he'll introduce the speakers.

Dr. Moser:

Ilee is correct; we have many outstanding examples of technology-enhanced learning, but have chosen two to present to you today. The College of Nursing and the Pharmacy degree program were presented at the Student and Educational Affairs Committee meeting earlier today, so this is a distance education day for all of us.

First up is John Tully, from the Department of History. John --

Mr. John D. Tully: [PowerPoint and web presentation]

Thank you very much. I'm glad to have the opportunity this morning to talk with you about technology in teaching and the efforts that we're making in the history department to really blend those. The results are our ongoing efforts to bring our research into the classroom and to stimulate, in any way that we can, the dynamic dimensions of learning.

Before I get started, I would like to give a little warning to Dr. Kirwan. Dr. Kirwan, you are a good friend to the Department of History and as such you've heard portions of this presentation before. So I've taken the liberty of mixing things around and adding in some new things and installing a pop quiz for you at the end. You're all welcome to either take the quiz yourself or to grade Dr. Kirwan.

When I was single I used to hate the dating question, "What do you do?" Because when I mentioned history it was almost invariably followed by the, "I hated history in high school" response. This was bad for my ego, but it was good for my wallet because I saved a lot of money by not going on second dates.

Unfortunately that laugh of recognition is maybe because some of you had the same type of history class experiences that too many of us have had. They probably looked something like this -- a series of names and dates. They would start out somewhat clear and they would eventually get more confusing. You'd try to memorize them, you'd get them mixed up, and you'd do your best to spit them back out on a test. You would do some better, some worse, but as soon as the class was over you tried to forget them. Unfortunately many of the women, at least that I've met, also seem to make a mental note never to date a historian. So that wasn't good.

What if we historians were able to teach history with the same kinds of things that made us love history? Indulge me for a moment please in one of my favorite songs.

[*Buckeye Battle Cry*]

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Mr. Tully: (contd)

This music and these images evoke strong memories and very strong feelings among Buckeyes. I could show you hundreds more that would do the same thing. Long nights at the library, late night pizza on High Street, the chimes of Orton Hall, and graduation day -- different feelings, different emotions, and different memories. But in some sense, they all have formed a part of who we are as individuals and how we have developed as an OSU community.

A community that, as the seasons pass and the years roll on, continues to grow and evolve. As Buckeyes we understand these images, we know how the University has changed over time, and we know how the University has changed us. What we want to do in the Department of History is to allow our students to have that same deep sense of understanding of other human societies here and abroad.

Think again about that jumble of names and dates that I put up earlier and think of how an inspired teacher with the appropriate use of technology can make them come alive. This is the stuff of history -- not memorizing names and dates, but examining memories, searching for meanings, understanding how societies change over time, exploring why things happen here, and how things happened elsewhere.

The challenge before us then as a department was not to learn how to conduct outstanding research, publish award-winning books, and be effective teachers. These are goals that are before us every day and we try to accomplish them in innumerable ways. We can point to our Selective Investment Award, our Departmental Teaching Excellence Award, our professional recognitions, and our students as evidence of our success. No, our challenge was and is to continue to find innovative and effective ways to create a high-quality learning and teaching environment. We've had great success in this over the past few years and we're exploring new avenues all the time. It's technology that allows us to do this in ways you couldn't even imagine several years ago.

As a department we created the country's first electronic customized history reader, *Retrieving the American Past*. Dean Hogan, when he served as the department's chair, called the Americanists together in an effort to find a more effective tool for our undergraduate survey classroom. All of our faculty -- and I need to repeat this, all of our faculty -- donated their copyrights and royalties back to the department as a way to enhance our teaching budget.

Instructors both at Ohio State and across the country can order any combination from our electronic database of modules based with our publisher in Boston. They can add in their own material. The books are custom-made with a table of contents and any additional material they may need can be included and sent to their bookstore in about two weeks.

Our publisher decided to bring *RTAP* to the national market four years ago. It's now the second best selling-reader in the country, with sales last year of over \$600,000. It's used at over 90 colleges and universities, and the department has created an endowment with the revenue to help our teaching and scholarship budget.

So we've used funds from *RTAP*, from the Departmental Teaching Excellence Award, and from some very generous donors to create the Harvey Goldberg Program for Excellence in Teaching. And I'm proud to serve as the program's director.

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Mr. Tully: (contd)

The instructional center that we have not only encompasses the two reader projects, but is fully equipped to answer all the needs of history faculty and TA's as they want to bring technology into the classroom. Whether that's printing a color overhead, mining the web for resources, creating a class website, using digital video, creating CD-ROMs, or whatever they might want to do.

The best part of being director of the Goldberg Program is not access to all that neat technology -- although that is a lot of fun -- but hearing faculty and TA's talk with one another about teaching and finding effective ways to communicate our love of history to our students. We are also founding members of the OSU Web Media Collective, an interdisciplinary group on campus with initial and continuing funding from TELR to find effective ways to bring the Internet to the classroom.

Why do we do all this? Because used effectively, technology in the classroom is not just bells and whistles to capture the imagination of an MTV generation. It gives us access to pedagogical tools and primary sources that we just didn't have access to even a few short years ago.

For example, this clip I'm about to play is an 1896 Republican campaign song railing against William Jennings Bryan. Until three years ago, you had to travel to Washington, DC, to hear this song, let alone to bring it into a classroom.

[Audio recording]

Now this is a funny song to be sure, and I'm sure it's absolutely no reflection of any elected official who might be with us this morning. But what better way to begin to engage your students in a discussion of the tumultuous discussion of the 1896 election, then to allow them to experience history as much as possible in ways they were never able to do so before.

Here's another example of how technology can be used to enhance the undergraduate classroom and to be used for distance education. When I lead discussions on Lyndon Johnson, I try to get my students to understand something of his personality and relationship with Congress. Now I can talk to students about it and I can give them readings, but what better way than to give them an opportunity to become a historian themselves.

We're about to hear a portion of a 1965 conversation that was just released several months ago from the LBJ Library. It's a conversation between Johnson and Adam Clayton Powell, who was chair of the House Committee on Education and Labor -- a crucial committee for Johnson's Great Society legislation. Powell was concerned about an appropriation in a separate bill before a different committee.

[Audio recording]

Identifying these types of resources and helping history instructors bring them alive in the classroom is an integral mission of the Goldberg Program, and one reason that I love my job so much.

Our latest publishing initiative is Exploring the European Past, or ETEP as we call it. It's designed to captivate the imaginations and enhance the classroom experience of undergraduate students in Western Civilization European history classes. ETEP is different from every other source book on the market, because it uses primary textual sources, secondary sources, audio clips, architectural artifacts, works of art, and interactive maps to encourage students into a deeper

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Mr. Tully: (contd)

understanding of history. It challenges students to learn history to be sure, but it also encourages students to think critically about textual and non-textual sources. We hope to establish habit of the mind, which our students will carry well beyond their time at OSU.

President Kirwan, it's time for your quiz. Take a quick look at the combination of different lines up there. I'll actually give you four choices: "A" – a graphic presentation of your recent battles with the legislature; "B" – Coach Tressel's new defensive game plan -- which means I am now in trouble with Athletics and I don't know where I'll be sitting for the football games in the fall; "C" – it's an easy-to-understand map of the Barbarian invasions; or "D" – none of the above. I wanted to make this as easy as possible for you.

President Kirwan:

Can I phone a friend?

Mr. Tully:

You certainly may.

President Kirwan:

I think I'll go with "D"; that is my final answer!

Mr. Tully:

Very good. The reason this is not "C" is because it isn't an easy to understand map of the Barbarian invasions, because no static map of the Barbarian invasions could be. You're trying to convey information that happened over hundreds of years, you're trying to convey motion and settlement. Whether this was in an overhead, in somebody's textbook, or you tried to draw it on the chalk board, you couldn't communicate the same type of information that you need to get to your students for them to begin to understand it.

So let's look at what we've done in ETEP. Now this map was created out of Dulles Hall in partnership with our publishing partners. An interactive and dynamic map shows you how things happened over time, what the speed of this motion was, and begins to show you when settlement occurred and why. If you had an ancient historian in front of you, instead of an American historian, you might have someone who would really be able to explain this better. I can't, but in the hands of a talented person like Dr. Gregory or some of our talented graduate students, they can make this map come alive and really get beyond the confusing aspects of history to get into the more interesting, intriguing, and analytical aspects of it, which made us all love it so much.

So we've begun to take the fruits of these ventures beyond the campus walls. This year we launched the 2001 edition of *RTAP* and a new edition designed specifically for the advanced placement history market. Last year's edition was used in twenty-three states around the country. It's these students who are willing to challenge themselves in AP classes whom we want to attract to the department and to the University, and this we think is a good way to do that.

Our interest in the AP classroom grows out of our taking the outreach mission of the University to heart. With funding from BETHA, we created the History Teaching Institute at Ohio State University. The teaching institute teamed

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Mr. Tully: (contd)

teaching technology fellows and graduate students at Ohio State with advanced placement history teachers throughout the State of Ohio. In doing so, we created what we think was the first year-long effort in the nation to help AP teachers find effective ways to bring technology into the classroom.

We're currently working with the Columbus Public Schools on a \$1 million teaching American history grant program, which we hope will extend the History Teaching Institute into all the high schools and all the history classes in Columbus. We're also creating what we call "Internet Documentaries." These educational websites are designed to allow our faculty to share their scholarships with the world, engage public debate on historical issues, and invite the world to visit Ohio State, if only virtually.

This is the home of our latest effort on the clash of cultures in the 19-teens and the 1920s. We currently get about 40,000 visitors every month to our public history sites.

Why do we do all this? Why are we so concerned about teaching? Why are we so concerned about finding effective ways to bring our scholarship into the classroom? It's because we want our students' minds to go from this...to this. Thank you.

Dr. Moser:

John, thank you very much. Our next presentation will be Anil Makhija, who is with the E-MBA Program in the Fisher College of Business.

Professor Anil K. Makhija: [PowerPoint and web presentation]

Thank you for the opportunity to present our new Executive MBA Program to you. I'll make a few comments about the program itself and subsequently will go to the distance learning aspects of the program. In the red folder that you have in front of you, I've actually included a copy of this and slipped in a brochure as well, because you might, I hope, find some people that will be interested in this.

This is the entire curriculum for the program. As you will see here, it has some six different modules. The whole program takes about a year and a half to deliver and at any given time a typical module has three months in it. During those three months, three courses are going on simultaneously.

The interesting thing you might note here is that classes meet essentially once a month. For example, classes for the first module meet on January 11-13, which is a Thursday, Friday, and Saturday. During those days, they meet for the full day, from 8 am to 5 pm. In this fashion, three courses are going on simultaneously.

We have the normal MBA program courses in the sense that the first set of courses is the framing courses in business: strategy, organizational behavior, and economics. Then we have discipline courses: statistics, accounting, and management information systems. Then there are the functional courses. For example, as a professor of finance I teach the finance course -- which I will use as an example to show how we conduct each of the courses.

After covering all the major areas of management education, the program takes on an integrative approach, which is throughout the program. For example, even

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Professor Makhija: (contd)

when we teach organizational behavior, strategy, and economics, at one point all three professors come together and discuss the same case.

After covering the major areas there are more integrative activities. There is a global integration course, which as part of the course requires project work and takes the students to Mainland China.

We're also unique in the country for actually developing customized courses for each class as we go over time and these are the integration courses. These courses are unique in the sense that they don't belong to any one department within the College of Business, but are being taught by different professors. For example, one course that we might be delivering is the creation and management of a diversified corporation. In that we have a finance professor and a strategy professor come together to address emergent issues in today's economy.

Besides the integrative courses, we have some electives as well. And as a final integrative activity, we have a business plan competition for which the seed money award last round was \$100,000.

As you notice this format, you will see several features why this format might be attractive to executives and to us as well. From the point of view of executives who have busy corporate lives, they need to come on campus typically only once a month. From our point of view, it also allows us now to reach students who are not just within driving distance -- which would be the typical case for our evening program -- but allows us to teach students in different parts of the country and beyond. In the first run we were purposely focusing on the Ohio and neighboring region. Nevertheless, people found us on the web and -- I will later show you the profile of this class -- we now have students flying in from Omaha, Nebraska, Buffalo, New York, Phoenix, Arizona, etc.

Let me show you the challenges such a curriculum presents. While it's very attractive from the point of executives who would like to get additional business education, it presents certain challenges. The attractive feature being that it can be managed within a format where these people can come and schedule themselves to be here on a limited basis. The challenges are that it has limited in-class time. If you count the hours for each course, it comes to 24 hours of in-class contact time. The typical Ohio State quarter course would be close to 40 hours. So immediately, you have an educational challenge as to how we are delivering equivalent graduate credits. That's one challenge.

Another challenge is the students are in class from 8 am to 5 pm, three days in a row. This breaks the mold of the typical course in which you do a little bit of learning and then the students go away, they have time to read, digest, and come back, and then you take the next step. So there is also a learning challenge.

Finally, the students are gone from our sight for intervals of one month at a time. During this period we could have alienated students or students who are not pursuing their educational goals actively. To address these problems, we took the following steps.

We developed a task force, which studied this format and its issues. We actually ran a pilot and have now implemented a learning model that addresses those problems. The core idea in this learning module, however, is that the interim time is not a separate time, it's really an integral part of the program. So what we

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Professor Makhija: (contd)

do now is we have gone over all of our courses and looked at the components of what we teach in those courses. We then divided them between what we consider to be the commodity-type of learning and the other type of learning, which we call, "value-added or enhanced learning."

Let me give you a couple of examples. In the strategy course, we provide the students now with some of the cases that will be discussed in class. So a case might be 40-pages long and the student will now, during the web time, go through that case. They will clearly have lots of questions and issues, but they will submit perhaps a three-page report with the help of assigned readings. So they will be given assigned readings and they will get feedback on this three-page report. They will do multiple cases so that when they come to class they will revisit the same material, but now with the benefit of the expertise of the instructor and also the substantial experience of the participants in this program.

Another example is in my finance course I give them a guide and assigned readings from textbooks, and I get them to actually try some of the problems on their own. I give them feedback, but when they come to class we do the more challenging problems in class.

This turns the students from passive learners, at whom information would be constantly thrown, into active learners. They come to us already with issues to discuss, rather than to take down information. We hope that the outcomes that we are seeking will not only be covered through the additional time and work during the web period, but because of the limited in-class contact hours we'll get the coverage of the material. Hopefully, we'll also get active learners when they are with us and they will learn from individuals who don't spend their time in class acquiring information, but rather working with us -- manipulating and discussing it.

In a moment I will show you some of these features to support the web time as a very important component of the program. We consider the web time as scheduled in as much detail as any professor's schedule is in class -- weekly assignments, feedback, interaction, etc.

Before I get to that, I want to give you a sense of whom the audience is. This is, of course, an executive audience and this is our first executive MBA class. We've already done the pilot, but this class has already been with us for two quarters. Typically these students have a lot of experience and they meet very well the profile of other students that we have admitted into the school. They come with lots of responsibilities and experiences, and it is very important therefore to get them to share this with their other colleagues in the class because they have a lot to contribute. Overwhelmingly these students are all supported by their corporations, perhaps, because of the steep tuition that goes with this executive MBA program.

Turning to the website, I will run through a typical course and the other features to give you a sense of how we are trying to support this material. Here are some of the companies that are in the program and actually all of these are hyperlinked so you can actually go into their companies and see where they come from as well.

When you come to our website, it knows you by name and the courses that are going on at that time are on the web. Just to give you an example, we will move to my course and then we'll come back and see other interactive features of the website that supports proactive education during that time.

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Professor Makhija: (contd)

So let's turn to my syllabus. In a typical course this syllabus is what we would have available to a student, but this is only one component in our program. As the students open to my course, I provide an overview of what the course is about and what they will learn. Perhaps we can hear a few words –

[Audio example from website]

I go through all the objectives of the course, etc., so even before the students get started they have a sense of it. Scrolling down you will see the normal details of the syllabus are available. This is typically what a student would get in a normal course. The syllabus is available with all the details. The student knows how they will be graded -- because we have exams and in a moment I'll tell you how we conduct our exams; they do assigned problems; and we have weekly chat room discussions, so that during the web time we are actually talking to them about what is happening in the market.

Perhaps we can turn to one of the interims to see how they prepare before they come to class. This is before they have actually come to the first on-campus class and they are provided readings. They participate in a chat discussion with me. All the chat topics have been put in there, but as the market moves sometimes we drop the topic that we have listed and actually follow the stock market.

What is very interesting is, as you read some of these chats posted, people start bringing examples from their jobs. When they found that they were working on a project, financing could not be separated. There is learning that is going on here, which I believe is not possible without this format.

Here is an assignment where they are required to plot the stock prices of their companies and to point out what information was released to try and understand why the stock moved the way it did. This would be provided to me already during the off-campus period, so that even before they come to class, I have given them feedback on their study of market deficiencies.

This is an online manual that they can use to learn the basics of valuation prior to coming to campus. Time value of money – what I do is run through an example, discuss the idea, and then I have them do a problem. This is what I meant by commodity learning. This is the stuff that they can do on their own.

When they try problems, they get to actually see the solutions as well, so that they can also get feedback on what they are doing. They can also see what the slides will look like when they're in class, so their preparation is complete before coming. Similarly, we run through the other interims.

Coming back to the full website, there are many features that support them interacting with each other. Throughout the off-campus period they have week-by-week requirements on what they're supposed to do. They know a lot about each other. If you click on the student directory, there is all kinds of information -- how to get in touch with each other, E-mail addresses – it is very easy to communicate within the box.

You can also see there's a lot of help available. These are the courses that they have been having. In terms of help, look at course navigation, in case they forget they have all sorts of sample navigation tools there as well.

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Professor Makhija: (contd)

I think in the interest of time, I will stop at this point. I do want to thank Kevin May, who's helped me through this. Kevin works for KMI that has supported our web effort here and some information on them is also available in the folder.

Dr. Moser:

Mr. Chairman, that's just a slice of the pie of the potential that we could have for Ohio State University's distance education. Thank you for this opportunity today.

Mr. Brennan:

Bobby, thank you and your panel for coming forth. I think we're all excited about what Ohio State is doing in and out of the classrooms. Thank you very much.

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CONSENT AGENDA

President William E. Kirwan

We have 16 resolutions on the Consent Agenda today and I would like to ask that #12 and #13 be set aside for separate votes. Unless there are any objections, I would like to recommend the remaining 14 resolutions to the Board:

REGIONAL CAMPUS BOARDS APPOINTMENTS/REAPPOINTMENT

Resolution No. 2002-1

Synopsis: Approval of appointments/reappointment to The Ohio State University Regional Campus Boards is proposed.

WHEREAS the Board of Trustees in 1994 approved the establishment of The Ohio State University Regional Campus Boards; and

WHEREAS it has been previously stipulated that "the board shall be composed of eleven members appointed by The Ohio State University Board of Trustees in consultation with the president of the university" (one member of the board shall be a member of the university board of trustees; nine members shall be private citizens; and one member shall be a student); and

WHEREAS the following named persons have been nominated and selected for appointments/reappointment to the following Regional Campus Boards for the terms as specified:

Lima Board Appointments

Shama Amin, 3-year term
Ronald E. Hadley, 3-year term
Timothy W. Hornsey, 2-year term
Grace Schulte, 3-year term

Newark Board Appointments/Reappointment

Robert H. McGaughy, 3-year term
Elizabeth Welsh, 3-year term
Christopher G. Hempfling, 1-year term (student appointment)
Thomas A. Brannon, 3-year term (reappointment)

**REGIONAL CAMPUS BOARDS
APPOINTMENTS/REAPPOINTMENT (contd)**

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominees be approved as members of The Ohio State University-Lima Board and The Ohio State University-Newark Board, effective July 1, 2001.

**APPOINTMENTS TO THE ENGINEERING EXPERIMENT
STATION ADVISORY COUNCIL**

Resolution No. 2002-2

WHEREAS the Engineering Experiment Station is under the control of the Board of Trustees of The Ohio State University; and

WHEREAS according to statute 3335.27 of the Ohio Revised Code, there shall be an advisory council made up of the director of the Engineering Experiment Station and six members appointed by the Board, chosen from the faculty of the College of Engineering; and

WHEREAS this Engineering Experiment Station Advisory Council "shall select suitable subjects for investigation, apportion the available funds, and with the consent of the Board may provide for the dissemination of the results to the people of the state:"

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of President William Kirwan, pursuant to the request of David B. Ashley, Dean and Director, College of Engineering, Engineering Experiment Station, The Ohio State University Board of Trustees, acting in accordance with the requirements of the Ohio Revised Code Section 3335.27, hereby appoint the following individuals to serve on the Engineering Experiment Station Advisory Council:

<u>Name</u>	<u>Title</u>	<u>Proposed Term</u>
Randolph L. Moses	Professor of Electrical Engineering	3 years (6/1/2001 - 5/31/2004)
Glenn S. Daehn	Professor of Materials Science and Engineering	3 years (6/1/2001 - 5/31/2004)

ESTABLISHMENT OF THE DEPARTMENT OF COMPARATIVE STUDIES

Resolution No. 2002-3

Synopsis: The establishment of the Department of Comparative Studies is proposed.

WHEREAS the Division of Comparative Studies in the Humanities was formed as a tenure-initiating unit in 1990, from the former Center for Comparative Studies in the Humanities, and since that time has expanded in number of faculty and has developed undergraduate major and minor programs, and a graduate MA program; and

WHEREAS this expansion in scope has brought it to the point where both the Division and the College of Humanities believe that Department status is justified, and have developed a proposal

ESTABLISHMENT OF THE DEPARTMENT OF COMPARATIVE STUDIES (contd)

that adheres to all "Guidelines for the Consideration of the Establishment of an Academic Department," including number of faculty and budget; and

WHEREAS the proposal was discussed with, and received the support from, both undergraduate and graduate students; was supported by a unanimous vote of the faculty in the Division; was endorsed by the faculty of the College of Humanities; received the unanimous support of the reviewing subcommittee and then the full Council on Academic Affairs on May 2, 2001; and approved by the University Senate at its June 2, 2001 meeting:

NOW THEREFORE

BE IT RESOLVED, That the proposal to establish the Department of Comparative Studies be hereby approved, effective immediately.

ESTABLISHMENT OF AN INSTITUTE FOR CHINESE STUDIES

Resolution No. 2002-4

Synopsis: The establishment of an Institute for Chinese Studies is proposed.

WHEREAS this Institute is planned to serve as the intellectual and communication center for research, instruction, outreach and development activities for University faculty and students who have, or wish to develop, expertise on China; and

WHEREAS there currently exist, within the University, faculty in Chinese studies in six colleges and fourteen academic disciplines, numbers comparable to those at peer institutions with established centers or institutes; and

WHEREAS the benefits of this Institute include, greater visibility for the current scholars in Chinese studies on campus, better cross-college collaboration and coordination of academic activities in the field, and better opportunity to attract private and public funding; and

WHEREAS the proposal adheres to all "Guidelines for the Establishment and Review of Academic Centers," including membership, administration, and budget, and has been endorsed strongly in internal and external letters of support; and

WHEREAS the proposal was discussed and approved by the Research Committee, the Research and Graduate Council, by the reviewing subcommittee, and then the full Council on Academic Affairs on May 16, 2001, and approved by the University Senate at its June 2, 2001 meeting:

NOW THEREFORE

BE IT RESOLVED, That the proposal to establish an Institute for Chinese Studies be hereby approved, effective immediately.

TRANSFER OF THE HOSPITALITY MANAGEMENT PROGRAM

Resolution No. 2002-5

Synopsis: Transfer of the Hospitality Management Program from the Department of Human Nutrition and Food Management to the Department of Consumer and Textile Sciences in the College of Human Ecology is proposed.

TRANSFER OF THE HOSPITALITY MANAGEMENT PROGRAM (contd)

WHEREAS in its early stage of development, the Hospitality Management Program focused on food preparation and institutional food service, but now focuses on managing resources in the hospitality industry; and

WHEREAS the proposed relocation will put into one department all college programs focused on meeting the needs of the ultimate consumer through market transactions relating to products, service products, and customer service; and

WHEREAS three faculty members will transfer from the Department of Human Nutrition and Food Management to the Department of Consumer and Textile Sciences, and graduate and undergraduate courses will be relocated; and

WHEREAS the proposal was endorsed by the three faculty in the Hospitality Management Program, the faculty in the Department of Human Nutrition and Food Management, the faculty in the Department of Consumer and Textile Sciences, and the faculty of the College of Human Ecology; and

WHEREAS the proposal had the unanimous support of the reviewing subcommittee and then the full Council on Academic Affairs on May 16, 2001, and was approved by the University Senate at its June 2, 2001 meeting:

NOW THEREFORE

BE IT RESOLVED, That the transfer of the Hospitality Management Program from the Department of Human Nutrition and Food Management to the Department of Consumer and Textile Sciences in the College of Human Ecology, be approved effective immediately.

NAMING OF BUILDING

Resolution No. 2002-6

Synopsis: The naming of the new Richard M. Ross Heart Hospital, located on West 10th Avenue adjoining Rhodes Hall and connected to The Dorothy M. Davis Heart and Lung Research Institute, is proposed.

WHEREAS The Ohio State University is planning construction of a new heart hospital on The Ohio State University Medical Center campus to provide more efficient and comprehensive heart care to patients, to enhance cardiovascular research collaboration between heart hospital clinicians and investigators in The Dorothy M. Davis Heart and Lung Research Institute, and to improve the educational opportunities available to medical students, residents, fellows, and physicians throughout Ohio and the United States; and

WHEREAS Elizabeth McKeever Ross, an Ohio State University alumna, philanthropist, wife of the late Richard M. Ross, mother of four children, and member of The Ohio State University Heart Center Campaign Committee, has made a generous gift to support The Ohio State University Heart Center Campaign; and

WHEREAS Richard M. Ross was an Ohio State University alumnus, president of Ross Laboratories (now a division of Abbott Laboratories), father of four children, and husband to Elizabeth McKeever Ross for 53 years; and

WHEREAS the Ross family has a long and generous history of involvement and philanthropy to The Ohio State University and the Columbus community:

NAMING OF BUILDING (contd)

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code the Board of Trustees hereby approves naming the planned heart hospital building, located on West 10th Avenue on The Ohio State University Medical Center, "The Richard M. Ross Heart Hospital."

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY

Resolution No. 2002-7

Synopsis: Approval of the following amendments to the *Rules of the University Faculty* are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the *Rules of the University Faculty* as approved by the University Senate; and

WHEREAS the proposed changes in the *Rules of the University Faculty* were approved by the University Senate on June 2, 2001:

Amended Rules

3335-5-484 Council on student affairs.

(A) Membership.

The council on student affairs shall consist of twenty members.

(1) and (2) unchanged.

(3) Three staff members, appointed by the vice president for student ~~and urban/community~~ affairs.

(a) through (c) unchanged.

(4) The vice president for student ~~and urban/community~~ affairs or designee, non-voting.

(5) and (6) unchanged.

(B) Duties and responsibilities.

(1) Initiate recommendations and review proposals with regard to policies which may affect the quality of student life. ~~Recommend to the senate any proposed changes to the "Code of Student Conduct."~~

(2) unchanged.

(3) Advise the vice president for student ~~and urban/community~~ affairs regarding the programs, services, and activities of the division.

(4) Establish study groups, committees, and other mechanisms as needed to explore issues affecting the quality of student life and make recommendations regarding these issues to the vice president for student ~~and urban/community~~ affairs, the senate, and other appropriate groups.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

(5) through (7) unchanged.

(8) CONDUCT PERIODIC REVIEWS OF THE APPLICATION OF THE "CODE OF STUDENT CONDUCT." RECOMMEND TO THE SENATE ANY PROPOSED CHANGES TO THE "CODE OF STUDENT CONDUCT."

Balance unchanged.

3335-7-28 Repetition of courses.

(A) Unchanged.

(B) Undergraduate or professional students, as defined in rule 3335-9-01 of the Administrative Code, who have received a mark of A, "A-," "B+," "B," "B-," "C+," "C," "C-," "D+," "D," "EM," "K," or "PA" in a course at this university may repeat the course for credit only upon the recommendation of the authorized representative of the dean or director of their enrollment unit. ~~No course for which one of these marks has been received may be repeated more than one time, other than for audit.~~

(C) through (E) unchanged.

3335-11-07 Purpose.

~~The Ohio unions are the university centers of the Ohio state university's Columbus campus. They exist~~ UNION EXISTS for the primary purpose of serving students in their cultural, social, recreational, and leadership activities. ~~The Ohio and Drake unions are~~ UNION IS also established to serve the faculty, staff, alumni, and guests of the university. (B/T 9/7/59, B/T 7/11/75, B/T 4/2/96, B/T 6/29/2001)

3335-11-08 Director.

The director shall be the chief administrator of the Ohio ~~and Drake unions~~ UNION. The director shall have the general administrative responsibility for the programs and financial affairs of ~~these unions~~ THE UNION. The director shall be responsible to the assistant vice president for student affairs (housing, food service, and event centers) AND REPORT ANNUALLY TO THE COUNCIL ON STUDENT AFFAIRS. (B/T 9/7/59, B/T 12/3/71, B/T 7/11/75, B/T 7/12/91, B/T 4/2/96, B/T 6/29/2001)

3335-11-09 The Ohio ~~unions~~ UNION council.

(A) The Ohio ~~unions~~ UNION council shall consist of ~~thirty-four~~ FIFTEEN members chosen as follows:

(1) Students.

(a) ~~One~~ TWO graduate ~~student~~ STUDENTS selected by the council of graduate students. ~~Term of service shall be one year.~~ ONE STUDENT WILL SERVE A ONE-YEAR TERM, AND ONE STUDENT WILL SERVE A TWO-YEAR TERM.

(b) ~~One~~ TWO professional ~~student~~ STUDENTS selected by the inter-professional council. ~~Term of service shall be one year.~~ ONE STUDENT WILL SERVE A ONE-YEAR TERM, AND ONE STUDENT WILL SERVE A TWO-YEAR TERM.

(c) ~~One~~ TWO undergraduate ~~student~~ STUDENTS selected by the undergraduate student government. ~~Term of service shall be one year.~~ ONE STUDENT WILL SERVE A ONE-YEAR TERM, AND ONE STUDENT WILL SERVE A TWO-YEAR TERM.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

~~(d) The president of the student events committee shall have a permanent seat on the council.~~

~~(e)~~

~~(d)~~ One ~~TWO~~ student ~~member~~ MEMBERS FROM REGISTERED STUDENT ORGANIZATIONS SHALL BE selected by the ~~Ohio unions program office~~ DIRECTOR OF STUDENT ACTIVITIES. ONE STUDENT WILL SERVE A ONE-YEAR TERM, AND ONE STUDENT WILL SERVE A TWO-YEAR TERM.

~~(f) Thirteen student members shall be selected from the student body under the procedures established and documented by the council.~~

(2) Regular faculty.

~~Four~~ TWO regular members selected by the faculty council. Term of service shall be two years.

(3) Staff members.

(a) The director shall ~~have a permanent seat on~~ BE AN EX-OFFICIO, NON-VOTING MEMBER OF the council.

(b) ~~Four unions'~~ ONE UNION staff ~~members~~ MEMBER shall be appointed by the director. Term of service shall be two years.

(c) ~~Three~~ ONE staff ~~members~~ MEMBER from across the university shall be appointed by the vice president for student ~~and urban/community~~ affairs under procedures established and documented by the council. TERM OF SERVICE SHALL BE TWO YEARS.

(4) Alumni members.

~~Two~~ ONE alumni ~~members~~ MEMBER shall be appointed by the Ohio state alumni association in consultation with the council. Term of service shall be ~~three years~~ ONE YEAR.

(5) Community ~~members~~-MEMBER.

~~Two~~ ONE community ~~representatives~~ REPRESENTATIVE shall be selected under procedures established and documented by the council. TERM OF SERVICE SHALL BE ONE YEAR.

(B) Duties and responsibilities.

~~Subject to the general authority of the president and the board of trustees, the Ohio unions council shall:~~

(1) Establish general policy on such matters as, but not limited to, financial planning, facility use, student development, public and campus relations.

~~(2)~~ These policies shall guide the administration of the program of the ~~unions~~ UNION by the director of the ~~unions~~ UNION, but the council shall not serve in an administrative capacity.

(2) REPORT REGULARLY TO THE COUNCIL ON STUDENT AFFAIRS.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

(C) Organization.

~~The president of the student events committee shall serve as chair, and the director shall serve as the executive secretary for the council. The structure and operation of the Ohio unions council will be established and documented by the council.~~ THE CHAIR SHALL BE ELECTED FROM AMONG THE VOTING MEMBERS OF THE COMMITTEE. (B/T 9/7/59, B/T 2/9/67, B/T 11/9/67, B/T 12/3/71, B/T 7/11/75, B/T 7/20/79, B/T 2/7/86, B/T 11/2/90, B/T 7/12/91, B/T 4/2/96, B/T 4/3/98, B/T 6/29/2001)

3335-13-07 Rules governing faculty and staff participation in companies commercializing university research.

(A) through (F) unchanged.

(G) Conflict of interest management standards.

(1) through (4) unchanged.

(5) Graduate and undergraduate students may use university facilities, equipment and other resources to perform research benefiting a technology commercialization company only pursuant to a sponsored research agreement. As stipulated in the graduate school handbook, such research may not be used to satisfy the criteria for a thesis or dissertation if the material is restricted from publication. Students ~~should~~ MUST be informed in writing of this restriction prior to the start of their research.

(6) through (14) unchanged.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the *Rules of the University Faculty* be adopted as recommended by the University Senate.

PERSONNEL ACTIONS

Resolution No. 2002-8

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the June 1, 2001 meeting of the Board, including the following Appointments/Reappointments, Appointments/Reappointments of Chairpersons and Directors, Leaves of Absence Without Salary, Professional Improvement Leaves, Promotions/Tenure/Reappointments, Emeritus Titles, and salary rolls with promotion, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments/Reappointments (The Ohio State University Medical Center and The Ohio State University Hospitals-East) approved May 24, 2001, by The Ohio State University Hospitals Board, be ratified.

Appointments

Name:	L. CAMILLE HEBERT
Title:	Professor (The Carter C. Kissell Professorship in Law)
College:	Law
Effective:	August 8, 2001
Present Positions:	Director, Center for Law, Policy, and Social Science, and Professor, College of Law

PERSONNEL ACTIONS (contd)

Appointments (contd)

Name: CAROLINE C. WHITACRE
Title: Interim Associate Vice President for Health Sciences Research and Vice Dean for Research
Office/College: Health Sciences/Medicine and Public Health
Term: May 1, 2001 through April 30, 2002
Present Position: Chairperson, Department of Molecular Virology, Immunology and Medical Genetics

Name: BARBARA R. SNYDER
Title: Vice Provost for Academic Policy and Human Resources
Office: Academic Affairs
Effective: August 1, 2001
Present Positions: Associate Dean and Professor, College of Law

Reappointments

Name: CLARA D. BLOOMFIELD
Title: Professor (The William Greenville Pace III Endowed Chair in Cancer Research)
College: Medicine and Public Health
Term: July 1, 2001 through June 30, 2005

Name: MICHAEL A. CALIGIURI
Title: Professor (The John L. Marakas Nationwide Insurance Enterprise Foundation Chair in Cancer Research)
College: Medicine and Public Health
Term: July 1, 2001 through June 30, 2005

Name: ALBERT DE LA CHAPELLE
Title: Professor (The Leonard J. Immke, Jr. and Charlotte L. Immke Chair in Cancer Research)
College: Medicine and Public Health
Term: July 1, 2001 through June 30, 2005

Appointment of Chairpersons and Director

July 1, 2001 through June 30, 2002

Industrial, Interior and Visual
Communication Design

Wayne E. Carlson*

July 1, 2001 through June 30, 2003

Consumer and Textile Sciences
Center for the Study of Teaching and Writing

Loren V. Geistfeld*
Beverly J. Moss

July 1, 2001 through June 30, 2005

Advanced Computing Center for the
Arts and Design

Maria Palazzi

*Interim

PERSONNEL ACTIONS (contd)

Reappointment of Chairpersons and Directors

January 1, 2001 through June 30, 2005

Theatre

Lesley K. Ferris

July 1, 2001 through December 31, 2001

Veterinary Biosciences

Charles C. Capen

July 1, 2001 through June 30, 2002

Neuroscience
School of Public Health
Radiology
Veterinary Hospital

Michael S. Beattie*
Ronald St. Pierre*
Dimitrios Spigos
Richard M. Bednarski

July 1, 2001 through June 30, 2004

Neurology
Otolaryngology

Jerry R. Mendell
David E. Schuller

July 1, 2001 through June 30, 2005

School of Allied Medical Professions
Austin E. Knowlton School of Architecture
Microbiology
Obstetrics and Gynecology
Pathology

Stephen L. Wilson
Robert S. Livesey
John N. Reeve
Larry J. Copeland
Daniel D. Sedmak

October 1, 2001 through September 30, 2005

Astronomy

Patrick S. Osmer

January 1, 2002 through June 30, 2002

Ophthalmology

Paul A. Weber

*Interim

Leaves of Absence Without Salary

DAVID SCHMEIDLER, Professor, Department of Economics, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, to work on his research.

MASSIMO MORELLI, Assistant Professor, Department of Economics, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, to accept a research fellowship at the Institute for Advanced Study, Princeton, New Jersey.

Professional Improvement Leaves

STEPHEN G. CECCHETTI, Professor, Department of Economics, effective Autumn Quarter 2001 and Winter Quarter 2002.

ANITA W. HOY, Professor, School of Educational Policy and Leadership, effective Autumn Quarter 2001 and Spring Quarter 2002.

PERSONNEL ACTIONS (contd)

Professional Improvement Leaves (contd)

HARRIS P. KAGAN, Professor, Department of Physics, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

JOSEPH P. MCKERNS, Associate Professor, School of Journalism and Communication, effective Winter Quarter and Spring Quarter 2002.

JOSEPH J. PILOTTA, Associate Professor, School of Journalism and Communication, Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

Emeritus Titles

ALBERT N. MANCINI, Department of French and Italian, with the title Professor Emeritus, effective July 1, 2001.

ROY A. TASSAVA, Department of Molecular Genetics, with the title Professor Emeritus, effective July 1, 2001.

Promotions, Tenure, and Reappointments

COLLEGE OF THE ARTS

PROMOTION TO PROFESSOR

David W. Covey, Dance - effective 10/1/2001

J. Ronald Green, History of Art - effective 10/1/2001

Mark W. Shanda, Theatre - effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Arved M. Ashby, School of Music - effective 10/1/2001

Daniel K. Avorgbedor, School of Music - effective 10/1/2001

Wayne C. Chung, Industrial, Interior, and Visual Communication Design - effective 10/1/2001

Christine B. Morris, Art Education, Newark - effective 10/1/2001

John C. Thrasher, Art, Mansfield - effective 10/1/2001

COLLEGE OF BIOLOGICAL SCIENCES

PROMOTION TO PROFESSOR

Michael C. Ostrowski, Molecular Genetics - effective 10/1/2001

Brian H. Smith, Entomology - effective 10/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Stephen T. Abedon, Microbiology, Mansfield - effective 10/1/2001

Michael K. Chan, Biochemistry - effective 10/1/2001

Erich Grotewold, Plant Biology - effective 10/1/2001

Andrea D. Wolfe, Evolution, Ecology and Organismal Biology - effective 10/1/2001

MAX M. FISHER COLLEGE OF BUSINESS

PROMOTION TO PROFESSOR

Anil Arya, Accounting and Management Information Systems - effective 10/1/2001

George A. Karolyi, Finance - effective 10/1/2001

COLLEGE OF DENTISTRY

PROMOTION TO PROFESSOR

Joseph B. Travers - effective 7/1/2001

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF DENTISTRY (contd)
REGULAR CLINICAL

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Janet L. Bolina - effective 7/1/2001
Steven I. Ganzberg - effective 7/1/2001
Mark A. Knutsen - effective 7/1/2001
Beverly A. McClure - effective 10/1/2001
R. Bruce Miller - effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Henry Fischbach - effective 7/1/2001 and 7/1/2002
Christine A. Halket - effective 7/1/2001 and 7/1/2002
Deborah A. Mendel - effective 7/1/2001 and 7/1/2002

REAPPOINTMENT

William G. Golden - effective 7/1/2002
Abdel R. Mohammad - effective 7/1/2002

COLLEGE OF EDUCATION

PROMOTION TO PROFESSOR

Barbara A. Lehman, School of Teaching and Learning, Mansfield - effective 10/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Mary K. Bendixon-Noe, School of Teaching and Learning, Newark - effective 10/1/2001
Caroline T. Clark, School of Teaching and Learning - effective 10/1/2001
Brian W. Edmiston, School of Teaching and Learning - effective 10/1/2001
Mary Jo Fresch, School of Teaching and Learning, Marion - effective 10/1/2001
Cynthia L. Uline, School of Educational Policy and Leadership - effective 10/1/2001

COLLEGE OF ENGINEERING

PROMOTION TO PROFESSOR

Robert Lee, Electrical Engineering - effective 10/1/2001
Rongxing Li, Civil and Environmental Engineering and Geodetic Science - effective 10/1/2001
Carolyn J. Merry, Civil and Environmental Engineering and Geodetic Science - effective 10/1/2001
Hitay Ozbay, Electrical Engineering - effective 10/1/2001
Dhabaleswar K. Panda, Computer and Information Science - effective 10/1/2001
Steven A. Ringel, Electrical Engineering - effective 10/1/2001
Ahmet Selamet, Mechanical Engineering - effective 10/1/2001
Mo-How Herman Shen, Aerospace Engineering and Aviation - effective 10/1/2001
C. K. Shum, Civil and Environmental Engineering and Geodetic Science - effective 10/1/2001
John W. Simpson, Knowlton School of Architecture - effective 10/1/2001
Deliang Wang, Computer and Information Science - effective 10/1/2001
Longya Xu, Electrical Engineering - effective 10/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Roger A. Crawfis, Computer and Information Science - effective 10/1/2001
Dave F. Farson, Industrial, Welding and Systems Engineering - effective 10/1/2001
Alan J. Saalfeld, Civil and Environmental Engineering and Geodetic Science - effective 10/1/2001

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF FOOD, AGRICULTURAL AND ENVIRONMENTAL SCIENCES

PROMOTION TO PROFESSOR

Larry C. Brown, Food, Agricultural and Biological Engineering - effective 7/1/2001

Joseph S. Hogan, Animal Sciences - effective 7/1/2001

James C. Papritan, Food, Agricultural and Biological Engineering - effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Michael J. Boehm, Plant Pathology - effective 7/1/2001

John Cardina, Horticulture and Crop Science - effective 7/1/2001

Ann D. Christy, Food, Agricultural and Biological Engineering - effective 7/1/2001

Scott D. Scheer, Human and Community Resource Development - effective 7/1/2001

Brent L. Sohngen, Agricultural, Environmental and Development Economics - effective 7/1/2001

AGRICULTURAL TECHNICAL INSTITUTE

PROMOTION TO PROFESSOR

Shahrokh Rahnema – effective 7/1/2001

Allen P. Zimmerman – effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Daniel C. Voltz - effective 10/1/2001

David A. Willoughby - effective 7/1/2001

Karen G. Wimbush - effective 7/1/2001

OHIO STATE UNIVERSITY EXTENSION

PROMOTION TO ASSOCIATE PROFESSOR

Barbara A. Brahm - effective 7/1/2001

Ruth A. Foote - effective 7/1/2001

Cynthia R. Shuster - effective 7/1/2001

Judith A. Villard - effective 7/1/2001

Gary W. Wilson- effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Garee W. Earnest - effective 7/1/2001

Joseph H. Konen - effective 7/1/2001

Linda M. Kutilek - effective 7/1/2001

PROMOTION TO ASSISTANT PROFESSOR WITH TENURE

Mary F. Longo – effective 7/1/2001

COLLEGE OF HUMAN ECOLOGY

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Jonathan J. Fox, Consumer and Textile Sciences- effective 10/1/2001

Catherine P. Montalto, Consumer and Textile Sciences- effective 10/1/2001

COLLEGE OF HUMANITIES

PROMOTION TO PROFESSOR

Lee B. Brown, Philosophy - effective 10/1/2001

Kirk Freudenberg, Greek and Latin - effective 10/1/2001

Sebastian D. Knowles, English - effective 10/1/2001

Deborah A. Modellmog, English - effective 10/1/2001

Michael D. Swartz, Near Eastern Languages and Cultures - effective 10/1/2001

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF HUMANITIES (contd)

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

James F. Buckley, English, Mansfield - effective 10/1/2001

Edward J. D'Arms, Philosophy - effective 10/1/2001

Jared B. Gardner, English - effective 10/1/2001

Erik T. Gunderson, Greek and Latin - effective 10/1/2001

Javier Gutierrez-Rexach, Spanish and Portuguese - effective 10/1/2001

Gregor W. Hens, Germanic Languages and Literatures - effective 10/1/2001

Edwin H. Knapp, English - effective 10/1/2001

COLLEGE OF LAW

PROMOTION TO PROFESSOR WITH TENURE

Mary Ellen O'Connell - effective 08/16/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Douglas A. Berman - effective 08/16/2001

Thomas P. Gallanis - effective 08/16/2001

LIBRARIES

PROMOTION TO PROFESSOR

David A. Lincove - effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR

Marguerite Weibel - effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Beau Case - effective 7/1/2001

Marian Shemberg - effective 7/1/2001

PROMOTION TO ASSISTANT PROFESSOR WITH TENURE

Cynthia Preston - effective 7/1/2001

COLLEGE OF MATHEMATICAL AND PHYSICAL SCIENCES

PROMOTION TO PROFESSOR

Kock K. Gan, Physics - effective 10/1/2001

Steven N. MacEachern, Statistics - effective 10/1/2001

Jonathan P. Pelz, Physics - effective 10/1/2001

Terry P. Walker, Physics - effective 10/1/2001

Fangyeng Zheng, Mathematics - effective 10/1/2001

PROMOTION TO ASSOCIATE PROFESSOR

Lee J. McEwan, Mathematics, Mansfield - effective 10/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Christopher M. Hadad, Chemistry - effective 10/1/2001

Ivo Herzog, Mathematics, Lima - effective 10/1/2001

Richard E. Hughes, Physics - effective 10/1/2001

Michael A. Lisa, Physics - effective 10/1/2001

Wenzhi Luo, Mathematics - effective 10/1/2001

Dehua Pei, Chemistry - effective 10/1/2001

William O. Putikka, Physics, Mansfield - effective 10/1/2001

Brian L. Winer, Physics - effective 10/1/2001

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF MEDICINE AND PUBLIC HEALTH

PROMOTION TO PROFESSOR

Mark A. Angelos, Emergency Medicine - effective 7/1/2001
Robert G. Castile, Pediatrics - effective 7/1/2001
Patrick J. Fahey, Family Medicine - effective 7/1/2001
Mary A. Fristad, Psychiatry - effective 7/1/2001
John D. Mahan, Pediatrics - effective 7/1/2001
N. Stanley Nahman, Jr., Internal Medicine - effective 7/1/2001
Gerard J. Nuovo, Pathology - effective 7/1/2001
Brad H. Rovin, Internal Medicine - effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Jennifer A. Bogner, Pharmacology - effective 7/1/2001
William E. Burak, Jr., Surgery - effective 7/1/2001
Petra Schmalbrock, Radiology - effective 10/1/2001
W. James Waldman, Pathology - effective 7/1/2001
William A. Wilmer, Internal Medicine - effective 7/1/2001

TENURE

Jean P. Hubble, Neurology - effective 7/1/2001

COLLEGE OF MEDICINE AND PUBLIC HEALTH
REGULAR CLINICAL

PROMOTION TO PROFESSOR-CLINICAL

Herman A. Tolbert, Psychiatry - effective 7/1/2001
Randy R. Miller, Pediatrics - effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Charles L. Hitchcock, Pathology - effective 7/1/2001
Sam L. Penza, Internal Medicine - effective 7/1/2001
Amanda M. Termuhlen, Pediatrics - effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Daniel M. Cohen, Pediatrics - effective 7/1/2001 and 7/1/2002
Miriam L. Freimer, Neurology - effective 7/1/2001 and 7/1/2002
Ann Pakalnis, Pediatrics - effective 7/1/2001 and 7/1/2002

REAPPOINTMENT

Anil K. Agarwal, Internal Medicine - effective 7/1/2002
Diane P. Begany, Pediatrics - effective 7/1/2002
Susan C. Benes, Ophthalmology - effective 7/1/2002
Stephanie C. Cook, Emergency Medicine - effective 7/1/2002
Lynne A. Eaton, Obstetrics and Gynecology - effective 7/1/2002
Cynthia B. Evans, Obstetrics and Gynecology - effective 7/1/2002
Carrie A. Ganong, Pediatrics - effective 7/1/2002
Benita M. Jackson-Smoot, School of Public Health - effective 7/1/2002
Deborah J. Lynn, Neurology - effective 7/1/2002
William E. Maher, Internal Medicine - effective 7/1/2002
Mary A. McIlroy, Pediatrics - effective 7/1/2002
William R. McLaughlin, Ophthalmology - effective 7/1/2002
Robert A. Murden, Internal Medicine - effective 7/1/2002
Randal S. Olshefski, Pediatrics - effective 7/1/2002
David A. Orsinelli, Internal Medicine - effective 7/1/2002

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF MEDICINE AND PUBLIC HEALTH
REGULAR CLINICAL (contd)

REAPPOINTMENT (contd)

Arthur E. Pellegrini, Internal Medicine - effective 7/1/2002
Sheryl A. Pfeil, Internal Medicine - effective 7/1/2002
Daniel G. Rowland, Pediatrics - effective 7/1/2002
Howard A. Werman, Emergency Medicine - effective 7/1/2002
Judith A. Westman, Internal Medicine - effective 7/1/2002

COLLEGE OF NURSING
REGULAR CLINICAL

PROMOTION TO PROFESSOR

Nancy K. Lowe - effective 10/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Terry A. Lennie - effective 10/1/2001
Nancy R. Reynolds - effective 10/1/2001

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Barbara J. Warren - effective 10/1/2001

COLLEGE OF OPTOMETRY
REGULAR CLINICAL

REAPPOINTMENT

Robert D. Newcomb – effective 7/1/2002

COLLEGE OF PHARMACY

PROMOTION TO PROFESSOR

M. Guillaume Wientjes - effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

James W. McAuley - effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Marialice S. Bennett - effective 7/1/2001
Carolyn C. Brackett - effective 7/1/2001

COLLEGE OF SOCIAL AND BEHAVIORAL SCIENCES

PROMOTION TO PROFESSOR

Patricia B. Reagan, Economics - effective 10/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Paul E. Bellair, Sociology - effective 10/1/2001
Lisa A. Keister, Sociology - effective 10/1/2001
Delwin T. Lindsey, Psychology, Mansfield - effective 10/1/2001
Townsend Price-Spratlen, Sociology - effective 10/1/2001
Vincent J. Roscigno, Sociology - effective 10/1/2001
Bruce A. Weinberg, Psychology - effective 10/1/2001

TENURE

Norman B. Schmidt, Psychology - effective 10/1/2001

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF SOCIAL WORK

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Rebecca Y. Kim - effective 10/1/2001

COLLEGE OF VETERINARY MEDICINE

PROMOTION TO PROFESSOR

Patrick L. Green, Veterinary Biosciences - effective 10/1/2001

Michael J. Oglesbee, Veterinary Biosciences - effective 10/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Kathleen A. Boris-Lawrie, Veterinary Biosciences - effective 10/1/2001

Kathryn M. Meurs, Veterinary Clinical Medicine - effective 7/1/2001

Charles L. Brooks, Veterinary Biosciences - effective 7/1/2001

James W. DeWille, Veterinary Biosciences - effective 7/1/2001

COLLEGE OF VETERINARY MEDICINE
REGULAR CLINICAL

REAPPOINTMENT

Lani A. Steinhart, Veterinary Clinical Sciences - effective 7/1/2002

Medical Staff Appointments (The Ohio State University Medical Center)

April 2001

Philip L. Cardwell, PA-C, Surgery/Cardiothoracic, Licensed Healthcare Professional, 05/24/2001-06/30/2003

Jack R. Fry, CRNA, Anesthesiology, Licensed Healthcare Professional, 05/24/2001-06/30/2003

Steven M. Hirsch, MD, Otolaryngology, Community Affiliate, 05/24/2001-06/30/2003

John E. Mahoney, MD, Surgery /Transplant, Fellow 1st Year, 03/07/2001-06/30/2002

Theodore H. Nicol, CRNA, Anesthesiology, Licensed Healthcare Professional, 05/24/2001-06/30/2002

Deborah A. Schutte, MD, Pediatric Cardiology, Courtesy, 05/24/2001-06/30/2002

Suzette Viola, CNP, Cardiology, Licensed Healthcare Professional, 05/24/2001-06/30/2002

Alvin G. Wee, DDS, Oral/Maxillofacial, Attending, 05/24/2001-06/30/2002

Haifeng M. Wu, MD, Pathology, Attending, 05/24/2001-06/30/2002

Medical Staff Reappointments (The Ohio State University Medical Center)

Thomas E. Reilley, DO, Anesthesiology, Attending, 04/12/2001-06/30/2002

Reappointment Period: 07/01/2001-06/30/2003

Shahab Fareed Abdessalam, MD, Surgery, PGY-7 Limited

Sunil S. Advani, MD, Internal Medicine, PGY-3 Limited

Amit Agrawal, MD, Otolaryngology, Attending

Mohammad Ahmad, MD, Internal Medicine, Courtesy

Bruce V. Alden, CRNA, Anesthesiology, Licensed Healthcare Professional

James N. Allen, MD, Internal Medicine, Attending

Mark G. Angelos, MD, Emergency Medicine, Attending

Scott W. Arlin, MD, Internal Medicine, PGY-3 Limited

Abdulkarim Hanna Aslo, MD, Psychiatry, PGY-3 Limited

Brian D. Badgwell, MD, Surgery, PGY-3 Limited

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

Reappointment Period: 07/01/2001-06/30/2003 (contd)

Charles Gary Baker, CRNA, Anesthesiology, Licensed Healthcare Professional
William J. Baldwin, Jr, DDS, Dentistry, Attending
Gary E. Barnett, MD, Pathology, Attending
Rolf F. Barth, MD, Pathology, Attending
Nicole A. Basile, MD, Internal Medicine, PGY-3 Limited
Dennis J. Bauman, MD, Internal Medicine, Attending
Paul R. Beery, II, MD, Surgery, PGY-3 Limited
Clara D. Bloomfield, MD, Internal Medicine, Attending
Thomas M. Brady, DO Anesthesiology, PGY-3 Limited
Wendy L. Brooks, MD, Internal Medicine; PGY-3 Limited
David H. Brown, MD, Surgery, PGY-5 Limited
Elizabeth A. Brown, MD, Internal Medicine, Attending
Ginny L. Bumgardner, MD, PhD, Surgery, Attending
Kelly Allen Burdge, MD, Internal Medicine; PGY-3 Limited
Stacie D. Burton, MD, Family Medicine, PGY-2 Limited
Guillermo E. Chacon, DDS, Dentistry, Attending
Kathy Yeachyng Chang, MD, Surgery, PGY-3 Limited
Todd E. Chertow, MD, Surgery, PGY-2 Limited
Angela S. Chesser, CNS, Psychiatry, Licensed Healthcare Professional
Susie Y. Choe, MD, Surgery, PGY-4 Limited
Deborah L. Cole-Sedivy, DO, Family Medicine, Courtesy
David F. Colombo, MD, Obstetrics/Gynecology, Attending
Maria Riza B. Conroy, MD, Family Medicine, PGY-3 Limited
Larry J. Copeland, MD, Obstetrics/Gynecology, Attending
Sarah M. Cowgill, MD, Surgery, PGY-2 Limited
Tracey A. Culbertson, MD, Surgery, PGY-4 Limited
Jamie Alexandra Dale, MD, Orthopedic Surgery, PGY-3 Limited
Mark M. D'Onofrio, MD, Orthopedic Surgery, PGY-3 Limited
Elizabeth A. Davies, MD, Surgery, Attending
John C. Davis, MD, Otolaryngology, PGY-3 Limited
Galina T. Dimitrova, MD, Anesthesiology, PGY-4 Limited
Elizabeth A. Dubich, MD, Internal Medicine; PGY-2 Limited
John S. Duffy, DO, Family Medicine, PGY-2 Limited
Hosam Farouk El Sayed, MD, Surgery, PGY-2 Limited
E. Christopher Ellison, MD, Surgery, Attending
Hamdy M. Elsayed-Awad, MD, Anesthesiology, Attending
Charis E. Eng, MD, PhD, Internal Medicine, Attending
Mary S. Faller, MD, Anesthesiology, PGY-4 Limited
Andrea C. Feilner, MD, Anesthesiology, PGY-3 Limited
Ronald M. Ferguson, MD, PhD, Surgery, Attending
Larell L. Fineren, CRNA, Anesthesiology, Licensed Healthcare Professional
Michele D. Fleak, MD, Internal Medicine, PGY-3 Limited
James B. Ford, DDS, Dentistry, Attending
Wendy L. Frankel, MD, Pathology, Attending
Lisa S. Gaines, DO, Anesthesiology, PGY-3 Limited
Arvin J. Gallanosa, MD, Physical Medicine and Rehabilitation, PGY-3 Limited
Timothy G. Gillum, MD, Otolaryngology, PGY-4 Limited
Michael R. Go, MD, Surgery, PGY-2 Limited
Michael C. Gong, MD, PhD, Surgery, Attending
Dena J. Gost, MD, Psychiatry, PGY-4 Limited
Arun Gowdamarajan, MD, Surgery, PGY-5 Limited
Iain L. Grant, MD, Otolaryngology, Attending
Glenn P. Gravlee, MD Anesthesiology, Attending
Dawn E. Griesen, MD, Otolaryngology, PGY-4 Limited

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

Reappointment Period: 07/01/2001-06/30/2003 (contd)

Larry D. Gronland, CRNA, Anesthesiology, Licensed Healthcare Professional
Steven L. Grund, MD, Internal Medicine, PGY-2 Limited
Ginger T. Guinther, CRNA, Anesthesiology, Licensed Healthcare Professional
Robert M. Guthrie, MD, Emergency Medicine, Attending
Rebecca M. Gutmann, MD, Anesthesiology, Attending
Deepak Guttikonda, MD, Surgery, PGY-5 Limited
Genevieve B. Hagerty, MD, Obstetrics/Gynecology, PGY-4 Limited
John S. Haid, MD, Anesthesiology, PGY-3 Limited
Connie A. Haines-McCoy, DO, Family Medicine, PGY-3 Limited
Sarah B. Hamilton-Boyles, MD, Obstetrics/Gynecology, PGY-3 Limited
George Harris, Jr, MD, Family Medicine, PGY-2 Limited
Andrew J. Hayduke, MD, Surgery, Fellow 1st Year
Kurt W. Heintzelman, MD, Psychiatry, PGY-3 Limited
Joshua A. Hersh, MD, Psychiatry, PGY-2 Limited
Todd C. Hobgood, MD, Otolaryngology, PGY-4 Limited
Stephen P. Hoffman, MD, Internal Medicine, Attending
Jorge L. Inga, MD, Family Medicine, PGY-3 Limited
Norma J. Jackson, DO, Anesthesiology, PGY-3 Limited
Balijeet K. Jammu, MD, Psychiatry, PGY-2 Limited
Steven J. Janselewitz, MD, Physical Medicine and Rehabilitation, PGY-4 Limited
Bevila John-Daniel, MBBS, Psychiatry, PGY-2 Limited
Elizabeth F. Jongleux, MD, Anesthesiology, Attending
Jamie B. Kaplin, CRNA, Anesthesiology, Licensed Healthcare Professional
Daniel L. Kapp, MD, Surgery, PGY-4 Limited
Boris I. Karanfilov, MD, Otolaryngology, PGY-4 Limited
Manmohan K. Katapadi, MD, Internal Medicine, Fellow 3rd Year
John J. Keller, MD, Internal Medicine; PGY-4 Limited
Michael C. Kelley, CRNA, Anesthesiology, Licensed Healthcare Professional
William B. Kelly, MD, Anesthesiology, Attending
Sedigheh, Keyhani-Rofagha, MD, Pathology, Attending
Sorabh Khandelwal, MD, Emergency Medicine, Attending
Kelly J. Kiehm, MD, Surgery, PGY-3 Limited
Jennifer Y. Kisner, MD, Surgery, PGY-3 Limited
Ryan K. Klinefelter, MD, Orthopedic Surgery, PGY-5 Limited
Eric J. Knudtson, MD, Obstetrics/Gynecology, Fellow 2nd Year
Albert J. Kolibash, Jr, MD, Internal Medicine, Attending
David E. Krummen, MD, Internal Medicine, PGY-3 Limited
Gilbert L. Kukiela, MD, Internal Medicine, Attending
Allen J. LeBlanc, Jr, MD, PhD, Obstetrics/Gynecology; PGY-4 Limited
Steven E. Leonard, MD, Family Medicine, Courtesy
Luis A. Lopez, MD, Anesthesiology, Courtesy

Requests for Additional/Special Privileges (The Ohio State University Medical Center)

April 2001

Amit Agrawal, MD, Otolaryngology, CO2 laser; conscious sedation, 07/01/2001-06/30/2003
Bruce Alden, CRNA, Anesthesiology, OB anesthesiology, 07/01/2001-06/30/2003
James Allen, MD, IM/Cardiology, conscious sedation, 07/01/2001-06/30/2003
Mark Angelos, MD, Emergency Medicine, hyperbaric medicine, 07/01/2001-06/30/2003
Ian Baird, MD, Otolaryngology, CO2 laser, argon laser, 07/01/2001-06/30/2003
Dennis Bauman, MD, IM/Cardiology, conscious sedation, 07/01/2001-06/30/2003
Elizabeth Brown, MD, IM/Pulmonary, conscious sedation, 07/01/2001-06/30/2003
Larry Copeland, MD, Obstetrics/Gynecology, CO2 laser, argon laser, 07/01/2001-06/30/2003

PERSONNEL ACTIONS (contd)

Requests for Additional/Special Privileges (The Ohio State University Medical Center) (contd)

April 2001 (contd)

Hamdy Elsayed-Awad, MD, Anesthesiology, conscious sedation, 05/24/2001-06/30/2003
E. Christopher Ellison, MD, General Surgery, conscious sedation, 07/01/2001-06/30/2003
Pablo Gamboa, MD, Radiology, Percutaneous image-guided gastrostomy and/or gastrojejunostomy tubes, 05/24/2001-06/30/2003
Michael Gong, MD, Surgery/Urology, CO2 laser, surgical YAG, holmium laser, argon laser, 07/01/2001-06/30/2003
Rebecca Gutmann, MD, Anesthesiology, pain management, 07/01/2001-06/30/2003
Stephen Hoffman, MD, IM/Pulmonary, conscious sedation, 07/01/2001-06/30/2003
Elizabeth Jongleux, MD, Anesthesiology, conscious sedation, 07/01/2001-06/30/2003
Jamie Kaplan, CRNA, Anesthesiology, OB anesthesia, 07/01/2001-06/30/2003
Sorabh Khandelwa, MD, Emergency Medicine, conscious sedation, 07/01/2001-06/30/2003
W. Scott Melvin, MD Surgery, Stretta procedure, 05/24/2001-06/30/2002
Joseph Swan, MD, Anesthesia, conscious sedation, 05/24/2001-06/30/2002
Arthur Thallassinis, MD, Psychiatry, electroconvulsive therapy, 05/24/2001-06/30/2002

Medical Staff Appointments (The Ohio State University Medical Center)

May 2001

Piyush Gupta, MBBS, General Medicine, PGY-1 Limited, 05/24/2001-06/30/2003
James T. Naprawa, MD, Peds/Emergency Med, PGY-4 Limited, 05/24/2001-06/30/2002
Milroy J. Samuel, MD, Obstetrics/Gynecology, Courtesy, 05/24/2001-06/30/2002
Betsy L. Schmerler, MD, Peds/Emergency Med, PGY-4 Limited, 05/24/2001-06/30/2002

Medical Staff Reappointments (The Ohio State University Medical Center)

Reappointment Period: 07/01/2001-06/30/2003

Christine B. Adamcak, DO, Emergency Medicine, Attending
Anil K. Agarwal, MD, Internal Medicine, Attending
Mohammad Ahmad, MD, Internal Medicine, Courtesy
Carl M. Allen, DDS, Dentistry, Attending
John G. Allen, MD, Ophthalmology, Attending
Sarah L. Artman, MD, Obstetrics/Gynecology, Courtesy
Cregg D. Ashcraft, MD, Internal Medicine, Attending
James P. Bacon, MD, Internal Medicine, Attending
Ian M. Baird, MD, Internal Medicine, Community Affiliate
Joseph H. Banks, Jr., MD, Pediatrics, Courtesy
Melissa Jean Bartels, MD, Pediatrics, PGY-3 Limited
Deborah Bartholomew, MD, Obstetrics/Gynecology, Attending
Robert E. Bartley, MD, Orthopedic Surgery, Community Affiliate
William H. Bay, MD, Internal Medicine, Attending
Sergio D. Bergese, MD, Anesthesiology, PGY-4 Limited
Gregory C. Berlet, MD, Orthopedic Surgery, Attending
David Q. Beversdorf, MD, Neurology, Attending
Michael G. Bissell, MD, PhD, MPH, Pathology, Attending
Denise L. Blocker, MD, Internal Medicine, Courtesy
Carl P. Boesel, MD, Pathology, Attending
Robert A. Bornstein, PhD, Psychiatry, Attending/PhD
Harisios Boudoulas, MD, Internal Medicine, Attending
Eric C. Bourekas, MD, Radiology, Attending
Daniel L. Bower, DMD, Dentistry, PGY-2 Limited
Brian L. Bowyer, MD, Physical Medicine and Rehabilitation, Attending
Chad M. Braun, MD, Family Medicine, Attending

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

Reappointment Period: 07/01/2001-06/30/2003 (contd)

Herbert A. Bronstein, MD, Internal Medicine, Courtesy
William E. Burak, MD, Surgery, Attending
Philip E. Calendine, MD, Radiology, PGY-4 Limited
Donna A. Caniano, MD, Surgery, Attending
Marcel J. Casavant, MD, Emergency Medicine, Attending
Donald W. Chakeres, MD, Radiology, Attending
Douglas Andrew Cipkala, MD, Pediatrics, PGY-2 Limited
Sam C. Colachis, MD, Physical Medicine and Rehabilitation, Attending
Deborah L. Cole-Sedivy, DO, Family Medicine, Courtesy
Carl R. Coleman, MD, Orthopedic Surgery, Attending
Eric E. Coris, MD, Family Medicine, Attending
John D. Corrigan, PhD, Physical Medicine and Rehabilitation, Attending/PhD
Curt J. Daniels, MD, Internal Medicine; Attending
Maureen A. Delphia, MD, Neurology, Courtesy
Christopher L. Demas, MD, Family Medicine, Community Affiliate
Philip T. Diaz, MD, Internal Medicine, Attending
Evan W. Dixon, MD, Internal Medicine, Attending
Samuel W. Dudley, II, MD, Pediatrics, PGY-3 Limited
Vani Duvuuri, MBBS, MD, Internal Medicine, Fellow 2nd Year
Gregory M. Eaton, MD, Internal Medicine, Attending
Lynne A. Eaton, MD, Obstetrics/Gynecology, Attending
Adam El-Dieb, MD, Radiology, PGY-3 Limited
Elmahdi A. Elkhammas, MD, Surgery, Attending
Miriam Emile, MD, Internal Medicine, Attending
Janet Meredith Engle, MD, Pediatrics, PGY-3 Limited
Leroy W. Essig, II, MD, Internal Medicine, PGY-2 Limited
Cynthia B. Evans, MD, Obstetrics/Gynecology, Attending
Robert A. Fada, MD, Orthopedic Surgery, Community Affiliate
Lisha Fan, MD, Radiology, PGY-3 Limited
Shella Farooki, MD, Radiology, Attending
Joseph F. Fiala, MD, Pediatrics, Courtesy
Chimene W. Fikkert, DO, Pediatrics, PGY-4 Limited
Mary E. Fontana-Wise, MD, Internal Medicine, Attending
Jeffrey M. Fowler, MD, Obstetrics/Gynecology, Attending
Lucy R. Freedy, MD, Radiology, Attending
Miriam L. Freimer, MD, Neurology, Attending
Chad I. Friedman, MD, Obstetrics/Gynecology, Attending
Mary A. Fristad, PhD, Psychiatry, Attending/PhD
John J. Fromkes, MD, Internal Medicine, Attending
Thomas J. Gavin, MD, Emergency Medicine, Attending
Brian H. Gelb, MD, Emergency Medicine, PGY-3 Limited
Jennifer Macke Gigax, MD, Pediatrics, PGY-3 Limited
Deborah A. Gordish, MD, Internal Medicine, Attending
Diane L. Gorgas, MD, Emergency Medicine, Attending
John C. Grecula, MD, Radiology, Attending
Jonathan I. Groner, MD, Surgery, Attending
Clemens M. Grosskinsky, MD, PhD, Obstetrics/Gynecology, Attending
Kevin J. Hackett, MD, Obstetrics/Gynecology, Courtesy
Herndon P. Harding, MD, Psychiatry, Attending
Shaun D. Harper, MD, Internal Medicine, Fellow 3rd Year
John M. Hatheway, MD, Orthopedic Surgery, Community Affiliate
Jonathan B. Heistein, MD, Surgery, PGY-5 Limited
Charles J. Hickey, MD, Ophthalmology, Attending
Robert M. Hinkle, DDS, Dentistry, Courtesy

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

Reappointment Period: 07/01/2001-06/30/2003 (contd)

Walter B. Hull, MD, Obstetrics/Gynecology, Attending
William D. Inglis, MD, Internal Medicine, Courtesy
Venkata R. Jayanthi, MD, Surgery, Courtesy
Lynne M. Johnson, MD, Pediatrics, PGY-2 Limited
Grant L. Jones, MD, Orthopedic Surgery, Attending
Colin G. Kaide, MD, Emergency Medicine, Attending
Christopher C. Kaeding, MD, Orthopedic Surgery, Attending
Young W. Kang, MD, Family Medicine, Community Affiliate
Seth M. Kantor, MD, Internal Medicine, Attending
Hary T. Katz, MD, Pediatrics, PGY-3 Limited
Sherman A. Katz, MD, Surgery, Attending
David R. Kelly, MD, Otolaryngology, Attending
Garrett T. Kelly, MD, Anesthesiology, Attending
John T. Kissel, MD, Neurology, Attending
Richard T. Kloos, MD, Internal Medicine, Attending
Raymond J. Kobus, MD, Orthopedic Surgery, Courtesy
Stephen C. Koesters, MD, Internal Medicine, PGY-3 Limited
Douglas W. Kramer, PsyD, Psychiatry, Attending/PhD
Christina Lester Lane, MD, Pediatrics, PGY-2 Limited
Steven E. Leonard MD, Family Medicine, Courtesy
Bingren Liu, MD, Radiology, PGY-3 Limited
Mary K. Lockard, MD, Pediatrics, PGY-2 Limited
Adolph V. Lombardi, Jr, MD, Orthopedic Surgery, Courtesy
Ghada N. Lteif, MD, Psychiatry, Attending

Requests for Additional/Special Privileges (The Ohio State University Medical Center)

May 2001

John Allen, MD, Ophthalmology, ophthalmic YAG, argon laser, excimer laser, 07/01/2001-06/30/2003
Deborah Bartholomew, MD, Obstetrics/Gynecology, conscious sedation, CO2 laser, laparoscopy, 07/01/2001-06/30/2003
Chad Braun, MD, Family Practice, uncomplicated obstetrics, 07/01/2001-06/30/2003
Marcel Casavant, MD, Emergency Medicine, conscious sedation, 07/01/2001-06/30/2003
Donald Chakeres, MD, Radiology, conscious sedation, 07/01/2001-06/30/2003
Eric Coris, MD, Family Medicine, MedOhio privileges, 07/01/2001-06/30/2003
Curt Daniel, MD, Internal Medicine/Cardiology, conscious sedation, 07/01/2001-06/30/2003
Maureen Delphia, MD, Neurology, sleep medicine, 07/01/2001-06/30/2003
Philip Diaz, MD, Internal Medicine/Pulmonary, conscious sedation, 07/01/2001/06/30/2003
Gregory Eaton, MD, Internal Medicine/Cardiology, conscious sedation, 07/01/2001-06/30/2003
Lynn Eaton, MD, Obstetrics/Gynecology, CO2 laser, 07/01/2001-06/30/2003
Mary Fontana-Wise, MD, Internal Medicine/Cardiology, conscious sedation, 07/01/2001-06/30/2003
John Fromkes, MD, Internal Medicine/Gastroenterology, conscious sedation, 07/01/2001-06/30/2003
Thomas Gavin, MD, Emergency Medicine, conscious sedation, hyperbaric medicine, 07/01/2001-06/30/2003
Diane Gorgas, MD, Emergency Medicine, conscious sedation, hyperbaric medicine, 07/01/2001-06/30/2003
Charles Hickey, MD, Ophthalmology, ophthalmic YAG, argon laser, tunable dye, 07/01/2001-06/30/2003
Colin Kaide, MD, Emergency Medicine, hyperbaric medicine, 07/01/2001-06/30/2003
Raymond Kobus, MD, Orthopedic Surgery, hand surgery, microsurgery, 07/01/2001/06/30/2003

PERSONNEL ACTIONS (contd)

Requests for Additional/Special Privileges (The Ohio State University Medical Center) (contd)

May 2001 (contd)

Milroy Samuel, MD, Obstetrics/Gynecology, conscious sedation, CO2 lasers, intrauterine balloon hypothermic ablation, 05/24/2001-06/30/2002

Medical Staff Reappointments (The Ohio State University Hospitals East)

April 2001

Thomas E. Reilley, DO, Anesthesiology, Active Staff, 04/12/2001-06/30/2002

Reappointment Period: 07/01/2001-06/30/2003

Bruce V. Alden, CRNA, Anesthesiology, Allied Health Professional
Mark G. Angelos, MD, Emergency Medicine, Active Staff
Charles G. Baker, CRNA, Anesthesiology, Allied Health Professional
Gary E. Barnett, MD, Pathology, Consulting Staff
Rolf F. Barth, MD, Pathology, Active Staff
Thomas M. Brady, DO, Anesthesiology, PGY-3 Limited
Robert D. Buchan, DPM, Musculoskeletal Diseases, Honorary without privileges
Todd E. Chertow, MD, Surgery, PGY-2 Limited
Susie Y. Choe, MD, Surgery, PGY-5 Limited
Maria Riza B. Conroy, MD, Family Practice, PGY-1 Limited
Sarah M. Cowgill, MD, Surgery, PGY-2 Limited
Tracey A. Culbertson, MD, Surgery, PGY-5 Limited
Mark M. D'Onofrio, MD, Musculoskeletal Diseases, PGY-2 Limited
Jamie Alexandra Dale, MD, Musculoskeletal Diseases, PGY-2 Limited
Elizabeth A. Davies, MD, Surgery, Consulting Staff
Galina T. Dimitrova, MD, Anesthesiology, PGY-4 Limited
John S. Duffy, DO, Family Practice, PGY-1 Limited
E. Christopher Ellison, MD, Surgery, Active Staff
Andrea C. Feilner, MD, Anesthesiology, PGY-3 Limited
Larell L. Fineren, CRNA, Anesthesiology, Allied Health Professional
Wendy L. Frankel, MD, Pathology, Active Staff
Jack R. Fry, CRNA, Anesthesiology, Allied Health Professional
Amy S. Gewirtz, MD, Pathology, Active Staff
Glenn P. Gravlee, MD, Anesthesiology, Active Staff
Michael R. Go, MD, Surgery, PGY-2 Limited
Jack L. Goldberg, MD, Surgery, Honorary without privileges
Ernesto Goldman, MD, Anesthesiology, Active Staff
Maynard Goldmeier, MD, Internal Medicine, Honorary without privileges
Michael C. Gong, MD, PhD, Surgery, Active Staff
Arun Gowdamarajan, MD, Surgery, PGY-4 Limited
Larry D. Gronland, CRNA, Anesthesiology, Allied Health Professional
Ginger T. Guinther, CRNA, Anesthesiology, Allied Health Professional
Bhagwandas Gupta, MD, Anesthesiology, Active Staff
Rebecca M. Gutmann, MD, Anesthesiology, Active Staff
Deepak Guttikonda, MD, Surgery PGY-5 Limited
Genevieve B. Hagerty, MD, Surgery, PGY-4 Limited
John S. Haid, MD, Anesthesiology, PGY-3 Limited
Connie A. Haines-McCoy, DO, Family Practice, PGY-3 Limited
Sarah B. Hamilton-Boyles, MD, Surgery, PGY-2 Limited
George L. Harris, Jr., MD, Family Practice, PGY-1 Limited
Victor H. Hinrichs, MD, Pathology, Honorary without privileges
Stephen L. Houff, MD, Internal Medicine, Active Staff
Norma J. Jackson, DO, Anesthesiology, PGY-2 Limited

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Hospitals East) (contd)

Reappointment Period: 07/01/2001-06/30/2003 (contd)

Elizabeth F. Jongleux, MD, Anesthesiology, Active Staff
Jorge Luis Inga, MD, Family Practice, PGY-3 Limited
Jamie B. Kaplin, CRNA, Anesthesiology, Allied Health Professional
Mike Kelley, CRNA, Anesthesiology, Allied Health Professional
William B. Kelly, MD, Anesthesiology, Active Staff
Kent G. Hamdorf, PhD, Internal Medicine, Active Staff
Sorabh Khandelwal, MD, Emergency Medicine, Active Staff
Ryan D. Klinefelter, MD, Musculoskeletal Diseases, PGY-4 Limited
Albert J. Kolibash, Jr., MD, Internal Medicine, Active Staff
Allen J. LeBlanc, Jr., MD, PhD, Surgery, PGY-4 Limited
Luis A. Lopez, MD, Anesthesiology, Active Staff

Requests for Additional/Special Privileges (The Ohio State University Hospitals East)

April 2001

Mark Angelos, MD, Emergency Medicine, moderate sedation, 07/01/2001-06/30/2003
Mark Arnold, MD, Surgery, moderate sedation, 07/01/2001-06/30/2003
Richard M. Bardales, MD, Internal Medicine, moderate sedation, 07/01/2001-06/30/2003
Richard Cavender, MD, Emergency Medicine, moderate sedation, 07/01/2001-06/30/2003
Abhinav Chandra, MD, Emergency Medicine, moderate sedation, 07/01/2001-06/30/2003
Charles Cook, MD, Surgery, moderate sedation, 07/01/2001-06/30/2003
E. Christopher Ellison, MD, Surgery, moderate sedation, 07/01/2001-06/30/2003
Emile, El-Shammaa, MD, Emergency Medicine, moderate sedation, 07/01/2001-06/30/2003
William Emlich, DO, Internal Medicine, moderate sedation, 07/01/2001-06/30/2003
Jason Hollingsworth, MD, Emergency Medicine, moderate sedation, 07/01/2001-06/30/2003
Lisa Keder, MD, Surgery, moderate sedation, 07/01/2001-06/30/2003
Peter Larsen, DDS, Surgery, moderate sedation, 07/01/2001-06/30/2003
Peter Muscarella, MD, Surgery, moderate sedation, 07/01/2001-06/30/2002
Mary Osterlund, MD, Emergency Medicine, moderate sedation, 07/01/2001-06/30/2002
Anantha Padmanabhan, MD, Surgery, moderate sedation, 07/01/2001-06/30/2002
Anjana Samadder, MD, Internal Medicine, moderate sedation, 07/01/2001-06/30/2002
Guatam Samadder, MD, Internal Medicine, moderate sedation, 07/01/2001-06/30/2002
Bivik Shah, MD, Surgery, moderate sedation, 07/01/2001-06/30/2002
Victor Trianfo, DO, Emergency Medicine, moderate sedation, 07/01/2001-06/30/2002

Medical Staff Appointments (The Ohio State University Hospitals East)

May 2001

Magali J. Fernandez, MD, Neurology, Active, 05/24/2001-06/30/2003
Herndon P. Harding, MD, Psychiatry, Active, 05/24/2001-06/30/2003

Medical Staff Reappointments (The Ohio State University Hospitals East)

Reappointment Period: 07/01/2001-06/30/2003

Kenneth L. Abram, DPM, Musculoskeletal Diseases, Active Staff
William R. Adrion, MD, Family Practice, Active Staff
Anil K. Agarwal, MD, Internal Medicine, Consulting Staff
Stephen Altic, DO, Family Practice, Courtesy Staff
Carol J. Ashman, MD, Radiology, Active Staff

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Hospitals East) (contd)

Reappointment Period: 07/01/2001-06/30/2003 (contd)

Robert R. Bahnson, MD, Surgery, Active Staff
Steven P. Balaloski, MD, Surgery, Courtesy Staff
Alton J. Ball, MD, Family Practice, Active Staff
Richard M. Bardales, MD, Internal Medicine, Courtesy Staff
George W. Barnett, Jr., MD, Family Practice, Active Staff
Robert E. Bartley, MD, Musculoskeletal Diseases, Active Staff
Robert A. Berkman, MD, Surgery, Active Staff
Jay E. Beilharz, DO, Family Practice, Courtesy Staff
Jerry M. Benis, DDS, Surgery, Courtesy Staff
Barry S. Blank, DDS, Surgery, Courtesy Staff
Alan J. Block, DPM, Musculoskeletal Diseases, Active Staff
Jeffrey R. Blood, MD, Internal Medicine, Courtesy Staff
James G. Bova, DO, Radiology, Active Staff
Richard H. Bracken, DO, Surgery, Courtesy Staff
David A. Brown, MD, Surgery, Courtesy Staff
Brentley A. Buchele, MD, Surgery, Courtesy Staff
Raliegh S. Callion, MD, Family Practice, Active Staff
George F. Calloway, Jr., MD, Internal Medicine, Consulting Staff
Donald W. Chakeres, MD, Radiology, Active Staff
Pamela Chapman, PhD, Internal Medicine, Courtesy Staff
Greg A. Christoforidis, MD, Radiology, Active Staff
Michael P. Collins, MD, Internal Medicine, Active Staff
Charles H. Cook, MD, Surgery, Active Staff
Marc Cooperman, MD, Surgery, Active Staff
Fernando Garcio Cosio, MD, Internal Medicine, Consulting Staff
Maureen A. Delphia, MD, Internal Medicine, Consulting Staff
Michael R. Dick, MD, Emergency Medicine, Active Staff
Mortimer Dolman, MD, Internal Medicine, Active Staff
Michael J. Eisenman, DPM, Musculoskeletal Diseases, Active Staff
William F. Emlich, Jr., DO, Internal Medicine, Courtesy Staff
Patrick J. Fahey, MD, Family Practice, Active Staff
Jerauld D. Ferritto, DPM, Musculoskeletal Diseases, Courtesy Staff
Wesley V. Forgue, MD, Internal Medicine, Active Staff
Donald A. Fouts, MD, Family Practice, Active Staff
Michael Fracassa, DPM, Musculoskeletal Diseases, Courtesy Staff
David J. Frid, MD, Internal Medicine, Active
Charles E. Gerlach, PhD, Internal Medicine, Active Staff
Ahmed M. Ghany, MD, Internal Medicine, Active Staff
Gene S. Graham, DPM, Musculoskeletal Diseases, Active Staff
Marvin G. Green, MD, Internal Medicine, Active Staff
D. Charles Greiner, DPM, Musculoskeletal Diseases, Active
Melkon O. Hajinazarian, MD, Internal Medicine, Active Staff
Mona Y. Halim-Armanios, MD, Anesthesiology, Active Staff
Ronald L. Harter, MD, Anesthesiology, Active Staff
John M. Hatheway, MD, Musculoskeletal Diseases, Active Staff
James W. Hoekstra, MD, Emergency Medicine, Active Staff
Michael B. Howie, MD, Anesthesiology, Active Staff
Jay Jacoby, MD, Anesthesiology, Active Staff
Leonard R. Janis, DPM, Musculoskeletal Diseases, Active Staff
Michael G. Johanson, DO, Anesthesiology, Active Staff
Dorothy L. Jones, DPM, Musculoskeletal Diseases, Courtesy Staff
Edward B. Jones, DDS, Surgery, Courtesy Staff
Ronald J. Kaplansky, DPM, Musculoskeletal Diseases, Active Staff
Bonnie L. Katz, PhD, Internal Medicine, Active Staff

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Hospitals East) (contd)

Reappointment Period: 07/01/2001-06/30/2003 (contd)

Sherman A. Katz, MD, Surgery, Active Staff
Paul N. Kaufman, MD, Surgery, Active
Joseph E. Kearns, DO, Family Practice, Active Staff
Garrett T. Kelly, MD, Anesthesiology, Active Staff
Paul C. Kirk, MD, Family Practice, Active Staff
John T. Kissel, MD, Internal Medicine, Active Staff
James A. Klein, MD, Internal Medicine, Active Staff
Alan J. Kover, MD, Anesthesiology, Active Staff
Rodney K. Kusumi, MD, Internal Medicine, Courtesy Staff
Susan E. Lake, DO, Family Practice, Courtesy Staff
Peter E. Larsen, DDS, Surgery, Courtesy Staff
Thomas H. Lee, MD, Musculoskeletal Diseases, Active Staff
Alan R. Levy, DMD, Surgery, Courtesy Staff
Brock A. Liden, DPM, Musculoskeletal Diseases, Active Staff
James W. Lipscomb, MD, Family Practice, Active Staff
William L. Licklider, MD, Surgery, Courtesy Staff
James R. Otworth, DO, Anesthesiology, Provisional Staff

Request for Additional/Special Privileges (The Ohio State University Hospitals East)

May 2001

James Bacon, MD, Internal Medicine, moderate sedation, 07/01/2001-06/30/2003
Leo Boggs, MD, Emergency Medicine, hyperbaric medicine, 07/01/2001-06/30/2003
Harisios Boudoulas, MD, Internal Medicine/Cardiology, moderate sedation, 07/01/2001-06/30/2003
Eric Bourekas, MD, Radiology, moderate sedation, 07/01/2001-06/30/2003
James Bova, DO, Radiology, percutaneous biopsy, paracentesis/thoracentesis, percutaneous drainage of abscess, 07/01/2001-06/30/2003
Marcel Casavant, MD, Surgery, moderate sedation, 07/01/2001-06/30/2003
Greg Christofridis, MD, Radiology, moderate sedation, 07/01/2001-06/30/2003
Pablo Gamboa, MD, Radiology, moderate sedation, 07/01/2001-06/30/2003
Gayle Gordillo, MD, Surgery, moderate sedation, 07/01/2001-06/30/2003
Marvin Green, MD, Internal Medicine, substance dependency, proctoscopy, 07/01/2001-06/30/2003
Michael Howie, MD, Anesthesiology, moderate sedation, 07/01/2001-06/30/2003
Jay Jacoby, MD, Anesthesiology, moderate sedation, 07/01/2001-06/30/2003
Matthew Kellar, MD, Emergency Medicine, moderate sedation, 07/01/2001-06/30/2003
Garrett Kelly, MD, Anesthesiology, moderate sedation, 07/01/2001-06/30/2003
James Macklin, MD, Internal Medicine, moderate sedation, 07/01/2001-06/30/2002
Robert Pickering, MD, Internal Medicine, moderate sedation, 07/01/2001-06/30/2002
Anjana Samadder, MD, Internal Medicine, moderate sedation, 07/01/2001-06/30/2002

RESOLUTIONS IN MEMORIAM

Resolution No. 2002-9

Synopsis: Approval of Resolutions in Memoriam.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

RESOLUTIONS IN MEMORIAM (contd)

N. Wilson Britt

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 31, 2001, of Dr. N. Wilson Britt, Professor Emeritus in the Department of Entomology.

Dr. Britt was born in Lucas, Kentucky, in 1913 and received his B.S. degree in 1939 from Western Kentucky State College. Between 1933-39, he taught in rural elementary schools in Barren County, Kentucky, and then in Cave City High School between 1940-42, where he served as principal during the 1941-1942 academic year. He was a veteran of WW II, having served as a meteorologist with the Army Air Force.

When he returned from the service, he enrolled as a graduate student at The Ohio State University and was awarded an M.S. degree in 1947 and a Ph.D. degree in 1950. He was immediately appointed to the position of assistant professor at Ohio State in 1950 and served on the faculty until the time of his retirement in 1983 when he became Professor Emeritus.

Dr. Britt dedicated most of his professional life to the study of aquatic insects. Summers found him busy teaching aquatic entomology at Stone Laboratory, the University's aquatic field station on Lake Erie. During the regular academic year, he taught popular courses in limnology and aquatic entomology on the main campus.

He was perhaps best known professionally for his classic studies on the population dynamics of mayflies in Lake Erie. During a 30-year interval of study, he contributed greatly to our understanding of the effects of pollution on the decline of the mayfly populations in this important body of water.

Dr. Britt was recognized by his faculty colleagues and students for his compassion, and was a popular member of student theses committees. His faculty office door was always open so that he could be available to students at any time.

On behalf of the University community, the Board of Trustees expresses to the family of Professor N. Wilson Britt its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Lloyd E. Harris

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on September 11, 2000, of Lloyd E. Harris, Professor Emeritus in the College of Pharmacy.

Dr. Harris was born in 1900 near Ryan in Indian Territory prior to Oklahoma statehood. He received his Ph.G. (Pharmacy Graduate) diploma in 1920, his Ph.C. (Pharmaceutical Chemist) degree and B.S. degree in pharmacy in 1922, and an M.S. degree in chemistry in 1924, all from the University of Oklahoma. Deciding teaching would be his life work he earned a Ph.D. degree in pharmaceutical chemistry from the University of Wisconsin in 1926 after only 18 months of study, returning to the University of Oklahoma as a full professor at age 26. In 1942, he was called into service in the U.S. Army's Chemical Corps as Chief of Technical Instruction and Director of Training at the Chemical Warfare Service School. Late in the war he was active in chemical intelligence operations in the European Theater. In 1951, he was recalled for a two-year tour of duty during the Korean War and later served as research liaison between the Chemical Corps and industrial laboratories in the United States. He retired from the Army Reserves in 1958 at the rank of colonel.

In 1946, Dr. Harris joined the faculty of The Ohio State University College of Pharmacy as professor of pharmacy, teaching courses in inorganic and organic pharmaceutical chemistry. In 1949, working with Lloyd M. Parks and Paul J. Jannke, he coauthored *Inorganic Chemistry in Pharmacy*, the standard textbook in the field. His students remember him as knowledgeable,

RESOLUTIONS IN MEMORIAM (contd)

Loyd E. Harris (contd)

caring, and thoroughly professional. In 1955-1956, he served as acting dean of the College of Pharmacy, organizing the Ohio Pharmaceutical Seminar, a four-day continuing education program for Ohio pharmacists, and developing "Pharmacy Day," an opportunity for high school students to learn about the profession. In 1958, the Ohio State Pharmaceutical Association presented him with its Beal Award for outstanding professional service. Upon his retirement in 1963, he was named Professor Emeritus. At that time, Dr. Harris returned to the University of Oklahoma College of Pharmacy where he served as dean until his retirement in 1970. In 1995, The Ohio State University College of Pharmacy named the first of its "Legends in Pharmacy" endowed scholarships in his honor.

Dr. Harris' research focused on the chemistry of plant constituents, drug stability, and synthesis. From 1930-50, he served on the revision committee of the *United States Pharmacopoeia*. From 1934-42, he was national secretary of Rho Chi Pharmacy Honor Society. A life member of the American Pharmaceutical Association, Dr. Harris was also a member of the American Chemical Society, Sigma Xi, Phi Beta Kappa, Gamma Alpha, Phi Delta Chi, Phi Sigma, Phi Eta Sigma, and Lambda Chi Alpha.

On behalf of the University community, the Board of Trustees expresses to the family, friends, colleagues, and former students of Professor Loyd E. Harris its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Ian M. Whillans

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 9, 2001, of Dr. Ian M. Whillans, Professor in the Department of Geological Sciences and member of the Byrd Polar Research Center.

Dr. Whillans was born in 1944 in Toronto, Canada, and received his B.S. degree (mathematics and physics) with honors from the University of Bristol, United Kingdom, in 1966, and his Ph.D. degree (geology and mineralogy) from The Ohio State University in 1975. He came to the Byrd Polar Research Center in 1966 and joined the faculty of the Department of Geological Sciences in 1977. Over the course of his career, his work led him to such remote places as Devon Island ice cap, Northwest Territories, Canada; the Greenland Ice Sheet; and the ice streams in West Antarctica. In 1966-67 he also wintered over at Palmer Station in the Antarctic Peninsula. The results of Dr. Whillans' field studies have been published in major journals, starting with a 1973 paper in *Science* on the "State of Equilibrium of the West Antarctic Ice Sheet." He published more than 60 papers on topics ranging from the interaction between ice sheet surface topography and inversion winds, past and current changes in the Antarctic and Greenland ice sheets, to the flow dynamics of the West Antarctic ice streams.

Dr. Whillans, perhaps more than any other glaciologist today, has followed in the footsteps of earlier pioneers in establishing a rigorous, quantitative, and mathematical underpinning for modern observations of glaciers and ice sheets. Building on earlier and simplified views about the growth and decay of ice sheets and processes controlling their flow, he explored the real and complex behavior of ice masses and their response to climate forcing. He was one of the first scientists to study the physical nature of long, continuous internal layers observed by radio-echo sounding of polar ice sheets. That work established that these layers are isochrons, some of great age, thereby catapulting the significance of internal layers from interesting curiosities to fundamental indicators of ice deformation and flow. The impact of this work extends from better understanding the nature of forces acting on the glacier bed, to interpreting and extrapolating the powerful record of paleoclimate preserved in deep ice cores.

RESOLUTIONS IN MEMORIAM (contd)

Ian M. Whillans (contd)

Until the time of his death, Dr. Whillans remained active in studies of the West Antarctic ice streams, considered to be of global significance because of their potential to quickly discharge large amounts of ice from the ice sheet interior to the world's oceans. His research on this topic challenged conventional theories about ice stream motion, forcing the scientific community to reassess the fundamental controls that initiate and restrain fast moving ice streams. Most importantly, Dr. Whillans' work has shown that streaming flow is constrained by forces along the lateral margins of ice streams and that these forces arise from within the ice itself. This suggests that there may be important controls on the streaming behavior of ice driven independently from changes in climate.

Dr. Whillans was one of a handful of glaciologists who combined theoretical analyses with careful observations and experiments. His pioneering work on strain grids set the standard for measuring surface deformation on glaciers and many glaciologists have adopted his methods to study flow near ice divides, flow leading to deep boreholes, and processes acting at the lateral margins of fast moving ice streams. The techniques developed by Dr. Whillans for inferring mechanical controls on glacier motion from measurements of surface strain have revolutionized the way glaciologists study glaciers.

His fieldwork always involved the latest techniques available, but without falling into the trap of techniques overshadowing the science. Early glacier surveys were conducted with theodolites and TRANSIT satellite receivers, but when the Global Positioning System (GPS) became available, he was among the first to explore its application in Antarctica, developing his own numerical code for data interpretation. He continued to push the limits of GPS surveying, being the first to apply this technique to measure directly the vertical motion of the ice sheet surface to infer changes in ice thickness. His use of repeat photogrammetry resulted in the first descriptive map of ice stream B in West Antarctica and, later, the first description of the large-scale pattern of motion in this region. Subsequent work involved satellite images and provided insight to recent changes in the ice streams and the Ross Ice Shelf. Throughout all his studies, Dr. Whillans never lost track of the "big picture" of why doing science and why studying glaciers are important.

The contributions of Dr. Whillans are recognized by the international science community. He served as a member on the Committee of Snow and Ice of the American Geophysical Union, was a member of an ad hoc committee on Information Storage and Retrieval under the Committee on Glaciology of the National Academy of Sciences, and also served on the Committee on Glaciology of the Polar Research Board of the National Academy of Sciences. In addition, he served as council member of the International Glaciological Society and served as assistant editor and chief scientific editor for the *Annals of Glaciology*.

From the inception of the West Antarctic Ice Sheet Program (WISP) in 1975, he remained actively involved in the planning and execution of the US scientific investigations in West Antarctica. He had long-term working relationships with the Laboratoire de Glaciologie et Geophysique de l'Environnement in Grenoble, France, and the Norsk Polarinstitut in Bergen, Norway, as well as with many individual scientists from around the world. He received the Antarctic Service Medal in 1967, and the BPRC Golthwait Polar Medal in October 2000. Mount Whillans, 870 m., standing 4 miles southwest of Mt. Stroschein in the Anderson Hills in northern Patuxent Range, Pensacola Mountains, Antarctica, was named for Dr. Ian Whillans.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Ian M. Whillans its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2002-10

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for May 2001 is presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of May 2001 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2002-11

Synopsis: The report on the receipt of gifts and the summary for May 2001 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of fourteen (14) new named endowed funds and the amendment of three (3) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of May 2001 be approved.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT

July through May
1999-2000 Compared to 2000-2001

GIFT RECEIPTS BY DONOR TYPE

		Dollars July through May	
	<u>1999-2000</u>	<u>2000-2001</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$25,280,383	\$25,280,383	12
Alumni (From Bequests)	<u>9,284,861</u>	<u>6,659,028</u>	(28)
Alumni Total	\$34,565,244	\$34,946,946	1
Non-Alumni (Current Giving)	\$19,976,684	\$22,870,120	14
Non-Alumni (From Bequests)	<u>5,850,423</u>	<u>10,002,002</u>	71
Non-Alumni Total	\$25,827,107	\$32,872,122	27
Individual Total	\$60,392,351	\$67,819,068	12 ^A
Corporations/Corp/Foundations	\$33,279,994	\$36,315,055	9 ^B
Private Foundations	\$13,433,747	\$14,545,406	(18) ^C
Associations and Other Organizations	<u>\$4,909,448</u>	<u>\$4,032,186</u>	(18) ^D
Total	\$112,015,540	\$122,711,715	10

NOTES

- A Individual giving at the \$10,000 or more level is up 19% for this year (\$50.0 million from 536 gifts this year; \$42.1 million from 496 gifts last year).
- B Corporate giving at the \$10,000 or more level is up 13% so far this year (\$29.1 million from 614 gifts this year; \$25.7 million from 635 gifts last year).
- C Foundation giving at the \$10,000 or more level is up 9% so far this year (\$13.6 million from 174 gifts this year; \$12.5 million from 174 gifts last year).
- D Other organizations and associations have given about \$900,000 less at the \$10,000 or more level so far this year compared with last year.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July through May
1999-2000 Compared to 2000-2001

GIFT RECEIPTS BY PURPOSE

	Dollars July through May		
	<u>1999-2000</u>	<u>2000-2001</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$19,758,518	\$17,399,361	(12)
Faculty Support	\$18,629,616	\$7,493,540	(60)
Program Support	\$49,505,710	\$75,856,235	53
Student Financial Aid	\$14,724,040	\$11,939,240	(19)
Annual Funds-Colleges/Departments	\$7,661,661	\$7,661,661	8
Annual Funds-University	<u>\$1,735,995</u>	<u>\$1,733,802</u>	----
Total	\$112,015,540	\$122,711,715	10

GIFT ADDITIONS TO ENDOWMENT

Dollars July through May		
<u>1999-2000</u>	<u>2000-2001</u>	<u>% Change</u>
\$41,460,465	\$29,437,105	(29)

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds</u>			
The Helen Strow International Programs Fund in the College of Human Ecology (Support tuition scholarships in the College of Human Ecology; provided by gifts from Dr. Genevieve B. Schroeder and the estate of Dr. Florence J. Neuman)		\$52,455.09	\$52,455.09
The Ohio State University Military Dependents Merit Scholarship Fund in Social and Behavioral Sciences (Provide scholarships in the College of Social and Behavioral Sciences; provided by gifts from friends, relatives, and corporate friends of military men and women of the United States of America)		\$29,842.00	\$29,842.00
The Craig Cooley Graduate Student and Alumni Award Fund in Statistics (Provide awards for graduate statistics students and alumni who were statistics students; provided by gifts from the Department of Statistics)		\$25,235.00	\$25,235.00

Change in Name and Description of Named Endowed Funds

From: The Medical Alumni Society Future Fund
To: The Medical Alumni Society Caduceus Fund

From: The Wildah Turnbull Walkup Memorial Fund
To: The Wildah Turnbull and Joseph K. Walkup Memorial Fund

Change in Description of Named Endowed Fund

The Bob Rodabaugh Agriculture Scholarship Fund

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds</u>			
The Keenan-Slettebak Reading Room Fund in Astronomy (Provide for the operating expenses of the reading room area designated by the Department of Astronomy; provided by gifts from the estate of Philip C. Keenan)		\$56,000.00	\$56,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Bill Myles Athletic Scholarship Fund (Supplement the grant-in-aid scholarship costs of a student athlete; provided by gifts from Joseph T. Lukens)		\$50,000.00	\$50,000.00
The Conrads College Gifts Athletic Scholarship Fund (Supplement the grant-in-aid scholarship costs of a student athlete; provided by gifts from Jack and Violet Cohen and the Cohen family)		\$28,798.60	\$28,798.60
The Ohio Fruit Growers Society Fred O. Hartman Endowment Fund (Support scholarship(s) in the College of Food, Agricultural, and Environmental Sciences and/or the Agricultural Technical Institute; provided by gifts from The Ohio Fruit Growers Society, alumni, and friends honoring Professor Emeritus Fred O. Hartman)		\$27,264.56	\$27,264.56
The Norman M. Stanley Scholarship Fund (Award a scholarship to an Agricultural Technical Institute student; provided by gifts from friends of Norman M. Stanley)		\$26,920.00	\$26,920.00
Gordon C. and Susan K. Warnes Memorial Scholarship Fund (Provide scholarships to students enrolled at the Agricultural Technical Institute; provided by gifts from Gordon C. Warnes)		\$25,327.52	\$25,327.52
The Mary Plummer Memorial Scholarship Fund (Provide scholarship(s) to students in the School of Public Health; provided by gifts from the family, friends, and colleagues of Mary Pettigrew Plummer)		\$25,250.00	\$25,250.00
The Henry C. Gelbke Endowed Scholarship Fund (Support an Agricultural Technical Institute student studying dairy cattle production or diary science; provided by a gift from Herman R. Gelbke)		\$25,000.00	\$25,000.00
Guernsey County Alumni Scholars Fund (Provide merit scholarships to worthy graduates of schools in or residents of Guernsey County, Ohio; provided by gifts from the members of the OSU Alumni club of Guernsey County and friends of OSU)		\$25,000.00	\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The David D. Moon University Scholars Fund (Award undergraduate merit scholarships; provided by David D. Moon) schools in the Phoenix Metro Area)		\$25,000.00	\$25,000.00
The Roberta Elliott Wantman Endowment Fund in the Department of Greek and Latin in the College of Humanities (Provide aid for study to a student of classics; provided by a gift from Esther B. Elliott in honor of her daughter)		\$25,000.00	\$25,000.00
Total		<u>\$447,092.77</u>	<u>\$447,092.77</u>

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Funds

**The Helen Strow International Programs Fund
in the College of Human Ecology**

The Helen Strow International Programs Fund in the College of Human Ecology was established June 29, 2001, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University from Dr. Genevieve B. Schroeder (B.S.H.E. 1955, M.S. 1973, Ph.D. 1978) and the estate of Dr. Florence J. Neuman (B.S.H.E. 1926, M.S. 1938, Ph.D. 1943).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support:

- Three-year tuition scholarships at the College of Human Ecology for undergraduate students from countries without an accredited university baccalaureate program; scholarships will be awarded in consultation with the University Committee on Student Financial Aid.
- Short-term international study tours or courses at universities abroad that will give College of Human Ecology students the chance to expand their knowledge of and experiences with families and communities worldwide.
- Biannual support for an international student at the college to attend a national professional meeting, and for a U.S. student to attend an international professional meeting.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then the income may be used for any purpose whatsoever as determined by the Board of Trustees, with

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Helen Strow International Programs Fund in the College of Human Ecology (contd)

preference being given to the recommendations from the appropriate administrative official of the University who is then directly responsible for the College of Human Ecology in order to carry out the desire of the donor.

\$52,455.09

The Ohio State University Military Dependents Merit Scholarship Fund in Social and Behavioral Sciences

The Ohio State University Military Dependents Merit Scholarship Fund in Social and Behavioral Sciences was established June 29, 2001, by the Board of Trustees of The Ohio State University with gifts from friends, relatives, and corporate friends of military men and women of the United States Armed Forces.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used at the discretion of the dean of the College of Social and Behavioral Sciences to be presented as an annual scholarship to an incoming undergraduate student(s), qualifying as the dependent of a career service member with at least fifteen (15) years of active duty military service. In selecting the recipient(s) of this scholarship, strong consideration will be given to: (1) secondary school officials' comments on performance in leadership positions and potential for leadership; (2) applicant's performance in honors or advanced placement courses; and (3) secondary school class rank. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donors.

\$29,842.00

The Craig Cooley Graduate Student and Alumni Award Fund in Statistics

The Craig Cooley Graduate Student and Alumni Award Fund in Statistics was established June 29, 2001, by the Board of Trustees of The Ohio State University with gifts from the Department of Statistics.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide a continuing source of funds for two awards. The first will be an annual award to recognize outstanding graduate students, and the second a periodic award to recognize alumni who have exhibited excellence in their careers. Any unused income will be returned to the principal of this fund. These awards will be granted in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Craig Cooley Graduate Student and Alumni Award Fund in Statistics (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$25,235.00

Change in Name and Description of Named Endowed Funds

The Medical Alumni Society Caduceus Fund

The Medical Alumni Society Future Fund was established on June 6, 1997, by the Board of Trustees of The Ohio State University with gifts from The Ohio State University Medical Alumni Society and its members. The name and description were revised on June 29, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support Medical Alumni Society programming and operations in accordance with the mission of the Society and the College of Medicine and Public Health. Expenditures shall be approved by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in consultation with, and under the advisement from, the Board of Governors of the Medical Alumni Society. Without depleting below the minimum endowment level, currently \$25,000, principal as well as income may be used for this purpose with the approval of the Board of Governors and the senior vice president and dean.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Board of Governors of the Medical Alumni Society and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

The Wildah Turnbull and Joseph K. Walkup Memorial Fund

The Wildah Turnbull Walkup Memorial Fund was established February 1, 1974, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from Joseph K. Walkup (B.A. 1932, B.M.E. 1941, Professional I.E. 1941) and his sons David W., Robert E., and John D. Walkup in memory of his wife and their mother, Wildah Turnbull Walkup (B.A. with honors 1931, M.A 1932). Upon the death of Joseph K. Walkup and at the request of his executor and son, Robert E. Walkup, and the College of Engineering, the name and description were revised to include both Mr. and Mrs. Walkup on June 29, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income is to be used to provide one or more undergraduate scholarships for female students enrolled in the College of Engineering. Recipient(s) will be chosen during the first full year of enrollment in the engineering curriculum. Basis for selection is solely on academic

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Wildah Turnbull and Joseph K. Walkup Memorial Fund (contd)

achievement. Selection of the recipient(s) is to be made by the University Committee on Student Financial Aid upon the recommendation of the College of Engineering.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer, in order to carry out the desire of the donors.

The Bob Rodabaugh Agriculture Scholarship Fund

The Bob Rodabaugh Agriculture Scholarship Fund was established on April 7, 2000, by the Board of Trustees of The Ohio State University with initial gifts to The Ohio State University's Endowment Fund in memory of C. Robert Rodabaugh (B.S.Agr. 1973), with gifts from his family, friends, and colleagues. The description was revised on June 29, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income from the endowment shall be used to provide a scholarship(s) to undergraduate students from Madison County or one of the contiguous counties (Franklin, Pickaway, Fayette, Greene, Clark, Champaign, Hardin, Washington, or Union Counties) who are enrolled in the College of Food, Agricultural, and Environmental Sciences at The Ohio State University. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the vice president for Agricultural Administration and University Outreach in order to carry out the desire of the donors.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Funds

The Keenan-Slettebak Reading Room Fund in Astronomy

The Keenan-Slettebak Reading Room Fund in Astronomy was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Philip C. Keenan.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide for the operating expenses of the reading room area designated by the Department of Astronomy. All expenditures are to be approved by the chair of the department. Any unused income will be returned to the principal of this fund.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Keenan-Slettebak Reading Room Fund in Astronomy (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$56,000.00

The Bill Myles Athletic Scholarship Fund

The Bill Myles Athletic Scholarship Fund was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Joseph T. Lukens, Cincinnati, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the grant-in-aid scholarship costs of a student athlete who is a member of the varsity football team pursuing an undergraduate degree at The Ohio State University. The first preference for the recipient of this award will be a student athlete playing the left tackle position and second preference will go to a student athlete playing the right tackle position. Recipients shall be selected by the director of the Department of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of the Department of Athletics in order to carry out the desire of the donor.

\$50,000.00

The Conrads College Gifts Athletic Scholarship Fund

The Conrads College Gifts Athletic Scholarship Fund was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Jack and Violet Cohen and the Cohen family of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the student-athlete grant-in-aid scholarship costs of a student-athlete who is a member of the football team pursuing an undergraduate degree at The Ohio State University. Recipient will be selected by the director of the Department of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Director of Athletics in order to carry out the desire of the donors.

\$28,798.60

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Ohio Fruit Growers Society Fred O. Hartman Endowment Fund

The Ohio Fruit Growers Society Fred O. Hartman Endowment Fund was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from The Ohio Fruit Growers Society, alumni, and friends, honoring Professor Emeritus Fred O. Hartman (M.S. 1941, Ph.D. 1951), who joined the University in 1951.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a scholarship(s) for undergraduate or graduate student(s) enrolled in the College of Food, Agricultural, and Environmental Sciences and/or the Agricultural Technical Institute. First preference will be given to students in good academic standing with a fruit crops interest (crop science major) in the Department of Horticulture and Crop Sciences and/or students demonstrating association or involvement with the Ohio fruit industry.

The student recipients(s) shall be selected by the Scholarship Committee of the Department of Horticulture and Crop Sciences with advice from the department chair, the Office of Student Financial Aid, and Scholarship Committee of the Ohio Fruit Growers Society being welcomed. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and University Outreach, in consultation with the chair of the Department of Horticulture and Crop Sciences or their successor, or appropriate administrative officer, or their successor, in order to carry out the desire of the donors.

\$27,264.56

The Norman M. Stanley Scholarship Fund

The Norman M. Stanley Scholarship Fund was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from friends of Norman M. Stanley (B.S.Agr. 1967, M.S. 1971, Ph.D. 1973).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a scholarship(s) for a student(s) who has been enrolled at Ohio State ATI for three quarters or more in the agricultural technologies or arts and science and business technologies areas of study and has a minimum GPA of 2.75. Student(s) should demonstrate evidence of financial need and/or outstanding leadership ability. Unused funds will be returned to the principal. The student(s) shall be selected by a committee composed of the Financial Aid Coordinator, a faculty representative, and Matt Peart or a staff representative. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Norman M. Stanley Scholarship Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$26,920.00

Gordon C. and Susan K. Warnes Memorial Scholarship Fund

The Gordon C. and Susan K. Warnes Memorial Scholarship was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Gordon C. Warnes (B.A. 1957, M.A. 1970).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships to students enrolled at The Ohio State University Agricultural Technical Institute. Recipients will be selected by the coordinator of financial aid and other appropriate administrators at the Agricultural Technical Institute, in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$25,327.52

The Mary Plummer Memorial Scholarship Fund

The Mary Plummer Memorial Scholarship Fund was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the family, friends, and colleagues of, and in memory of, Mary Pettigrew Plummer (M.S. 1989) of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more scholarships to students enrolled in the School of Public Health in the College of Medicine and Public Health who are interested in epidemiology. Recipients may be pursuing thesis, dissertation, or practicum work in epidemiology, which may or may not be their area of specialty. It is the desire of the donors that, to the extent consistent with applicable law, consideration be given to female students. The selection of the recipient(s) shall be made based on academic merit and financial need, and at the recommendation of the director of the School of Public Health in consultation with the University Committee on Student Financial Aid and, as appropriate, with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Mary Plummer Memorial Scholarship Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of the School of Public Health and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$25,250.00

The Henry C. Gelbke Endowed Scholarship Fund

The Henry C. Gelbke Endowed Scholarship Fund was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Herman R. Gelbke wishing to honor his brother, Henry C. Gelbke.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support an Agricultural Technical Institute student studying dairy cattle production or dairy science wishing to complete an undergraduate degree at The Ohio State University main campus. This scholarship will be restricted to a qualified student who has maintained at least a 3.0 GPA. Preference shall be given but not limited to a student from the northeastern part of Ohio who intends to go back to the farm and who demonstrates financial need. The student shall be enrolled in the Department of Animal Sciences and engaged in the study and/or research of dairy science.

The Scholarship Selection Committee of the Department of Animal Sciences shall select the student recipient in consultation with the department chair, the Office of Student Financial Aid and the University Committee on Student Financial Aid. A letter of notification naming the scholarship recipient shall be sent annually to the donor and the Trumbull County Extension office.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and University Outreach and with the chair of the Department of Animal Sciences or their successor in order to carry out the desire of the donor.

\$25,000.00

Guernsey County Alumni Scholars Fund

The Guernsey County Alumni Scholars Fund was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the members of the OSU Alumni Club of Guernsey County and friends of OSU.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide merit scholarships to worthy graduates of schools in or residents of Guernsey County, Ohio, recruited, interviewed, ranked, and recommended by the Guernsey County Alumni Club to the Office of Student Financial Aid, who will make the final decision in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

Guernsey County Alumni Scholars Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate program administrative officer in order to carry out the desire of the donors.

\$25,000.00

The David D. Moon University Scholars Fund

The David D. Moon University Scholars Fund was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from David D. Moon (B.S.Bus.Adm. 1963), Greenfield, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide undergraduate merit scholarships for David D. Moon University Scholars. The scholarship will be administered by the Fisher College of Business in cooperation with the University Honors Center and in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$25,000.00

**The Roberta Elliott Wantman Endowment Fund
in the Department of Greek and Latin in the College of Humanities**

The Roberta Elliott Wantman Endowment Fund in the Department of Greek and Latin in the College of Humanities was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Esther B. Elliott in honor of her daughter, Roberta Elliott Wantman (B.A. cum laude and with Distinction in Latin and Ancient History, 1971, M.A. 1973).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for a student of classics, preferably female, to experience the excitement of the Roman world by visiting ancient sites and participating in a formal program of study of some aspect of ancient Roman civilization including but not limited to: advanced Latin language studies, participation in an archaeological dig, studying of Roman art or architecture on site, or investigating the Roman impact on regions of Europe or the Middle East. The Department of Greek and Latin Awards Committee will determine the method and the person selected each year in consultation with Roberta Elliott Wantman and the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Roberta Elliott Wantman Endowment Fund
in the Department of Greek and Latin in the College of Humanities (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the donor and the dean of the College of Humanities and the chairperson of the Department of Greek and Latin.

\$25,000.00

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
EMPLOYMENT OF CONSTRUCTION MANAGER, AND
REQUEST FOR CONSTRUCTION BIDS**

Resolution No. 2002-12

BIOMEDICAL RESEARCH FACILITY
CLINICAL SPACE RE-ORGANIZATION
NEIL AVENUE GARAGE
AUTOMATED TRANSPORT SYSTEM FOR UNIVERSITY MEDICAL CENTER –
PHASES I AND II

Synopsis: Authorization to employ architect/engineering firms for the Biomedical Research Facility, Clinical Space Re-Organization, Neil Avenue Garage, Automated Transport System for University Medical Center – Phase I, and Automated Transport System for University Medical Center – Phase II projects, employ construction managers for the Biomedical Research Facility and Neil Avenue Garage projects, and request construction bids for the Neil Avenue Garage, Automated Transport System for University Medical Center – Phase I and Automated Transport System for University Medical Center – Phase II projects is requested.

WHEREAS the University desires to construct a new Biomedical Research Facility for the College of Medicine and Public Health with an estimated project size of approximately 200,000 assignable square feet, with funding provided by University bond proceeds and other sources, with debt service payment by indirect cost recovery generated by new research grants and other sources; and

WHEREAS University Hospitals desires to request the services of a health care architect/planner to provide planning and design for improvements in clinical services located in Rhodes and Doan Halls (inpatient facilities); and

WHEREAS the total estimated cost of planning and schematic design for these improvements in clinical services is \$1,000,000, with funding provided by University Hospitals; and

WHEREAS this engagement for improvements in clinical services space will result in the development of a number of construction projects over the next three to seven years with a total estimated construction budget of \$20 - \$25 million; and

WHEREAS as individual projects are prioritized, the firm selected also may provide complete design documentation and subsequent construction administration for the individual projects, with funding for additional design work provided by University Hospitals, and each such individual project will be brought to the Board of Trustees for approval to request construction bids; and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
EMPLOYMENT OF CONSTRUCTION MANAGER, AND
REQUEST FOR CONSTRUCTION BIDS (contd)**

WHEREAS the University desires to construct a parking garage with a minimum of 650 spaces adjacent to the current Larkins Hall soccer field and south of the proposed Larkins Hall Natatorium; and

WHEREAS the total estimated project cost is \$11,809,364 and the total estimated construction cost is \$9,509,537, with funding provided by University bond proceeds with debt service payment by Transportation and Parking; and

WHEREAS University Hospitals desires to proceed with the installation of an Automated Transport System (previously designated as the Doan/Rhodes halls – Materials handling System) for the University Medical Center with the first phase of the project accomplishing renovation to the various Medical Center facilities to accommodate the new system and the second phase of the project accomplishing the installation of the equipment for the system; and

WHEREAS the Board of Trustees authorized the requesting of construction bids for Phase I of the project on December 3, 1999 and the requesting of construction bids for Phase II of the project on February 2, 2001; and

WHEREAS the total estimated project cost for Phase I is \$1,850,000 and the total estimated construction cost for Phase I is \$1,500,000, with funding provided by University Hospitals; and

WHEREAS the total estimated project cost for Phase II is \$9,665,000 and the total estimated construction cost for Phase II is \$8,300,000, with funding provided by University Hospitals:

NOW THEREFORE

BE IT RESOLVED, That, subject to approval of a financial plan by the appropriate University officials, the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Biomedical Research Facility project and that the fees for these services be negotiated between the firms selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Clinical Space Re-Organization, Neil Avenue Garage, Automated Transport System for University Medical Center – Phase I, and Automated Transport System for University Medical Center – Phase II projects and that the fees for these services be negotiated between the firms selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified construction manager firms as necessary for the Biomedical Research Facility and Neil Avenue Garage projects and that the fees for these services be negotiated between the firms selected and the University; and

BE IT FURTHER RESOLVED, That, subject to the execution of a memorandum of understanding among all the offices involved, the President and/or Vice President for Business and Finance be authorized to request construction bids for the Neil Avenue Garage project in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to request construction bids for the Automated Transport System for University Medical Center – Phase I and Automated Transport System for University Medical Center – Phase

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
EMPLOYMENT OF CONSTRUCTION MANAGER, AND
REQUEST FOR CONSTRUCTION BIDS (contd)**

II projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time.

(See Appendix I for maps, page 77.)

FY 2003 AND FY 2004 CAPITAL REQUEST

Resolution No. 2002-13

Synopsis: Approval of the FY 2003 and FY 2004 Capital Request is proposed.

WHEREAS the University administration has made its recommendations regarding the capital request for State funds for the FY 2003 and FY 2004 biennium; and

WHEREAS such recommendations have been reviewed through the University's governance structure:

NOW THEREFORE

BE IT RESOLVED, That the attached recommendations for State capital funds be approved for submission to the Ohio Board of Regents; and

BE IT FURTHER RESOLVED, That any request for authorization to proceed with any project contained in these recommendations or for University funds for any such project must be submitted individually by the administration for approval by the Board of Trustees.

(See Appendix II for background information, page 81.)

APPROVAL OF FISCAL YEAR 2002 CURRENT FUNDS BUDGET

Resolution No. 2002-14

Synopsis: Approval of the budget for Fiscal Year 2002 is proposed.

WHEREAS the State has passed its budget for Fiscal Years 2002 and 2003, which includes funding levels for State institutions of higher education; and

WHEREAS fee increases for the Columbus Campus and compensation levels were approved at the June 1 meeting of the Board of Trustees; and

WHEREAS other levels of resources and expenditures have been identified for the 2001-02 fiscal year; and

WHEREAS \$5.0 million of the University's Rainy Day Fund were used to offset subsidy cuts in FY 2001; and

WHEREAS appropriate planning and consultation within the University has been accomplished, and the President now recommends approval of the Fiscal Year 2002 budget:

APPROVAL OF FISCAL YEAR 2002 CURRENT FUNDS BUDGET (contd)

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby reaffirms the tuition and fees approved at its June 1, 2001, meeting, pursuant to the newly enacted State budget; and

BE IT FURTHER RESOLVED, That in-state undergraduate tuition and fees, net of the Access Challenge credit, be increased at the Regional Campuses 7.6% for lower division students and 6.5% for upper division students, 5.8% at the Agricultural Technical Institute, and as specified in the accompanying materials for all other levels of students, all to be pursuant to the newly enacted State budget; and

BE IT FURTHER RESOLVED, That the University's General Funds Budget Summary for Fiscal Year 2001-02, as described in the accompanying text and tables, be approved, with authorization for the President to make expenditures within the projected income levels; and

BE IT FURTHER RESOLVED, That the University will submit the detailed Current Funds Budget for the August 31, 2001 meeting of the Board of Trustees; and

BE IT FURTHER RESOLVED, That the President is authorized to implement a one-time reallocation equal to 1.0% of each Columbus Campus General Fund units' present budget allocation to replenish the University's Rainy Day Fund.

(See Appendix III for background information, page 97.)

Upon motion of Ms. Davidson, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Brennan, Patterson, and Judge Duncan, Ms. Hendricks, and Ms. Davidson.

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APPOINTMENT AND REAPPOINTMENT OF INVESTMENT MANAGERS

Resolution No. 2002-15

Synopsis: Approval of the Appointment and Reappointment of Investment Managers is proposed.

WHEREAS it is the policy of The Ohio State University to utilize the service of external Investment Managers to assist in the management of the University's Endowment Fund; and

WHEREAS the Investments Committee of the Board of Trustees periodically reviews the results obtained by the external Investment Managers and the amount of funds assigned to each of them; and

WHEREAS it is prudent investment policy to adjust the mix and amounts of money assigned to external Investment Managers as economic conditions and performance change; and

WHEREAS the number of external Investment Managers and the amount of funds assigned to them shall be determined by the Board of Trustees:

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of the Investments Committee of the Board of Trustees the following named external Investment Managers and the Office of the Treasurer shall be approved to manage Endowment Funds as follows:

APPOINTMENT AND REAPPOINTMENT OF INVESTMENT MANAGERS (contd)

<u>Equity Managers</u>	<u>Market Value/ Commitment As of 5/31/01</u>	<u>Changes</u>	<u>Revised Allocation</u>
ABN AMRO	\$ 64,369,061	\$ --0--	\$ 64,369,061
Barclays S&P 500	\$262,024,751	\$ --0--	\$262,024,751
Nicholas Applegate Mid Cap	\$146,032,366	\$ --0--	\$146,032,366
University Students	\$ 20,166,548	\$ --0--	\$ 20,166,548
Barclays Extended	\$155,904,626	\$ --0--	\$155,904,626
R. Meeder	\$ 76,283,119	\$ --0--	\$ 76,283,119
Nicholas Applegate Small Cap	\$ 27,986,393	\$ --0--	\$ 27,986,393
Barclays International	\$ 27,771,325	\$ --0--	\$ 27,771,325
INVESCO	\$ 21,670,726	\$ --0--	\$ 21,670,726
Sit/Kim	\$ 17,271,643	\$ --0--	\$ 17,271,643
<u>Alternative Managers</u>			
Battelle Technology Fund	\$ --0--	\$ 2,625,000	\$ 2,625,000
CID Seed Fund	\$ 1,000,000	\$ --0--	\$ 1,000,000
Commonfund New Leaders	\$ 10,000,000	\$ --0--	\$ 10,000,000
Commonfund Capital Partners	\$ 7,067,000	\$ --0--	\$ 7,067,000
EDF Ventures	\$ 1,000,000	\$ --0--	\$ 1,000,000
Mesirow Partnership Fund I	\$ 10,000,000	\$ --0--	\$ 10,000,000
<u>Fixed Income Managers</u>			
Banc One	\$ 25,701,462	\$ --0--	\$ 25,701,462
Commonfund	\$ 34,593,371	\$ --0--	\$ 34,593,371
Cypress Asset Management	\$ 24,209,545	\$ --0--	\$ 24,209,545
Hughes Capital Management	\$ 15,295,290	\$ --0--	\$ 15,295,290
Huntington Trust	\$ 25,697,311	\$ --0--	\$ 25,697,311
MDL Capital Management	\$ 77,173,574	\$ --0--	\$ 77,173,574

Upon motion of Ms. Hendricks, seconded by Judge Duncan, the Board of Trustees adopted the foregoing resolution with four affirmative votes cast by Messrs. Brennan, Judge Duncan, Ms. Hendricks, and Ms. Davidson, and one abstention cast by Mr. Patterson.

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**AMENDMENT AND ESTABLISHMENT
OF THE SUPPLEMENTAL RETIREMENT PLANS**

Resolution No. 2002-16

Synopsis: Authorization for The Ohio State University to establish The Ohio State University Aetna Deferred Compensation Plan, The Ohio State University Supplemental Qualified Retirement Plan, and The Ohio State University Supplemental 415(m) Retirement Plan, and to amend The Ohio State University VALIC Deferred Compensation Plan and the Ohio Public Employees Deferred Compensation Plan (collectively, "the Plans") is proposed.

WHEREAS the Internal Revenue Code limits the amount of compensation that an employee may defer into a deferred compensation plan; and allowing employees a choice between a tax deferred annuity and a deferred compensation plan may, in some cases, allow the employee to defer more compensation; and

WHEREAS the Internal Revenue Code limits the amount of compensation that may be considered when determining the retirement plan benefits for each employee; and the Internal Revenue Code limitation prevents some employees from receiving retirement plan contributions on their full salaries; and

WHEREAS the University desires to amend its existing deferred compensation Plans, and to establish additional Plans to ensure normal retirement benefits to employees affected by the above limitations:

NOW THEREFORE

BE IT RESOLVED, That effective July 1, 2001, The Ohio State University Aetna Deferred Compensation Plan, The Ohio State University Supplemental Qualified Retirement Plan, and The Ohio State University Supplemental 415(m) Retirement Plan are established in substantially the form on file with the Office of the Board of Trustees; and

BE IT FURTHER RESOLVED, That the amended The Ohio State University VALIC Deferred Compensation Plan and the Ohio Public Employees Deferred Compensation Plan are adopted in substantially the form on file with the Office of the Board of Trustees; and

BE IT FURTHER RESOLVED, That the Vice President for Business and Finance, in consultation with the Offices of Human Resources and Legal Affairs, is hereby authorized to execute the above-mentioned Plans and any other instruments, documents, or conveyances necessary to effectuate the Plans, to submit the Plans to the Internal Revenue Service; and

BE IT FURTHER RESOLVED, That the Vice President for Business and Finance, in consultation with the Offices of Human Resources and Legal Affairs, in carrying out this resolution, is hereby authorized and empowered to make any necessary changes to said Plans as may be required to ensure compliance with the applicable and effective provisions of the Internal Revenue Code of 1986, and any related rules and regulations, and to take such further action as may be necessary or advisable to implement this resolution.

Upon motion of Ms. Hendricks, seconded by Ms. Davidson, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Brennan, Patterson, and Judge Duncan, Ms. Hendricks, and Ms. Davidson.

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PRESENTATION OF GIFT TO LAW SCHOOL

Mr. Brennan:

We're delighted to have Michael and Lou Ann Moritz with us this morning. I'd like to invite them, along with Jerry May and Dean Williams, to come forward for a presentation.

Mr. Jerry A. May:

By now most of you know that Mike Moritz is giving an extraordinary gift of \$30 million to The Ohio State University Foundation for the permanent benefit of the College of Law. I recommend the Trustees approve the new endowments that this gift will establish and which will forever impact the quality of the College of Law.

Mike now leads those individuals before him whose magnitude of generosity played a role in transforming part of this University. Names like: Mershon, Gerlach, Fisher, Wexner, Solove, Schottenstein, Knowlton, Davis, and Ross. These individuals and tens of thousands of donors have created a new tradition at The Ohio State University, a philanthropic tradition. And like other Ohio State traditions – the chimes at Orton Hall, the ringing of the victory bell, the singing of *Carmen Ohio* – these individuals have a philanthropic tradition of giving that is now part of the stability and the ritual of our University. That ritual continually weaves the fabric of our community together.

I want to thank Mike and Lou Ann Moritz for this wonderful commitment that will improve the excellence of The Ohio State University significantly, and it will improve the excellence of the College of Law by a huge margin. I also want to take this opportunity, Mr. Chairman, to thank Greg Williams for his vision and leadership, which captured Mike's attention and imagination. It was one of the best alignments I have ever seen. With that, I will turn the microphone over to the dean of the College of Law, Greg Williams.

Dean Gregory H. Williams:

Thank you very much, Jerry. It's a real pleasure to be here and Vice President May is absolutely accurate, this is an historic day in the life of the College of Law. The incredible generosity of Mike and Lou Ann Moritz will forever mark a turning point in the College of Law.

I certainly have been pleased to be dean of this College of Law during the last eight years, and have been very pleased with what we've been able to do. We've been able to bring in truly distinguished faculty and I'm pleased to have had a part in hiring approximately 40 percent of the current faculty. We've also brought in some of our best and brightest students, and I'm sure you know that since you have one of them sitting on the Board with you.

We've also had great University support. We have received three Academic Enrichment grants and a Selective Investment Award, which has helped us plan for the future.

But I believe that our greatest support actually has come from our alumni. We started our campaign a year after the University started its campaign, with a goal of \$12 million. We raised \$26 million, and with this gift we will have raised \$56 million during our campaign. The college truly is poised for greatness.

Almost all of America's great law schools have a single defining supporter, and our defining supporter is one of our favorite sons, Michael E. Moritz. Mike was an outstanding student when he was at Ohio State, an outstanding lawyer, and an

PRESENTATION OF GIFT TO LAW SCHOOL (contd)

Dean Williams: (contd)

outstanding citizen. At the College of Law, he was number one in his first year class, he was number one in his second year class, and he was number one in his third year class; truly, an outstanding record.

He was also an outstanding lawyer when he practiced with Baker and Hostetler, one of the finest business lawyers in the country. He's been a civic leader and, of course, right now serves on the University Foundation Board.

Over the last year, Mike and I have had an opportunity to meet on a fairly regular basis to talk about the future of the College of Law. We both share the same ideas, aspirations, and values. We both want the College of Law to be among the top ten law schools in the country.

At the very beginning of our conversations, Mike made it clear to me that he wanted to do whatever he could to help us reach that goal and he has stepped forward. This gift, as Jerry indicated earlier, will allow us to do some very special things. The establishment of four chairs will allow us to go out and hire America's best law professors, bring them to Ohio State, and have our students have the benefit of some of the truly outstanding law teachers in this country.

The Moritz Scholars, which we will establish as part of this gift, will allow us to recruit some of the best and brightest students, and to compete with schools like Columbia and NYU. For example, Columbia University has what they call "Harlan Fisk Stone Scholars." This is named after Dean Stone, who was dean at Columbia University before he became chief justice of the Supreme Court of the United States. NYU has the "Root Tilden Scholars." The Moritz Scholars are going to be in that vein and will allow us to recruit those kinds of students.

The Dean's Fund for Excellence is going to allow us to quickly take advantage of new opportunities that emerge in areas of intellectual property or cyber law. Whatever comes along, we will have the opportunity to take advantage of.

This is truly an historic day in the life of the college. I'm proud to be a part of it, because I believe that from this day forward the college will be a different place. We owe an incredible debt of gratitude to our friend, supporter, and benefactor, Michael E. Moritz. Thank you very much.

Michael, would you like to say a few words?

Mr. Michael E. Moritz:

First, thank you, Mr. Brennan, for letting us be here today and, particularly, for letting me be here as part of our group. You all know how much I've enjoyed all the nice things that have been said about me for the last three days, particularly the recent comments by Greg Williams.

I'm grateful to the University and to the law school for the solid foundation it gave me forty years ago. I feel very fortunate to be able now to give back some of the things I've been able to gain from that solid foundation. Thank you very much.

President William E. Kirwan:

Mr. Chairman and members of the Board, as you have heard from Dean Williams, Michael Moritz, an alumnus of the University, is a revered member of the bar and a legal genius as a business counselor to the leading executives in

PRESENTATION OF GIFT TO LAW SCHOOL (contd)

President Kirwan: (contd)

Central Ohio. Mr. Moritz' landmark gift is a seminal event for our College of Law, indeed, for the entire University.

This extremely generous gift is an affirmation of the vision and dedication of Greg Williams, and the exceptional faculty and staff at the College of Law. It's an affirmation of Ohio State's Academic Plan and our staunch commitment to academic excellence.

The college has established an ambitious goal and a realistic plan to become one of the top ten public law schools and one of the top twenty law schools by 2005. Now this historic gift from Mr. Moritz will give us the resources to meet, possibly even exceed, these ambitious goals.

This gift -- combined with exceptional leadership we've enjoyed under Dean Williams and which we look forward to under the new dean, Nancy Rogers -- will secure the College of Law as a stellar example of academic excellence for the entire University and for the State of Ohio. In short, it will be an incredible legacy to both Mike Moritz and Greg Williams, and to their leadership, energy, and foresight.

At this time, we would like to ask the Board for approval of the acceptance of this gift, that includes the establishment of four endowed chairs and three endowed funds.

SUPPLEMENTAL REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2002-17

Synopsis: The establishment of four endowed chairs and three endowed funds are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS the following gifts are being received through The Ohio State University Foundation; and

WHEREAS this supplemental report includes the establishment of The Gregory H. Williams Chair in Civil Rights and Civil Liberties, The Michael E. Moritz Chair in Alternative Dispute Resolution, The Frank R. Strong Chair in Law, The Robert J. Lynn Chair in Law, The Gregory H. Williams Dean's Fund for Excellence, The Michael E. Moritz Merit Scholarships in Law Fund, and The Michael E. Moritz Leadership Awards in Law Fund:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the supplemental report from The Ohio State University Foundation be approved.

Upon motion of Ms. Hendricks, seconded by Ms. Davidson, the Board of Trustees adopted the foregoing resolution by unanimous voice vote.

SUPPLEMENTAL REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Chairs

The Gregory H. Williams Chair in Civil Rights and Civil Liberties

The Gregory H. Williams Chair in Civil Rights and Civil Liberties was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Michael E. Moritz, B.S.Bus.Adm. 1958; J.D., *summa cum laude*, 1961; Dublin, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the work of a faculty member in the College of Law whose teaching, research, and public service are recognized nationally in the legal fields of civil rights and civil liberties. The activities of the endowed chair holder shall be reviewed no less than every five years by the dean of the College of Law to assure compliance with the intent of the donor as well as the academic standards of the University.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Law in order to carry out the desire of the donor.

\$2,500,000.00

The Michael E. Moritz Chair in Alternative Dispute Resolution

The Michael E. Moritz Chair in Alternative Dispute Resolution was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Michael E. Moritz, B.S.Bus.Adm. 1958; J.D., *summa cum laude*, 1961; Dublin, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the work of a faculty member in the College of Law whose teaching, research, and public service are recognized nationally in the legal field of alternative dispute resolution. The activities of the endowed chair holder shall be reviewed no less than every five years by the dean of the College of Law to assure compliance with the intent of the donor as well as the academic standards of the University.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Law in order to carry out the desire of the donor.

\$2,500,000.00

The Frank R. Strong Chair in Law

The Frank R. Strong Chair in Law was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Michael E. Moritz, B.S.Bus.Adm. 1958; J.D., *summa cum laude*, 1961; Dublin, Ohio.

SUPPLEMENTAL REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Chairs (contd)

The Frank R. Strong Chair in Law (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the work of a faculty member of the College of Law whose teaching, research, and public service are recognized nationally. The activities of the endowed chair holder shall be reviewed no less than every five years by the dean of the College of Law to assure compliance with the intent of the donor as well as the academic standards of the University.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Law in order to carry out the desire of the donor.

\$2,500,000.00

The Robert J. Lynn Chair in Law

The Robert J. Lynn Chair in Law was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Michael E. Moritz, B.S.Bus.Adm. 1958; J.D., *summa cum laude*, 1961; Dublin, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the work of a faculty member in the College of Law whose teaching, research, and public service are recognized nationally. The activities of the endowed chair holder shall be reviewed no less than every five years by the dean of the College of Law to assure compliance with the intent of the donor as well as the academic standards of the University.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Law in order to carry out the desire of the donor.

\$2,500,000.00

Establishment of Named Endowed Funds

The Gregory H. Williams Dean's Fund for Excellence

The Gregory H. Williams Dean's Fund for Excellence was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Michael E. Moritz, B.S.Bus.Adm. 1958; J.D., *summa cum laude*, 1961; Dublin, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

SUPPLEMENTAL REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Gregory H. Williams Dean's Fund for Excellence (contd)

The annual income shall be used by the dean of the College of Law to support innovative and excellent ideas and programs brought forward for consideration by the faculty and students of the college, which are not funded initially by the regular budget of the college. Proposals for consideration must have a goal of improving the academic mission of the College of Law.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Law in order to carry out the desire of the donor.

\$10,000,000.00

The Michael E. Moritz Merit Scholarships in Law Fund

The Michael E. Moritz Merit Scholarships in Law Fund was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Michael E. Moritz, B.S.Bus.Adm. 1958; J.D., *summa cum laude*, 1961; Dublin, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to attract and retain the "best and brightest" students to the College of Law. It is the desire of the donor that there be at least ten Moritz Scholars in each of the three law classes. It is the desire of the donor that the College of Law use these merit scholarships, in part, to attract and retain students of diverse genders, races, religions, or countries of origin.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Law in order to carry out the desire of the donor.

\$9,880,000.00

The Michael E. Moritz Leadership Awards in Law Fund

The Michael E. Moritz Leadership Awards in Law Fund was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Michael E. Moritz, B.S.Bus.Adm. 1958; J.D., *summa cum laude*, 1961; Dublin, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one law student in each law class with a monetary award for demonstrating leadership to the students in the College of Law by involvement in University and college activities, student programs, and events. It is the intent of the donor that the students will be selected on the basis of leadership outside the classroom.

SUPPLEMENTAL REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Michael E. Moritz Leadership Awards in Law Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Law in order to carry out the desire of the donor.

\$120,000.00

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President Kirwan:

Mr. Chairman, we would also like to recommend a supplemental personnel resolution to appoint Nancy H. Rogers to The Michael E. Moritz Chair in Alternative Dispute Resolution, which you just approved, and as the new dean of the College of Law.

Nancy is an exceptional talent and an outstanding educator and administrator, having served for the past two years as a vice provost in the Office of Academic Affairs. She is a nationally respected and gifted scholar, who was instrumental in shaping the College of Law's renowned alternative dispute resolution program. And she has the unique distinction of being the first woman asked to serve as dean of our College of Law.

Nancy, we certainly thank you for your willingness to serve in this capacity. Mr. Chairman, I wholeheartedly recommend these appointments.

SUPPLEMENTAL PERSONNEL ACTIONS

Resolution No. 2002-18

BE IT RESOLVED, That the following supplemental personnel actions be recorded in the Personnel Budget Records of the University and are hereby approved.

Appointments

Name: NANCY H. ROGERS
Title: Professor (The Michael E. Moritz Chair in Alternative Dispute Resolution)
College: Law
Effective: August 1, 2001
Present Position: Professor (The Joseph S. Platt – Porter, Wright, Morris & Arthur Professorship in Law) and Vice Provost, Office of Academic Affairs

Name: NANCY H. ROGERS
Title: Dean
College: Law
Term: August 1, 2001 through June 30, 2006

Upon motion of Mr. Patterson, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Brennan, Patterson, and Judge Duncan, Ms. Hendricks, and Ms. Davidson.

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PRESENTATION OF GIFT TO LAW SCHOOL (contd)

President Kirwan:

Finally, Mr. Chairman and members of the Board, this gift from Michael Moritz is extraordinary not only because of its size, but because of its impact. It will benefit this institution, its faculty, staff, and students for generations to come. As a tribute to his outstanding career as an attorney, his long-time support of Ohio State, and his ongoing advocacy for the College of Law, I am delighted to recommend that the Board of Trustees approve the naming of the College of Law, "The Michael E. Moritz College of Law," effective immediately.

Mr. Brennan:

Before we vote, you thanked us for letting you come before us this morning. Let me thank you, because you did this when I was chairman of this Board! I will tell you one thing, I will never forget that. Thank you.

Mr. Moritz:

Thank you for everything we've done in the recent weeks.

Mr. Brennan:

You honor us as well as you honor yourself. Thank you, Mike. Judge Duncan --

Judge Duncan:

Thank you, Mr. Chairman. This is truly a great day and I'm honored to have the opportunity to give a few brief comments. This is difficult, because when you're talking about friends, you get friendship and official business mixed up -- all of it good, but I'm sure I'm somewhat emotional.

On behalf of the Board, I would like to express our heartfelt thanks to Greg Williams, and the faculty and staff of the College of Law for their hard work and pursuit of excellence, which we haven't forgotten resulted in your being awarded the Selective Investment Award, and that's outstanding. You can understand why Mike Moritz has great confidence in the future of the law school.

Mike represented Shirley and me when we bought our first house. By the way, I'm delighted to learn today that you probably had some attorney-client relationships which proved to be more profitable than that one.

I think that getting to the high echelons of law schools in this world is within our reach, and I think we'll get there. I look forward to the day when a student enters the Moritz College of Law and asks, "Who's Mike Moritz?" And, it's one of those questions where we will be able to say "Oh, I am so glad you asked." Won't it be great, Nancy, to say "Well, Mike Moritz was one of the best law students we've ever had here."

As a member of the organized bar, he has always observed the very highest of ethical standards and gained the complete respect of his colleagues. He has practiced law at the higher levels, providing scholarships, creativity, and innovation to very complex financial matters involving the interests of large institutions.

If you establish an aspiration level like that and if you get anywhere near it, you bring honor to yourself, your family, this University, the College of Law, and the people that you serve in order to make this a more well-adjusted society. But it's more than that.

PRESENTATION OF GIFT TO LAW SCHOOL (contd)

Judge Duncan: (contd)

We know this is an incredible gift, but Mike Moritz has always been a supporter of the college since he left here. It's nothing new for Mike to support this college. The other thing we cannot forget here is Mike Moritz is one great human being, regardless of anything else.

To conclude, what it means is opportunity. It's an opportunity for our faculty, which is already tall academically, to grow even taller. It's an opportunity for many students to come here who may well have decided when they had choices to go elsewhere. That will manifest itself in a value added to a degree from the Moritz College of Law, which will enhance the capabilities of lawyers who practice and serve people, and certainly help us preserve a more well-ordered society. I mean all of that.

It also has such a great impact upon the rest of the constituency here at this University, particularly on the undergraduate community. I can see that tour guide for prospective students saying "This is the Moritz College of Law, one of the best in the world." I don't think that is a pipe dream, we can do that, and that will be a great asset to this University.

So, Mike, on behalf of our Board, I just want to sincerely thank you and your family for this very generous gift.

NAMING OF THE COLLEGE OF LAW

Resolution No. 2002-19

Synopsis: Approval of the naming of The Ohio State University College of Law as "The Michael E. Moritz College of Law" is proposed.

WHEREAS Michael E. Moritz graduated summa cum laude from The Ohio State University College of Law in 1961; and

WHEREAS he became one of the leading partners in Baker & Hostetler, a nationally prominent and major law firm; and

WHEREAS Mr. Moritz has been a very loyal supporter of the College of Law since his graduation; and

WHEREAS the College of Law named the Law Library in honor of Mr. Moritz; and

WHEREAS through his generosity Mr. Moritz is establishing four endowed chairs, a merit scholarship program, three student award funds, and a dean's fund for innovation and excellence with a gift of \$30,000,000, the largest gift ever received for an academic unit:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the College of Law will hereafter be named "The Michael E. Moritz College of Law," effective immediately.

Upon motion of Judge Duncan, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolution by unanimous voice vote.

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June 29, 2001 meeting, Board of Trustees

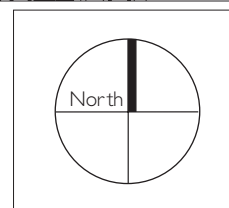
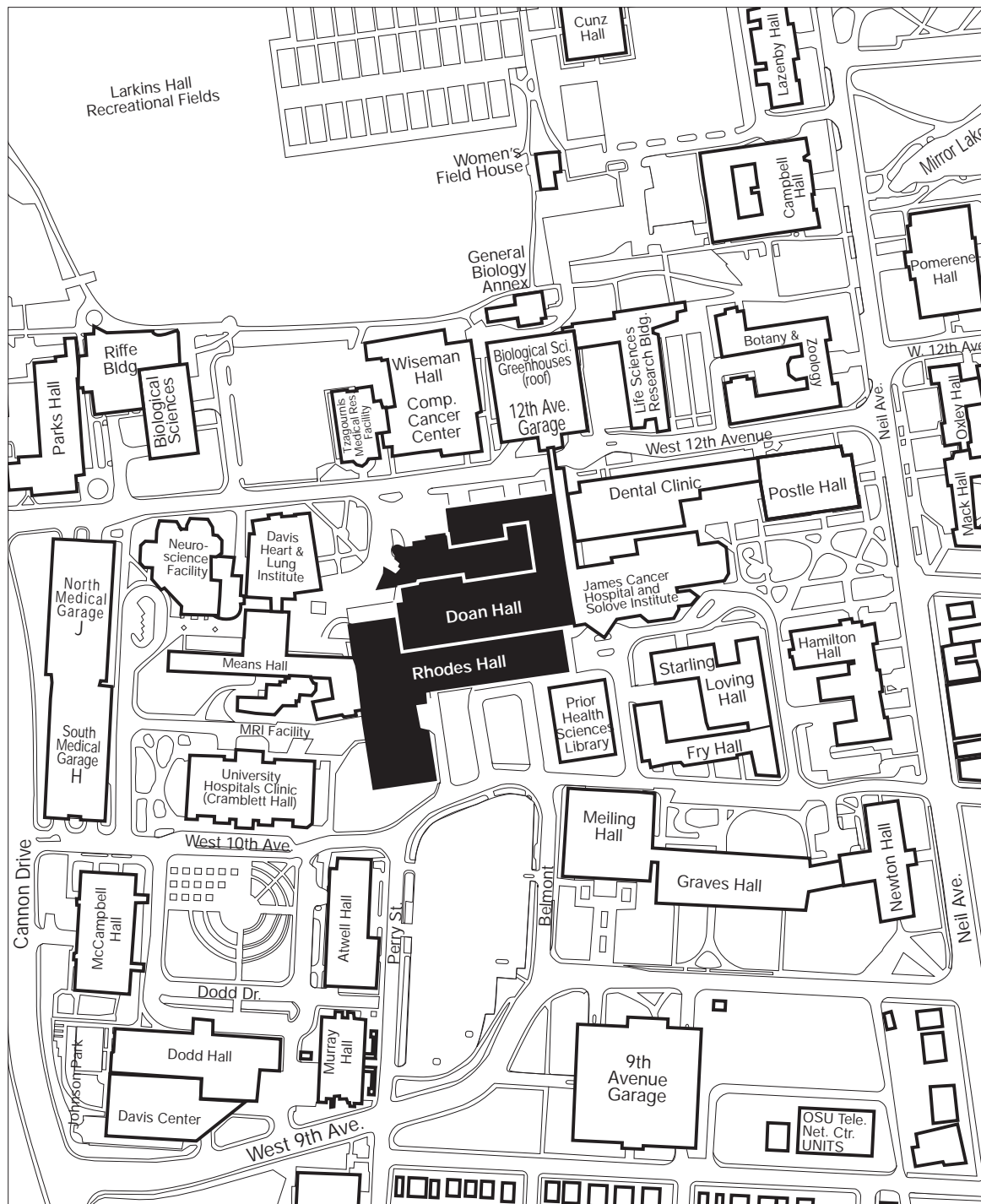
Thereupon the Board adjourned to meet Wednesday, August 29, 2001, at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

William J. Napier
Secretary

David L. Brennan
Chairman

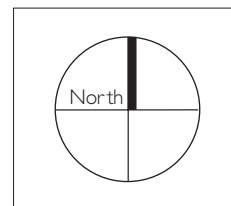
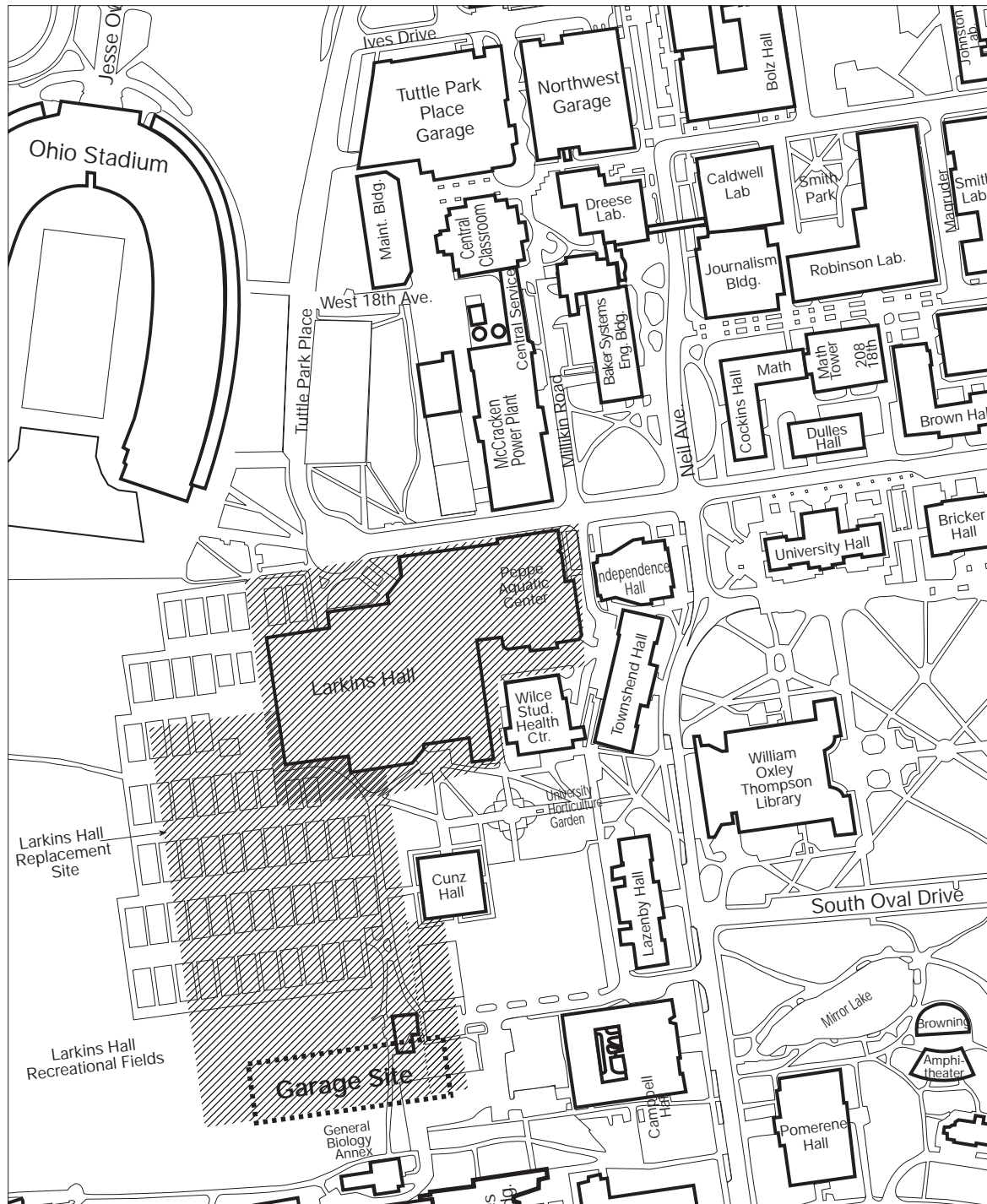
Clinical Space Reorganization



Office of Business and Finance
Office of Facilities Planning and Development

June 9, 2001

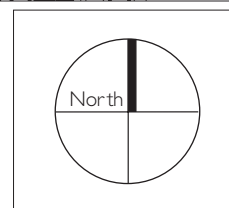
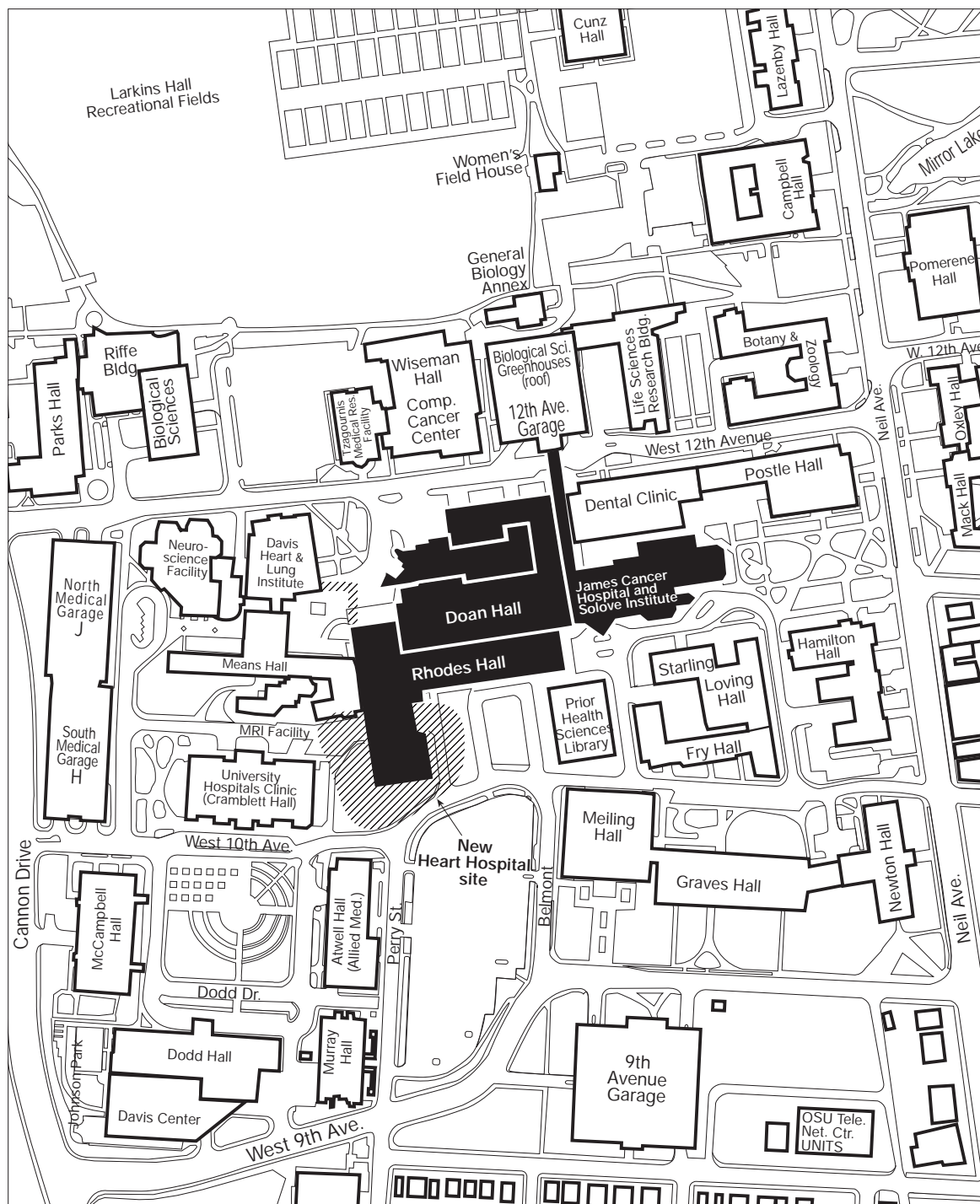
Neil Avenue Garage



Office of Business and Finance
Office of Facilities Planning and Development

June 14, 2001

Automated Transport System for University Medical Center Phases I and II



Office of Business and Finance
Office of Facilities Planning and Development

June 14, 2001

Fiscal Affairs Committee
June 29, 2001

TOPIC:

FY 2003-FY 2004 Capital Request

CONTEXT:

Ohio State's request to the Ohio Board of Regents for Capital Funds for FY 2003 and FY 2004 is due to the Board of Regents in September. These recommendations reflect a comprehensive planning process that includes both state and other capital funds.

RECOMMENDATIONS:

A total of \$75-80 million in new state capital funds are requested. Top capital priorities include:

Project	(in millions)	
	State Funds Requested FY 2003 - FY 2004	Estimated Total Project Cost
Thompson Library	\$ 4.2	(preliminary) \$70.0
Mechanical Engineering	20.0	72.5
Psychology	15.0	35.0
PAES (Rec Center)	28.0	136.0
Heart Hospital	NA	82.5
Infrastructure	1.5	TBD
Biomedical Research Facility	NA	TBD
Lab Animal Facilities	up to 6.7	(preliminary) 17.0
Optometry	3.6	(preliminary) 8.1
School of Music	1.0	TBD
	\$80.0M	\$421.1

NOTE: Financing plans for the Biomedical Research Facility and the Lab Animal Facility are still in process.

IMPLICATIONS:

- These recommendations are consistent with the goals of the Academic Plan, including a focus on excellence in academic programs, support of the student experience and expansion of research capacity.
- Major follow up commitment of FY 2005 - FY 2006 capital funds is required.
- Emphasis on replacement and renovation will help address deferred maintenance problems.
- Individual projects will still require separate Board approval to hire architects and to proceed to construction.

REQUESTED OF FISCAL AFFAIRS:

Approval of the attached recommendations and resolution.

Subject: FY 2003 - FY 2004 Capital Request

Date: June 26, 2001

From: Ed Ray
Bill Shkurti

To: William E. Kirwan

This memorandum represents our final recommendations regarding capital projects for the FY 2003 - FY 2004 biennium. Our recommendations are similar to our preliminary recommendations to you, except that we have incorporated additional input from the Office of Research and Senate Fiscal Committee into the document. We have divided this memorandum into five parts. Part I describes the process and criteria used to make our decisions, Part II presents our recommendations, Part III discusses the implications of those recommendations, and Part IV lists capital projects that are not state funded. Additional materials are provided in an appendix, the final part of the document.

I. Process and Project Criteria

An intensive information gathering and review process began nearly a year ago with a Request for Submission sent to all Deans and Vice Presidents on July 13, 2000. Thirty-six major project requests totaling more than \$1.5 billion were received by the August 31, 2000 deadline. With the advice of the Space Facilities Committee and the appropriate Vice Presidents, 12 projects were selected for additional review for possible inclusion in the FY 2003-2004 state capital biennial request. Hour-long information hearings with units requesting project funding were held during January and February 2001.

The State of Ohio has not yet decided how much will be allocated to each institution for the 2003-2004 biennium. We have estimated that OSU's share of the next capital bill could be between \$75 and \$80 million for building projects on the Columbus Campus. We recognize that the lack of a final resolution of *DeRolph v. State of Ohio* represents an added element of uncertainty. Consequently, the dollar amounts recommended for individual projects may be subject to change, even though the priority rankings will remain the same. Basic renovation projects of \$1.5 million or less are not included as part of this particular document because they are funded by a separate \$15.5 million line item.

In order to rank projects for inclusion in our final request, each project was evaluated based on academic priority, physical need, financial feasibility and physical feasibility. The financial and physical feasibility components were included because, based on the other requirements alone, our priority projects would greatly exceed our expected appropriations. In addition, the impact of facilities on operating costs was incorporated into our discussions and the capital guidelines clearly stated that General Funds would not, as a rule, pay operating costs for additional space (Attachment A). These recommendations are based on assumptions about program needs. If those needs change, the University reserves the right, after consultation with the parties involved, to reallocate or reassign that space.

In making these recommendations to you, we, along with representatives from the Offices of Academic Affairs, Business and Finance, Research, Health Services, Student Affairs, Development and representatives of the Senate Fiscal Committee and the Senate Committee on the Physical Environment evaluated the information submitted by the units with respect to established criteria. However, the following criteria are not intended to provide a mathematical solution to a very complex set of issues:

Academic Priority

- Relation to University mission and the Academic Plan
- Program excellence
- Student demand
- Research productivity
- Opportunities for interdisciplinary collaboration

Physical Need

- Condition of existing space
- Unmet health and safety requirements

Financial Feasibility

- Likelihood of State support
- Cost sharing by requesting unit
- Net impact on operating costs

Physical Feasibility and Other Considerations

- Previous commitments
- Physical feasibility, including timing or staging issues
- Impact on parking
- Impact on other needs

A new element in this year's planning process is the Academic Plan. Alignment of the objectives of the project with the goals of the Academic Plan was an overriding factor in the Space Facilities Committee's recommendations. As always, the number of worthy projects exceeds the funds available. The Academic Plan provides an additional context by which to establish academic priorities. The six major strategies identified in the Academic Plan are:

1. Build a world-class faculty
2. Develop academic programs that define Ohio State as the nation's leading public land-grant university
3. Improve the quality of the teaching and learning environment
4. Enhance and better serve the student body
5. Create a more diverse University community
6. Help build Ohio's future

Several of these strategies call for a supportive physical environment. The growing number of existing buildings requiring renovation or replacement is a threat to achieving our goals. So, in response to the Academic Plan, we are reserving scarce state dollars to support renovation or replacement of academic buildings, particularly where a deferred maintenance problem is involved. In addition, \$750,000 has been set aside in each of the next two years to pay the General Fund share of parking replacement under the parking replacement policy.

II. Recommendations

Our recommendations are grouped into three categories:

- Group One: University commitments
- Group Two: Projects with high priority for the FY 2003-2004 biennium
- Group Three: Projects that address an academic or academic support need but are not recommended for funding for this coming biennium.

A. Group One: University Commitments

Projects in Group One are those that the University committed to in the FY 2001 - FY 2002 capital process. These projects have already received Board approval and in some cases have signed Memoranda of Understanding, including agreement on both the scope and funding of the project. These projects are also consistent with the goals of the Academic Plan.

1. Thompson Library Rehabilitation

The Thompson Library Rehabilitation is one of only two capital construction projects specifically mentioned in the Academic Plan. The Thompson Library is in many ways the intellectual heart of the campus. Although it is in comparatively better shape than some other

buildings, it is one of the few buildings bordering the Oval that has yet to be rehabilitated or funded for rehabilitation. Rehabilitation of the Thompson Library was identified as a high priority three years ago in the Library Task Force Report and was approved as a high priority for capital funding as part of the FY 2001 - FY 2002 capital process. \$500,000 in state funding was provided for a feasibility study that is scheduled for completion in FY 2002. For FY 2003 - FY 2004 we recommend \$4.2 million in state funds be used for detailed planning and design.

Our intent would be for rehabilitation to commence in FY 2005 and to be completed by no later than FY 2008. The project would not add any square footage and is not expected to create any additional parking demands.

Even with no additional space, rehabilitation of the Thompson Library is expected to cost at least \$70 million and to be the largest state funded request for a single project ever undertaken at OSU. \$50-\$70 million in state funds are expected to be matched by at least \$20 million in private fund raising.

2. Mechanical Engineering

The Department of Mechanical Engineering is one of Ohio State's highly ranked academic departments. This project is critical to the Academic Plan goal of expanding OSU's visibility in sponsored research. Robinson Lab is a grossly outdated facility that ranks as one of the University's worst deferred maintenance problems. In addition, the project includes nearly 18,000 ASF in classroom pool space and hence addresses the Academic Plan goal of improving the teaching and learning environment.

\$3.5 million in state funds have already been committed to planning in the last two state capital bills. In the last capital process we recommended a \$62 million project of which \$47 million would come from state funds and \$15 million from private fund raising.

Although the scope of the project has not grown since then, construction costs for research labs have. Consequently, we recommend a \$72.5 million project of which \$52.5 million would come from state dollars and \$20 million from private fund raising. This project is projected to result in a net increase in space to the Department of approximately 20,000 ASF. The College will cover the Plant, Operating and Maintenance (POM) costs on all GSF above what the Department of Mechanical Engineering is currently assigned.

3. Psychology

Psychology is a selective investment department and one of our top ranked units. The Department has more undergraduate majors than any department and the largest number of honors students of any department at OSU.

This project is critical to the Academic Plan goals regarding sponsored research. The Department has outgrown its current space. \$3 million in planning money was committed in FY 2001 - FY 2002 biennium. We recommend allocation of \$15 million for construction in FY 2003 - 2004 and an additional \$17 million in FY 2005 - FY 2006.

When completed, this facility is projected to provide a net increase of approximately 28,200 ASF to Psychology. The College will cover the additional POM costs on all GSF above what Psychology is currently assigned, most of which is related to sponsored research. The issue of lab animal facilities still needs to be addressed. This project will remove parking and increase demand for parking in a heavily used area.

4. Student Rec Center

This \$136 million project was approved in 1998 by the OSU Board of Trustees. It addresses the Academic Plan goals regarding the teaching and learning environment. The state portion of \$36 million pays for the instruction portion only and provides replacement research,

teaching and office space for the School of Physical Activity and Educational Services. The remainder will be funded by student fees and funds from Athletics.

\$5 million in state funds were appropriated in FY 2001 - FY 2002 for planning and design, which are scheduled to be completed in September 2001. We recommend the remaining funds be allocated to complete the first phase of construction, which is scheduled to be completed by June 2004.

The existing space in Larkins ranks eighth on Physical Facilities' list of the 31 worst deferred maintenance problems.

This project will increase traffic in the area where the new facility will be constructed, but a traffic management plan is in place. A parking garage is part of this project, funded by Transportation & Parking.

5. Heart Hospital

In the FY 2001-FY 2002 biennium, \$2.5 million was provided for planning money for a new heart hospital. This is primarily a clinical facility and is critical to the financial viability of the OSU Medical Center. Therefore, no additional state capital funds are required for this \$82.8 million project. A financial plan is in process to make this facility self supporting through private fund raising and patient revenues. Under the terms of the Board resolution, a detailed financial plan needs to be approved before bids for construction can be sought.

6. Infrastructure

In May of 2000, the Coordinating Council approved a policy to assure adequate parking for access to new facilities. This is to be funded by an assessment against the funding sources for all new capital projects. The state capital share of this amount is \$1.5 million in the FY 2003-FY 2004 biennium. When combined with an equal amount from other funding sources, this will help insure adequate parking access to these new facilities by providing at least 1,500 spaces on Main Campus to replace those lost due to previous construction.

B. Group Two: New Commitments

The six commitments mentioned above will require \$68.7 million of the likely \$75-\$78 million state capital appropriation available in FY 2003-FY 2004. Although the Rec Center and Heart Hospital will be completed without any additional state funds in future biennia, the four remaining commitments, Library Rehabilitation, Mechanical Engineering, Psychology, and Infrastructure, will require an additional \$67 million in FY 2005 - FY 2006. Thus, barring a dramatic change in state allocations, resources for additional projects beyond those already committed to will be extremely limited.

This means that the remaining funds will have to be carefully targeted to the highest priorities in the Academic Plan and must be leveraged to the maximum extent with other revenue resources.

As we review the requirements of the Academic Plan and the opportunities to leverage resources, one area stands out ahead of all the others - sponsored research. In sponsored research we have a clear need that is driven by the Academic Plan, as well as the ability to leverage additional resources. Thus, our recommendations for the limited amount of uncommitted state funds (\$5-\$10 million) for FY 2003 - FY 2004 are focused exclusively on the following projects involving sponsored research, except to address critical deferred maintenance needs in the School of Music.

The following proposals for new research facilities total well over \$100 million. In order to be able to proceed with these projects, we need to be able to use limited state capital dollars to

leverage other funding sources available to support sponsored research. These other resources include state and federal funds earmarked for research, such as tobacco funds and indirect cost recoveries, additional discretionary resources available to the Office of the President and Office of Research, as well as resources committed by the benefiting colleges and departments.

Rather than attempt to allocate these limited state capital funds to specific projects at this time, we asked the Office of Research to prepare a set of prioritized recommendations on how these funds should be allocated among the five projects listed below for FY 2003-2004 and what additional sources can and should be leveraged to assure their successful completion in a timely manner.

We have received input from the Vice President for Research identifying the Optometry Facility, the Biomedical Research Facility and Lab Animal Research as the three highest priorities. The Senate Fiscal Committee expressed concern that we not spread limited resources too thinly among various projects.

Because of the need to move quickly to provide space for the Biomedical Research Institute facility, we recommend the use of sources other than state capital funds at this point. This would enable whatever limited state capital funds that remain to be targeted to the lab animal facility.

Taking all of this into account, we recommend that the Optometry facility be given priority for \$3.6 million in state funds for the coming biennium, contingent upon the College raising at least an additional \$4.5 million from external sources and the college assuming financial responsibility for all Plant, Operating and Maintenance costs for the net additional space.

While we feel that both the Multi-disciplinary Research Facility and the Behavioral Medicine Research Facility are worthy projects, we recommend that further action be postponed until the next biennium. At that time, the research facilities plan will be completed and we may have a better sense of available resources.

In the interim, we recommend that both the Biomedical Research Facility and the Lab Animal Facility be designed in such a way as to maximize the potential to meet the needs of interdisciplinary research, including the Behavioral Medicine Institute. We also recommend that the University maintain flexibility to reallocate funds between projects as conditions warrant.

In addition, we have recommended \$1 million in state funds be set aside to deal with particularly acute needs for the School of Music.

Each of the five research related projects and the needs of the School of Music are discussed in greater detail below.

7. Biomedical Research Facility

Biomedicine has been selected as the key area in the Academic Plan to expand the University's impact in research. The College of Medicine and Public Health is severely limited by a patchwork of inadequate research facilities. The College of Medicine and Public Health has requested funding for a Biomedical Research Facility of approximately 200,000 ASF that would house the seven major areas of biomedical research.

The likelihood of generating a large amount of sponsored research activity per ASF and the likelihood of full indirect cost recovery make this project a good candidate for construction funded by University bonds to be repaid with additional cost recoveries generated by sponsored research conducted in the facility. Therefore, we want to build a facility large enough to meet future needs. However, these bonds represent a 20 year commitment and there is no guarantee that federal reimbursement will be as generous in the future as it is today; therefore, the building financing plan should include a mix of other sources. In addition, since financing is heavily

dependent on additional research activity, the College should present a detailed business plan, including a staffing schedule, before the project is given final approval.

Appropriate siting for this facility will be extremely important due to existing congestion in the Medical Complex and the complex, multi-disciplinary relationships involved.

As of this writing these issues are still in the process of being resolved. Since this is the most ambitious research facility ever proposed at OSU, we feel sufficient time should be allowed for due diligence without unnecessarily delaying this needed project.

Consequently, we recommend this project continue to be first on the list of new commitments and that a resolution to hire architects to begin design should be brought forward, contingent upon University approval of a project budget and a financing plan for both capital and operating costs.

8. Lab Animal Facilities

Lab Animal Facilities are critical to meeting the research-related goals in the Academic Plan. In FY 2000, new external awards to projects using animals in research increased 28% over the previous year. This trend is expected to accelerate, precipitating a potential space crisis by late FY 2002.

Although steps are already underway to add temporary space, a more permanent solution needs to be found. The Office of Research has proposed adding 25,000 ASF of lab animal space by expanding existing space in Pressey and Wiseman Halls. The total cost of these two projects is estimated to be \$17 million. Funding for these projects should be possible with a combination of NIH funding, private grants and state funding.

This project has also been defined as a high priority by the Office of Research. In our May 10 memo, we asked the Office of Research to put together a detailed funding plan for these facilities. The Office of Research suggested that NIH facilities support grants might be available to fund a portion of the cost of these facilities, but suggested that any further analysis be part of the planning process for the Biomedical Research Facility. Depending on the amount of state funds appropriated, up to \$6.7 million in state funds may be available for these facilities in FY 2003-FY 2004.

9. College of Optometry

The Vice President for Research has identified this project as his first priority for state funds. Although the College of Optometry is small, it does produce a high amount of sponsored research per faculty member. In fact, information was presented to show that the College has moved from sixth to first place in NIH funding to an optometric institution and produces fifteen times more research funding now than in FY 1995. The College has requested \$8.1 million to completely fund an addition to Fry Hall in order to create additional space for sponsored research.

We want to be supportive of these efforts; however, the dollar value of the research likely to be generated per ASF is below what is needed for this project to be self-supporting. The College of Optometry understands this limitation. Their proposal for the \$8.1 million project requests \$3.6 million in state capital funding with \$4.5 million coming from a combination of the College itself and a private foundation, and is contingent upon a successful Research Facilities Construction proposal to the National Institutes of Health. The College will assume responsibility for the additional POM costs associated with the net increase of approximately 14,800 ASF. Should external support not be forthcoming, the University reserves the right to reallocate these funds.

10. Multi-disciplinary Research Facility

Multi-disciplinary research is a key component of the Academic Plan and a building devoted to multi-disciplinary research is one of only two facilities mentioned in the Plan. The Office of Research has proposed the use of a renovated Smith Lab for such a facility. Although this site has some important advantages, renovation cannot commence until the new Physics Building is completed and Physics Research is relocated out of the existing building, which is not likely to occur before FY 2005. This in turn means that a renovated facility would not be available until 2007 at the earliest.

11. Institute for Behavioral Medicine Research

This is a wonderful example of multi-disciplinary research that crosses departmental and college boundaries. Currently there is \$42 million of grant supported research activity associated with the Institute. The College of Dentistry and the Office of Health Sciences have proposed a \$21 million facility of 40,000 ASF.

The Institute currently has space, but it is distributed throughout the Medical Center and does not provide much of an opportunity for growth.

Sufficient state capital funds are not available to undertake an expansion of lab animal facilities, a Biomedical Research Facility, a Multi-disciplinary Research Facility, expanded facilities for the College of Optometry and the Institute for Behavioral Medicine Research. The Office of Research has expressed an interest in mounting major development campaigns to support both the Multi-disciplinary Center and the Institute for Behavioral Medicine Research. Although we agree that there may be potential in both of these areas, the University has just recently committed to major development campaigns for the Library and the Heart Hospital. A major effort will most likely be needed to support the Biomedical Research Facility and the Biomedical Research initiative.

While there is opportunity in these areas, it is important that our development efforts be carefully planned and coordinated before additional commitments are made. Therefore, we recommend that the next 12-24 months be used to develop financing plans for both of these facilities that are not dependent on state capital funds for the next two biennia. We also recommend that a sufficient amount of central funds (between \$50,000 and \$100,000) be made available from non-capital sources to support financial planning for these two initiatives.

12. School of Music

The current facilities for the School of Music in Hughes Hall are the only non-sponsored research project in this category. Hughes Hall is a growing deferred maintenance problem and the space is not designed in a way that is supportive of a music program of this size and stature.

There are two challenges associated with this project. First, it is not clear whether it would be better to build an entirely new facility or simply renovate or replace Hughes Hall. Swing space and the future of Lord Hall are important issues that bear on this problem. Second, although the College has offered to fund raise for this project, it is not yet clear what a realistic goal should be.

Consequently, we recommend \$1 million in planning funds to determine whether a new building should be built for Music or if Hughes Hall should undergo a complete renovation. In addition, we will use this time to work with the College and the Office of University Development to establish a reasonable fund raising goal.

**Summary of FY 2003 - FY 2004
Capital Recommendations for State Appropriations
(in millions)**

Project	Previous Funding	State Capital Funds Recommended for FY 2003-2004	Total Project Cost
A. Existing Commitments			
1. Main Library	\$0.5	\$4.2	\$70.0 (preliminary)
2. Mechanical Engineering	3.5	20.0	72.5
3. Psychology	3.0	15.0	35.0
4. Student Rec Center	8.0	28.0	136.0
5. Heart Hospital	2.0	0.0	82.5
6. Infrastructure	NA	1.5	TBD
		\$68.7	\$396.0
B. New Commitments			
7. Biomedical Research Facility	NA	0.0	TBD
8. Lab Animal	NA	up to 6.7	17.0 (preliminary)
9. Optometry	NA	3.6	8.1 (preliminary)
10. School of Music	NA	1.0	TBD
Grand Total		\$80.0M	\$421.1M

C. Group Three: Other Projects

In addition to the projects described above, we considered seven additional projects worthy of funding support. Unfortunately, for the reasons we previously described, state capital funds are not likely to be sufficient to undertake these projects at this time. Therefore, we recommend that either other funding sources be found or the projects be deferred to the FY 2007-2008 biennium at the earliest.

These projects are listed in priority order, but priorities may shift based on availability of funds and other factors.

13. FAES Facilities

In our June 24, 1999 memo to you, we commented on the need to replace or renovate the aging Animal Science Facilities at Don Scott Field. We also discussed the College's interest in constructing a multi-species facility and the possibility that the price tag for all of these facilities could approach \$100 million.

At that time we recommended that \$1.5 million be allocated for a study of all the facilities needs of Food, Agricultural and Environmental Sciences and the exploration of alternative sources of funding. That study was approved. The study is now under way, but is not scheduled to be completed until in March 2002, which is after our recommendations are due.

As we indicated earlier in this memo, the need to address deferred maintenance needs for core academic buildings on the Main Campus and the need to expand our capacity to support sponsored research must have priority for limited state dollars. Although the FAES proposal will contribute to both of these goals, full funding of this proposal would make it impossible to accomplish those goals for any other units.

Therefore, we recommend devoting the coming biennium to completing the facilities study and focusing on alternative funding sources, including private fund raising, to meet FAES needs. We then can proceed with planning and design in the FY 2005 - FY 2006 biennium.

14. Ohio Union/Enrollment Services

The Ohio Union is a core student services building and a significant deferred maintenance problem. Enrollment Services also provides core student services, but is housed in space originally designed as a Residence Hall (Lincoln Tower) and not configured in a way that permits efficient or user friendly services. In addition, Enrollment Services operations take up space needed to deal with our shortage of residence hall space.

The challenge we face is that state capital funds are not sufficient to meet our deferred maintenance needs for academic buildings, our needs for more research space and our needs for student services space. Consequently, since the Ohio Union does have earnings capacity and the University is already paying rent to Student Affairs for the Lincoln Tower space, we asked Enrollment Services and Student Affairs to develop a combined proposal that would maximize the use of existing resources.

That proposal calls for a \$61 - \$68 million project that combines both sets of services in one location. However, the project is still short of funds. We have asked Bill Hall and Martha Garland to identify additional sources of income, including a portion of the Student Set Aside funds to close this gap without using state capital dollars. We have also asked them to work with other offices providing student services, such as Fees and Deposits and Traffic and Parking, to make sure those needs are addressed appropriately. This effort is now in process and we are cautiously hopeful that sufficient funds can be found.

15. Oval Restoration

Improvement of the appearance of campus facilities and grounds is one of the fourteen core strategies of the Academic Plan. Next to the Thompson Library, the Oval is one of the University's most recognizable spaces. \$500,000 has already been committed to planning the Oval Restoration. We recommend \$1 million of University one-time funds (not state capital funds) be allocated for this purpose in FY 2002. This, when combined with master planning funds from other projects on the Oval and funds from Physical Facilities, will allow construction to begin in phases in FY 2002.

16. WOSU

In November, WOSU submitted a request for \$16 million in University funds for capital and equipment to meet the federally mandated conversion deadline to digital programming by May 1, 2003. The request also included additional personnel.

Our staff has reviewed this request and determined that a significant portion involves a request to expand live programming at WOSU. While we are not opposed to this idea, this is really a program expansion request that needs to compete for funds through the regular budget process. Given the current financial requirements facing the University, it is not likely this can be seriously considered until FY 2004.

Meanwhile, we have asked our staff to work with WOSU and University Relations to pare down the request to reflect the minimum amount of space, equipment and personnel to keep WOSU on the air and to maximize alternative funding sources. This process is still underway.

17. College of Medicine and Public Health Phase II

In addition to a request of \$139 million for a Biomedical Research Facility, the College of Medicine and Public Health has requested additional funds for new and renovated space for the instructional needs of the College in Meiling and Graves Halls.

As we mentioned earlier, we feel the Biomedical Research Facility should be the highest priority. We recommend that the remainder of this project be reviewed as a candidate for a phased in construction plan as part of the process for FY 2007 - FY 2008.

18. Other Projects

In addition to the projects listed above, we received requests for state capital funds for 24 additional projects of \$1.5 million or more. These projects totaled \$627 million. They are not recommended for funding at this time due to cost, staging problems, or priority.

III. Implications

In this section we address the implications of these projects in seven specific areas: the Academic Plan, impact on future capital requests, impact on deferred maintenance, impact on students, faculty and staff, impact on future operating costs, and impact on transportation and parking.

Impact on Academic Plan

As we discussed earlier, the Academic Plan is the principal driver behind these requests. The largest single project, the Thompson Library Rehabilitation, was one of only two capital projects specifically mentioned in the Academic Plan. Seven of the remaining 11 projects support the goal of expanding research (Psychology, Mechanical Engineering, Lab Animal, Biomedical Research Tower, Multi-disciplinary Facility, Optometry and Institute for Behavioral Medicine Research).

In general, Mechanical Engineering, Music and Psychology will have enhanced instructional space. Great facilities are needed to attract and retain top-notch faculty, students and staff.

One of the two major remaining commitments is the completion of the instructional portion of the Rec Center, which addresses a services deficiency in our ability to attract top students. The bulk of this project is funded with increased student fees. The other major commitment is the Heart Hospital, which is essential to the future viability of the Medical Center, which in turn is critical to the University's expansion of research.

Impact on Future Capital Requests

The size of the Library Renovation will have a significant impact on future state capital requests for the next three biennia. When the funds to complete construction of the Student Rec Center, Mechanical Engineering and Psychology buildings are added, it means that state capital funds for other projects will be limited until near the end of this decade.

This is illustrated in the following table:

Project	State Funds Recommended (in millions)		
	FY 2003-04	FY 2005-06	FY 2007-08
Student Rec Center	\$28.3	\$0.0	\$0.0
Library	\$4.2	\$20.0	\$30.0
Mechanical Engineering	20.0	29.0	0.0
Psychology	15.0	17.0	0.0
Total	\$67.5	\$67.0	\$30.0

This leaves approximately \$10 million available for construction and/or planning of new projects in FY 2005-06. However, at that time, the University will need to move forward in some way with new research facilities and new or renovated facilities for the School of Music and the College of Food, Agricultural and Environmental Sciences. Planning will also need to commence for renovation of four of our largest deferred maintenance problems: Brown Hall, Cunz Hall, Pomerene Hall and Smith Laboratory -- all of which will be vacated by the summer of 2005. Planning also needs to be done for Phase II of the proposal by the College of Medicine and Public Health.

Impact on Deferred Maintenance

Funding of these recommendations will address two of the University's larger most immediate deferred maintenance needs and forestall a third. The Mechanical Engineering and Rec Center Projects will provide for the renovation or replacement of 464,000 GSF of space. The Library renovation will add another 300,000 GSF. If Hughes Hall and Smith Lab are subsequently funded, this will add another 282,000 GSF bringing the total of renovated space to over one million GSF.

Although this will not by itself address our deferred maintenance problems, it will make a significant difference.

Impact on Students, Faculty and Staff

A large majority of students and faculty and many staff members regularly use the buildings scheduled for rehabilitation or replacement in the next two years. These recommendations avoid rehabilitation of occupied buildings except for the Thompson Library. Large numbers of faculty, staff and students will benefit from the improved teaching and research opportunities made possible by these projects and the enhanced recreational opportunities at the new Recreation Center.

Impact on Operating Costs

Nine of the major projects recommended will provide net increases of space. Seven of them -- Mechanical Engineering, Psychology, Lab Animal, Biomedical Research, Multi-disciplinary Research, Optometry, Institute for Behavioral Medicine Research -- are related to expanded activity in sponsored research. All five sponsoring units have agreed to accept financial responsibility for increased operating costs with facilities portion of indirect cost recoveries to be distributed to those units under budget restructuring.

Two other large projects -- the Heart Hospital and the Rec Center -- will pay POM costs through increased user fees. The Library Renovation is not planned to produce a net increase in GSF.

Impact on Transportation and Parking

Meeting additional space needs without exacerbating current parking and accessibility is an enormous challenge. Four of these projects -- Heart Hospital, Biomedical Research Building, Student Rec Center and Psychology Building -- will create additional demand in congested areas where parking is already in short supply.

Two new garages (one in the Medical Center and one near the Student Rec Center) should help accommodate additional demand. But, care needs to be exercised in the site selection for the Biomedical Research tower and other additional research facilities in order to minimize the impact on ease of access to these facilities and in order to ease additional congestion on 12th Avenue, which connects to the southbound on-ramp to S.R. 315.

When combined with funds from non-state projects, the parking surcharge is expected to generate sufficient funds to build a 1,500 car garage that will replace parking lost over the last

several years, and when combined with two new garages, will substantially increase available spaces.

Physical Feasibility

Staging issues continue to be a challenge. This will be especially true for buildings that are in use while renovations are being done, i.e., the Library and possibly Robinson Lab, the Ohio Union and Hughes Hall. Phasing and carrying out construction in occupied buildings can increase costs, extend the time frames for delivery, and increase risks for the University. Thus, extra care needs to be devoted to planning these renovations. With the large number of projects already underway, moving from one part of campus to another by car, bus, bicycle or on foot, will be a challenge, as will driving to campus during the Lane Avenue widening and the various bridge replacements.

IV. Non-State Funded Projects

In addition to the projects specifically addressed in the second section, there are a number of other projects moving to design or construction that have their own sources of funding outside the state capital appropriations.

A. Previously Approved

Sponsoring Unit	Project	Estimated Cost (in millions)	Funding Source	Status
Student Affairs HFSEC	Grad and Prof Student Housing Buckeye Village	\$27.2 5.3	Student Affairs Student Affairs	In Design MoU in process
Business & Finance Transportation & Parking	Lane Avenue Garage Neil Avenue Garage Hospital Garage	TBD* 12.4 20.0	T&P T&P T&P	MOU in process
Physical Facilities	Buckeye Substation Woody Hayes Bridge Garage & Shop Relocation Power Plant Renovation	6.8 8.4 2.7 73.0	Univ. Bonds Univ. Bonds Univ. Bonds Univ. Bonds	In design In design MoU in process In Design
Academic Affairs Wexner Center for the Arts	Wexner Building Renovation	10.0	Central Funds	In Design
Medical Center	OSU Med Center E. Renovations Doan/Rhodes Material Handling	16.0 11.5	Medical Center Medical Center	Construction In CDs
Health Sciences	Comp. Cancer Center	4.9	Fund raising	Programming
Other Research FAES	Bio Mems Facility 4-H Building	9.0 TBD	Various** Fund raising	Construction MoU in process

* A conceptual study will define scope and budget.

** President's Strategic Reserve and college resources

B. New Projects

The projects listed below will require Board approval before proceeding:

Sponsoring Unit	Project	Estimated Cost (in millions)	Funding Source
Medical Center	Doan/Rhodes CSS Renovation OSU Med Center Master Plan*	6.0 1.0	Medical Center Medical Center
Athletics	Olympic Sports	TBD	Private Fund Raising

* This study may result in possibly \$20 to \$25M in ripple effect projects caused by the Heart Hospital.

NOTE: This list is still subject to change. All projects listed here will still require Board approval individually. Central reallocation refers to reallocation of central debt service funds

We hope this information is helpful to you in evaluating our capital recommendations. With your approval we will bring forward a final set of recommendations for submission to the Board of Trustees.

Attachment

c: Janet Ashe
Glen Funk
Jerry May
Brad Moore
Jill Morelli
Alayne Parson
Lee Walker
Council of Deans
Senate Committee on the Physical Environment
Senate Fiscal Committee
Space and Facilities Committee

**FY 2003-2004 Capital Budget
Funding Principles**

The capital request guidelines sent to Deans and Vice Presidents on August 24, 1998 included the following guidelines on funding principles for capital projects:

With stable enrollment, limited funding and a substantial amount of deferred maintenance, the priority for use of central funds (including state funds) will be to enhance or improve existing space and reduce operating costs. Therefore as a general rule:

1. Increased instructional or administrative space will not be assigned to a unit unless:
 - ☐ Paid for by the benefiting unit, or
 - ☐ Reallocated from elsewhere.
2. Central funds will not be committed to additional operating costs.
3. Capital and operating costs for additional research space should come from unit/user resources, not central funds.
4. Any additional space for non-general fund units should come from that unit and meet the service needs of the University.
5. Fund raising is an important consideration but fund raising itself does not guarantee matching funds independent of the criteria listed above. Fund raising is particularly encouraged for upgrades and renovations that do not require additional central support.

Listed below are the buildings that Physical Facilities identified in a 1997 study to be physically or functionally obsolete and in need of major renovations or demolition. The table also shows the impact of the FY 1999-2000 capital recommendations on the timing of demolition or renovation; however, please note that timing recommendations for demolition or renovation reflect other factors than building condition, including: academic priorities, financial feasibility and staging issues. Those buildings are:

Building	GSF (thousands)	Replacement Cost (in millions)	Disposition D=demolition R=renovation	Expected Implementation Date	Funding Status
Welding Engineering	82,086	\$11.3	D	1998	yes
McPherson Lab	118,871	21.5	R	1999	yes
Brown Hall	74,902	10.7	D/R	TBD	
Research Center	139,296	18.1	R	TBD	
Plumb Hall	44,726	6.7	D	TBD	
Ramseyer Hall	86,433	12.5	R	TBD	
Botany & Zoology Bldg	158,762	27.3	D&R	2001	yes
Larkins Hall	368,997	50.4	D	2001	yes
Smith Laboratory	220,489	37.2	R	2002	
McCracken Power Plant	109,094	35.9	R	TBD	requested
Hughes Hall	61,564	9.2	R	TBD	requested
Jesse Owens Rec Centers	70,110	4.1	R	1997	yes
Starling Loving Hall	145,327	21.2	D/R	TBD	
Animal Science Building	61,739	9.7	R	TBD	
Caldwell Laboratory	101,976	14.7	R	TBD	
Campbell Hall	109,973	16.6	R	1999	yes
Lord Hall	65,445	9.0	D	TBD	
Cockins Hall	60,454	8.7	R	TBD	
Vivian Hall	52,976	7.5	D/R	1998	
Pomerene Hall	69,269	10.0	R	TBD	
Watts Hall	40,622	5.9	R	TBD	
Evans Laboratory	121,994	22.9	R	TBD	
Service Building Annex	58,478	3.9	R	2001	yes
Robinson Laboratory	97,271	30.0	D	2001	requested
Ives Hall	52,414	7.2	R	2000	yes
Converse Hall	58,912	8.5	R	TBD	
Maintenance Building	50,876	5.1	D	TBD	
Hagerty Hall	137,019	19.8	R	2001	yes
Hopkins Hall	106,856	15.4	R	TBD	
Howlett Greenhouses	45,999	5.0	R	TBD	
Sisson Hall	133,079	23.0	D	2000	yes

(APPENDIX III)
The Ohio State University
Fiscal Affairs Committee
June 29, 2001

TOPIC:

FY 2002 Budget

CONTEXT:

The Board of Trustees approved tuition and compensation guidelines on June 1, 2001.

This document recommends overall revenue and spending levels for Main Campus and Regional Campus tuition.

Spending detail will be presented at the August 29th meeting.

RECOMMENDATIONS:

- General Fund income is projected to increase 4.7%. Tuition and fees are the largest components.
- General fund expenses are projected to rise 4.7%. Increases in compensation (including benefits) and student financial aid are the largest components.
- When increases in Student Financial Aid are deducted from increased revenues, the net projected increase in available revenues is 3.2%.
- Strategic investments are recommended at \$5.6 million, most of which will be improvements in undergraduate education funded by undergraduate tuition increases above 6%.

IMPLICATIONS:

- Most difficult budget year since FY 1995.
- The University will be able to make progress on its strategic goals, but at a much reduced pace.
- Slippage will be most apparent in compensation and needs to be addressed.
- University Rainy Day Fund needs to be replenished.
- The budget is balanced, but substantial risk remains through FY 2003.
- OSU is at increasing risk of falling behind its competitors from other states.

OSU BOARD OF TRUSTEES
Fiscal Affairs Committee
FY 2002 Budget Summary
June 29, 2001

- I. Context
 - A. Strategic Objectives
 - B. Environment
 - C. Previous Board Action
 - D. Income and Spending Summary
- II. Income
 - A. Enrollment Projection
 - B. Revenue Projections
- III. Expense
 - A. Funding for Continuing Services
 - B. Funding for Strategic Investment
- IV. Implications
 - A. Strategic
 - B. Financial
 - C. Programmatic
 - D. What Happens Next?

Office of Academic Affairs
Office of Business and Finance
June 25, 2001

I. Context

A. Strategic Objectives - to support the goals of the Academic Plan, which are

1. Build a world class faculty
2. Develop academic programs that define Ohio State as the nation's leading public land grant university
3. Enhance the quality of the teaching and learning environment
4. Enhance and better serve the student body
5. Create a diverse university community
6. Help build Ohio's future

B. Environment

1. FY 2002 represents the most difficult budget in seven years.
2. Smallest increase in state support in nine years.
3. Smallest pay raise in nine years.
4. Largest increase in health care costs in over a decade.
5. Largest increase in energy costs in two decades.
6. Continuing uncertainty in state budget picture
7. Increasing competitive pressures on salaries and student financial aid

C. Previous Actions of OSU Board

- | | | |
|----|------------|-------------------------------------|
| 1. | February 2 | Strategic Indicators Report |
| 2. | March 7 | Financial Benchmark Report |
| 3. | April 6 | Compensation Benchmark Report |
| 4. | June 1 | Compensation Guidelines
approved |
| 5. | June 1 | Approval for Tuition and Fees |

D. Income and Spending Summary

1. Available General Fund Resources are projected to increase 4.7%, including:

- | | | |
|----|---------------------------------|--------|
| a) | State Share of Instruction | + 1.6% |
| b) | Performance Challenge | - 5.4% |
| c) | Student Fee Income ¹ | + 8.7% |

2. General Fund Expenses and Reserves are recommended to increase 4.7%, including:

- | | | |
|----|-----------------------|------|
| a) | Salaries & Wages | 1.2% |
| b) | Benefits | 9.2% |
| c) | Student Financial Aid | 9.7% |

3. When increases in Student Financial Aid are deducted from increased revenues, the net projected increase in available revenues is 3.2%.

4. Planned Reserves are as follows:

- | | | |
|----|--|-----------------------|
| a) | Planned Fund Balance | \$ 0.1M |
| b) | Enrollment Reserve | \$ 0.0M |
| c) | Safety Margin for Revenue
Projections | ± 1% |
| d) | Rainy Day Fund | \$10.0M
(one time) |

¹ Reflects impact of potential enrollment fluctuations.

II. Income

A. Enrollment Projections

The enrollment adjustments are based on the following headcounts for the Columbus Campus:

<u>FY 99 (Au)</u>	<u>FY 00 (Au)</u>	<u>FY 01 (Au)</u>	<u>FY 02 (Au)</u>
48,511 (act)	48,003 (act)	47,952 (act)	47,827 (est)

B. Revenue Projections

- Instructional Subsidy is based on the approved State budget. Reflects NFQF of 5,800.
- Student fees increase on Main Campus at 9.3% for undergraduates and 5.0% for all others unless otherwise noted, adjusted for enrollment changes.
- Resident undergraduate fees, net of the Access Challenge credit, at the Regional Campuses and ATI will increase:
 - 7.6% for lower division Regional Campus students
 - 6.5% for upper division Regional Campus students
 - 5.8% for ATI students
- Research Overhead is projected to increase 3.5% to cover increased research costs.
- Other Overhead, including earnings overhead, projected to increase 4.5%.

Continuing General Funds Income
(Columbus Campus Only)
(all figures in millions)

Revenue Source	FY 01 Base	FY 02 Estimate	\$ Difference	% Change
Instructional Subsidy	\$317.7	\$322.9	\$5.2	1.6%
Performance Challenge ²	20.2	19.1	(1.1)	(5.4)%
Student Fees	326.5	354.8	28.3	8.7%
All Other				
Research Overhead	41.7	43.1	1.4	3.6%
Other Overhead ³	35.2	36.8	1.6	4.5%
Investment Income	10.1	10.1	0.0	0.0%
Unrestricted Endowment	5.0	5.0	0.0	0.0%
Miscellaneous ⁴	1.0	1.0	0.0	0.0%
Subtotal	93.0	96.0	3.0	3.2%
Continuing Funds Carried Forward	NA	NA	0.1	NA
Total Resources Available	\$757.4	\$792.8	\$35.5	4.7%

NOTE: Figures on state instructional subsidy and Performance Challenge are still preliminary and may change slightly.

III. Expense

A. Funding for Continuing Services includes:

1. Compensation – Includes:

- \$395 annual increase per full time faculty & staff.
- \$0.6M for faculty promotions.
- \$0.8M for contract settlements.
- 32% increase in health benefits.

2. Student Financial Aid – Includes:

- 6.0% base increase for undergraduate scholarships.⁵
- \$0.9M for the Buckeye Scholarship Program.
- 5.0% for graduate fee authorizations.
- \$3.2M enrollment adjustment for Fee Authorizations.

² Includes Research and Success Challenge.

³ Includes Hospitals and Auxiliaries.

⁴ Includes recycling revenue, capital design fees and other miscellaneous fees.

⁵ Additional increases included in the 3.3% set aside.

2. Research Support - increase in Research Support is equal to inflationary cost of continued services. Increase in indirect cost recoveries should fund this increase.
3. Facilities - includes 2.9% inflation adjustment for Plant, Operation and Maintenance of existing facilities, including utilities plus an additional \$2.5M for projected fuel increases.
4. All other expenses - such as legal and bank fees are not given inflationary adjustments.

Summary	FY 01 Base	FY 02 Recom- mended	\$ Differenc e	% Change
Continuing Services				
Salaries & Wages	\$422.5	\$427.4	\$4.9	1.2%
Benefits	77.9	85.1	7.2	9.2%
Sub Total	\$500.4	\$512.5	\$12.1	2.4%
Student Aid ⁶	90.8	99.6	8.8	9.7%
Research Support ⁷	37.8	38.6	0.8	2.1%
Facilities	43.8	47.8	4.0	9.1%
All Other	84.7	88.6	3.9 ⁸	4.6%
Sub Total	\$257.1	\$274.6	\$17.5	6.8%
Strategic Investment ⁹	NA	5.8	5.8	NA
Total Expenses	\$757.5	\$792.9	\$35.4	4.7%

B. Strategic Investments

Listed below are funds earmarked for strategic investment over and above current services. Recommendations for detailed allocations will be presented at the August 29 Board of Trustees Meeting.

⁶ Includes undergraduate scholarships, graduate fee authorizations, fellowships and employee & dependent fee authorizations

⁷ Includes Research Fee Authorizations.

⁸ Includes revenue enhancement and mandates.

⁹ See detail of Strategic Investment on page 7. Strategic Investments were \$13.0M in FY 2001 and have been distributed.

**OSU Columbus Campus
Strategic Investment Funds
FY 2001 & 2002
(General Funds - Continuing Funds Only)**

Item	FY 2001	FY 2002	Notes
OAA Multi-year			
Academic Enrichment/ Selective Investment ¹⁰	2,000,000	800,000	Selective Investment Only
Recruitment	500,000	400,000	
Library Acquisitions	628,000	0	FY 2002 one time
Sub Total	3,125,000	1,200,000	
Student Set Aside	1,000,000	4,600,000¹¹	3.3% over cap
Challenge Funds			
Research (est)	875,000	(675,000)	Cut by State
Success (est)	5,941,000	(375,000)	Cut by State
Sub Total	6,816,000	(1,050,000)	
Additional Facilities	975,000	0	
Reserves			
Provost	250,000	200,000	
President	0	200,000	
General	100,000	100,000	
Sub Total	350,000	500,000	
Other			
Mandates	500,000	500,000	
Service Improvements	250,000	0	
Sub Total	750,000	500,000	
Grand Total	\$13,019,000	\$5,750,000	

¹⁰ Supplemented by planned reallocation from college budgets.

¹¹ Includes \$2.0M in additional Student Financial Aid

IV. Implications

A. Strategic

1. The University will still be able to make progress on its strategic goals, but at a much reduced pace.
2. Positive changes will be most evident in the undergraduate experience due to influx of additional tuition and fees.
3. Slippage will be most apparent in compensation and has to be addressed in FY 2003.

B. Financial

1. The budget is balanced based on current state budget, but risk is on downside and reserves are minimal.
2. Rainy Day Fund needs to be replenished.
3. Financial projections for second year of biennium are not much better -- therefore, planning for FY 2003 needs to begin now.
4. Funding future commitments needs to be addressed.
5. The University continues to be at risk compared to competition outside the State of Ohio.

C. Summary Allocation of Resources by Function (in millions of dollars of continuing funds - Columbus Campus Only)

To Continue Doing What We're Doing Now:

Salaries & Wages	\$ 4.9
Benefits	7.2
Student Financial Aid	8.8
All Other ¹²	<u>8.6</u>
Total	\$29.5M

¹² All other includes research support, utilities & other facilities, revenue enhancement and mandates.

Additional Strategic Investment:

Academic Excellence ¹³	\$ 1.2
Student Experience ¹⁴	4.2
All Other ¹⁵	<u>0.4</u>
Total	\$ 5.8M

NOTE: More detail will be provided at the August 29, 2001 Board of Trustees Meeting.

D. What Happens Next?

1. Board will receive detailed spending recommendations and Budget Red Books at the August 29 meeting.
2. Proposed tuition increases on Columbus Campus and Regional Campuses will take effect Fall Quarter.
3. Compensation strategy for FY 2003 and beyond will be presented at the October 4th meeting.

NOTE: Compensation increases and increases in various user charges were previously approved by the Board and took effect July 1.

¹³ Includes selective investment and recruitment.

¹⁴ Includes Student Set Aside less the State cut in Success Challenge.

¹⁵ Includes reserves and mandates less the State cut in Research Challenge.